

CURRENCY AUCTION
AUGUST 3, 2012 | PHILADELPHIA





Front Cover Lots: 15205, 15113, 15096, 15018, 15033, 15150, 15156, 15202

Back Cover Lots: 15163, 15132, 15407, 15339, 15200

Inside Front Cover Lots: 15056, 15139, 15142, 15273, 15161

Inside Back Cover Lot: 15117

Heritage Signature® Auction #3518

Currency

Featuring: The Long Island Sound Collection Along with Selections from the Collection of Jess Lipka & Other Important Properties

August 3, 2012 | Philadelphia

LIVE AUCTION Signature® Floor Session

(Floor, Telephone, HERITAGE Live!™ Internet, Fax, and Mail)

Sheraton Philadelphia Downtown Hotel
Independence Ballroom C/D
201 North 17th Street
Philadelphia, PA 19103

Session 1 - Auction #1173 (see separate catalog)
Thursday, August 2 • 1:00 PM ET • Lots 3001–3589

Session 2 - Auction #1173 (see separate catalog)
Thursday, August 2 • 6:30 PM ET • Lots 3590–4342

Session 3 - Auction #1173 (see separate catalog)
Friday, August 3 • 1:00 PM ET • Lots 4343–4871

Currency Auction #3518

Friday, August 3 • 6:00 PM ET • Lots 15001–15407

Session 4 - PLATINUM NIGHT (see separate catalog)
Friday, August 3 • 7:00 PM ET • Lots 5001–5486

LOT SETTLEMENT AND PICK-UP

Saturday August 4 • 9:00 AM – 12:00 PM ET

Extended Payment Terms available. Email: Credit@HA.com

Lots are sold at an approximate rate of 150 lots per hour, but it is not uncommon to sell 125 lots or 200 lots in any given hour.

This auction is subject to a 17.5% Buyer's Premium.

Currency Auctions of America Inc. AY002035 OR Heritage Auctions Inc:
RY000922; PA licenses: Sam Foose AU005443; Jacob Walker AU005711;
Chris Dykstra AU005733.

LOT VIEWING

Sheraton Philadelphia Downtown Hotel
Independence Ballroom A/B
201 North 17th Street
Philadelphia, PA 19103

Tuesday, July 31 • 10:00 AM - 7:00 PM ET
Wednesday, August 1 – Friday, August 3
8:00 AM – 7:00 PM ET

View lots & auction results online at HA.com/3518

BIDDING METHODS:

HERITAGE Live!™ Bidding

Bid live on your computer or mobile, anywhere in the world, during the Auction using our HERITAGE Live!™ program at HA.com/Live

Live Floor Bidding

Bid in person during the floor sessions.

Live Telephone Bidding (floor sessions only)

Phone bidding must be arranged on or before Thursday, August 2, by 12:00 PM CT.

Client Service: 866-835-3243.

Internet Bidding

Internet absentee bidding ends at 10:00 PM CT the evening before each session. HA.com/3518

Fax Bidding

Fax bids must be received on or before Thursday, August 2, by 12:00 PM CT. Fax: 214-409-1425

Mail Bidding

Mail bids must be received on or before Thursday, August 2.

Phone: 214.528.3500 • 800.872.6467

Fax: 214.409.1425

Direct Client Service Line: 866.835.3243

Email: Bid@HA.com



Steve Ivy
CEO
Co-Chairman of the Board



Jim Halperin
Co-Chairman of the Board



Greg Rohan
President



Paul Minshull
Chief Operating Officer



Todd Imhof
Executive Vice President

Currency Specialists



Allen Mincho
Director of Auctions



Len Glazer
Director of Auctions



Dustin Johnston
Director of Auctions



Michael Moczalla
Consignment Director



Brad Ciociola
Consignment Director



3500 Maple Avenue • Dallas, Texas 75219
Phone 214-528-3500 • 800-872-6467
HA.com/Currency

Consignment Directors: Allen Mincho, Len Glazer, Dustin Johnston, Michael Moczalla, Brad Ciociola

Cataloged by: Allen Mincho

HERITAGE

WORLD PAPER MONEY AUCTION

SEPTEMBER 5-8, 2012 | LONG BEACH | LIVE & ONLINE



CONSIGNMENT DEADLINE: JULY 16

If you have been considering consigning your important collection to a Heritage event, now is the time to act. We welcome your consignment, whether you wish to sell an entire collection or just one important item. We want to help you be richly rewarded for your years of dedicated collecting. Contact us today for a confidential evaluation.



Dustin Johnston
Director of Currency Auctions
214-409-1302
DJohnston@HA.com



Michael Moczalla
Consignment Director
214-409-1481
MichaelM@HA.com



Brad Ciociola
Consignment Director
214-409-1752
BradC@HA.com

Free catalog and *The Collector's Handbook* (\$65 value) for new clients.
Please submit auction invoices of \$1000+ in this category, from any source. Include your contact information and mail to Heritage, fax 214-409-1425, email CatalogOrders@HA.com, or call 866-835-3243.
For more details, go to HA.com/FCO

Annual Sales Exceed \$800 Million | 750,000+ Online Bidder-Members

3500 Maple Avenue | Dallas, Texas 75219 | 800-872-6467 | HA.com

DALLAS | NEW YORK | BEVERLY HILLS | SAN FRANCISCO | PARIS | GENEVA

TX & NY Auctioneer license: Samuel Foote 11727 & 0952360. Heritage Auction Galleries CA Bond #RSB2004175; CA Auctioneer Bond: Carolyn Mani #RSB2005661.
This auction subject to a 17.5% buyer's premium. Visit HA.com for more information.

HERITAGE HA.com
AUCTIONS

Order of Auction

| | Lot | Lot |
|------------------------------------------------------------------------------------|-------|-------|
| <i>The Long Island Sound Collection</i> | | |
| Connecticut | 15001 | 15129 |
| New York | 15130 | 15183 |
| <i>Selections from the Collection of Jess Lipka and Other Important Properties</i> | | |
| NATIONAL BANK NOTES | | |
| Alabama | 15184 | |
| Arkansas | 15185 | 15186 |
| California | 15187 | 15192 |
| Colorado | 15193 | 15195 |
| Delaware | 15196 | |
| District of Columbia | 15197 | 15199 |
| Florida | 15200 | 15205 |
| Georgia | 15206 | 15212 |
| Hawaii | 15213 | 15214 |
| Idaho | 15215 | |
| Illinois | 15216 | 15221 |
| Indiana | 15222 | 15226 |
| Iowa | 15227 | 15229 |
| Kentucky | 15230 | 15233 |
| Maine | 15234 | 15238 |
| Maryland | 15239 | 15245 |
| Massachusetts | 15246 | 15250 |
| Michigan | 15251 | 15259 |
| Minnesota | 15260 | 15261 |
| Mississippi | 15262 | 15263 |
| Missouri | 15264 | 15266 |
| Montana | 15267 | |
| Nebraska | 15268 | 15273 |
| New Hampshire | 15274 | |
| New Jersey | 15275 | 15284 |
| New Mexico | 15285 | |
| New York | 15286 | 15321 |
| North Carolina | 15322 | 15328 |
| North Dakota | 15329 | 15330 |
| Ohio | 15331 | 15337 |
| Oklahoma | 15338 | |
| Oregon | 15339 | |
| Pennsylvania | 15340 | 15373 |
| Rhode Island | 15374 | 15376 |
| Tennessee | 15377 | 15378 |
| Texas | 15379 | 15380 |
| Utah | 15381 | 15383 |
| Vermont | 15384 | |
| Virginia | 15385 | 15398 |
| West Virginia | 15399 | 15401 |
| Wisconsin | 15402 | 15405 |
| Wyoming | 15406 | 15407 |

SESSION ONE

Floor, Telephone, Heritage Live!™, Internet, Fax, and Mail Signature® Auction # 3518
Friday, August 3, 2012 | 6:00PM ET | Philadelphia | Lots 15001 - 15407

A 17.5% Buyer's Premium (\$14 minimum) Will Be Added To All Lots
To view full descriptions, enlargeable images and bid online, visit HA.com/3518

The Long Island Sound Collection

It is with considerable pride that we present The Long Island Sound Collection to our bidders this evening. While more than a few collectors have collected notes from the Nutmeg State over the past half century, and many have sought to complete collections from the four counties which comprise Long Island, New York, only the individual whose collection we present this evening has had the temerity to aspire to creating the most complete collection from both of these locations. His task, already monumental in scale, was made even more difficult because both Connecticut and Long Island have long been resident to well financed and dedicated members of the collecting fraternity whose tenure, in many cases, eclipsed his own. How then was our consignor able to achieve the nearly impossible, and create the collection that you see before you tonight? The answer lies in perseverance, tenacity, and just plain hard work, along with a near-fanatical dedication to finding and acquiring the most desirable, the most rare, and the highest grade examples that exist, in many cases regardless of cost. For those wondering how to build a landmark collection, look below...the answer is right in front of you. Enjoy this wonderful offering, as it will likely be many years before its equal can be assembled.

NATIONAL BANK NOTES

CONNECTICUT



15001 Ansonia, CT - \$1 Original Fr. 380 The Ansonia NB Ch. # 1093

An attractive ace starts off this remarkable offering of Connecticut notes, which represents the single best collection of Nationals from the Nutmeg State ever offered at public sale. The collection combines many of the highlight items from the two most significant collections sold during the past two decades, the 1998 CAA offering as well as the holdings of Don Gilletti, as well as many other notes acquired privately over the years by our consignor. This piece comes from Ansonia's only issuing bank, and is one of only two aces reported from this institution. PCGS **Apparent Very Fine 30**, with a couple of rather inconsequential edge and internal splits. (3,500-5,500)
Starting Bid: \$2,100



15002 Bethel, CT - \$5 1902 Plain Back Fr. 602 The Bethel NB Ch. # 10289

A very scarce large example from the only collectible bank in this rural Fairfield County location. This piece is new to the census, and is the highest grade large note reported to date from a bank which had but \$910 outstanding in 1935. PCGS **Apparent Very Fine 25**, with a couple of minor edge tears more than offset by clear signatures and a sharp overall appearance. (3,500-5,500)
Starting Bid: \$2,100



15003 Bridgeport, CT - \$10 1882 Brown Back Fr. 479 The First NB Ch. # 335

A high grade \$10 Brown Back which displays bright colors, sharp pen signatures, and few traces of any real circulation. Although this hardly ranks as a scarce bank, early notes are decidedly uncommon, with the census showing only a pair of First Charters and less than a handful of Brown Backs. PCGS **Very Fine 35**. (1,500-2,000)
Starting Bid: \$900



15004 Bridgeport, CT - \$5 1902 Red Seal Fr. 587 The First NB Ch. # (N)335

A boldly printed and vividly colored \$5 Red Seal which traces its pedigree back to our September, 2000 Cincinnati sale, where it fetched \$3300, a figure right in line with the last Choice New Red Seal from here, which brought \$3450 when it sold as part of the Gilletti holdings in 2008. PCGS **Very Choice New 64**, a perfect example to represent Connecticut in any high end state set. (3,000-4,000)

Starting Bid: \$1,800



15007 Bridgeport, CT - \$5 1902 Red Seal Fr. 587 The Bridgeport NB Ch. # (N)910

A lovely Red Seal which is easily the finest note extant from this better bank. It far surpasses the Red Seal we offered back in 2007, which graded only Fine-Very Fine and fetched over \$2500. PCGS **Very Fine 30PPQ**, with bold black signatures and a vivid red overprint. (3,000-5,000)

Starting Bid: \$1,800



15005 Bridgeport, CT - \$10 1902 Plain Back Fr. 626 The First NB Ch. # (N)335

A new to the census specimen which raises the number of serial number 1 examples from this institution to two. PCGS notes an edge repair at the top right, a trait it shares with the other reported number 1 note, which is also repaired. Pen signed PCGS **Apparent Very Fine 35**. (2,250-3,250)

Starting Bid: \$1,350



15008 Bridgeport, CT - \$10 1882 Brown Back Fr. 480 The City NB Ch. # 921

A high grade specimen from a Bridgeport bank which issued large notes only. Only one other high grade Brown Back has been available from this institution during the past two decades, with that the piece from the Gilletti holdings, an AU note which realized \$4140 four years ago. Pen signed PCGS **Very Fine 30PPQ**. (2,500-3,500)

Starting Bid: \$1,500



15006 Bridgeport, CT - \$5 1882 Brown Back Fr. 474 The Bridgeport NB Ch. # 910

Large notes only from this tougher Bridgeport bank, which liquidated in 1909. Pen signed PCGS **Very Fine 25**, and further enhanced by its strikingly unusual title layout. (1,750-3,250)

Starting Bid: \$1,050



15009 Bridgeport, CT - \$10 1902 Red Seal Fr. 613 The Connecticut NB Ch. # (N)927

An evenly circulated Red Seal which is a bit nicer than the example in the Gilletti holdings which realized \$1840 over four years ago. PCGS **Fine 15**, with good color and appearance for the grade. (1,750-2,250)

Starting Bid: \$1,050

Scarce Bridgeport \$10 1875



15010 Bridgeport, CT - \$10 1875 Fr. 416 The Pequonnock NB Ch. # 928

Bridgeport's scarcest issuer, and even tougher to find in any but the very lowest of grades, with the census showing more than a handful of About Goods, Goods, and Very Goods. Even the massive Gilletti holdings only contained one note from this bank, with that piece graded About Good, and one has to go back to our January, 1998 offering to find any note from this institution grading better than Very Good. Fortunately for collectors, the Long Island Sound collection contains a real peach of a First Charter, a pen signed 1875 \$10 which PCGS has graded **Very Fine 30PPQ**. Expect some serious competition before the hammer falls, likely in the range of... (4,000-6,000)

Starting Bid: \$2,400

High Grade Serial Number 1 Brown Back



15011 Bristol, CT - \$5 1882 Brown Back Fr. 474 The Bristol NB Ch. # (N)2250

A gorgeous serial number 1 Brown Back which displays barely a trace of any wear. This pen signed beauty was one of the highlights of the Gilletti collection, where it realized \$9200 four years ago. It is still the sole number 1 example known from this bank, which was Bristol's only issuer, and is certainly every bit as desirable as it was just a few years ago. Your cataloguer realizes that the market has changed during the past few years, but this note is still one of only slightly more than a dozen serial number 1 Brown Backs extant from all banks in Connecticut combined, and remains a beauty worthy of a place in the very finest of collections. Pen signed PCGS **Extremely Fine 45PPQ**. (7,000-9,000)

Starting Bid: \$4,200

Elusive Canaan Large Size National



15012 Canaan, CT - \$10 1902 Plain Back Fr. 626 The Canaan NB Ch. # (N)8511

A very scarce Litchfield County bank in large, with a population of only three, including this piece. It traces its pedigree to the wonderful Connecticut collection we sold in January of 1998, an assemblage that had laid undisturbed for more than two decades since the demise of the collector who had acquired the notes in the mid-1970's. From there it passed into our consignor's hands after a subsequent auction offering in late 2008. Nice PCGS **Very Fine 25**, a problem free piece certain to please. (5,000-7,000)

Starting Bid: \$3,000

Serial Number 1 Clinton Brown Back



15013 Clinton, CT - \$5 1882 Brown Back Fr. 467 The Clinton NB Ch. # 1314

A bright pen signed serial number 1 \$5 Brown Back which appears new in its PCGS holder. This is the only bank in the state which has a plethora of serial number 1 examples listed in the census, making this a perfect specimen for a collector seeking such an example for a top-notch state or type set. Pen signed PCGS **Choice About New 55**. (4,500-5,500)

Starting Bid: \$2,700



- 15014 **Danbury, CT - \$20 1882 Brown Back Fr. 494 The Danbury NB Ch. # (N)943**
One of only two \$20 Brown Backs from this bank in the census, and by far the nicer of the pair. PMG **Very Fine 25**. (1,500-2,500)
Starting Bid: \$900



- 15015 **Danbury, CT - \$10 1902 Red Seal Fr. 613 The Danbury NB Ch. # (N)943**
An extremely scarce type from this well collected Fairfield County locale, with this one of only three Red Seals recorded from here to date. All have been closely held for many years, with this the first of the trio to become available at public sale in more than two decades. Attractive PCGS **Very Fine 30PPQ**, with jet black signatures and a bold red overprint. (2,000-3,000)
Starting Bid: \$1,200



- 15016 **Danbury, CT - \$1 Original Fr. 380 The National Pahquioque Bank Ch. # 1132**
A very scarce note bearing the first of this bank's three titles, which is found on First Charters and Brown Backs only. The Pahquioque, for those interested, were a Native American tribe resident in the Danbury and western Connecticut area whose range extended up and down the Housatonic River valley. PCGS **Good 6**, with a few minor flaws but actually quite solid for the grade. (1,750-3,250)
Starting Bid: \$1,050



- 15017 **Danbury, CT - \$20 1902 Date Back Fr. 642 The City NB Ch. # (N)1132**
A more than acceptable example from an always in demand location. PCGS **Apparent Very Fine 20**. PCGS notes some edge splits and repairs, along with staining on the back, but the note faces up as a full Very Fine. (600-900)
Starting Bid: \$360

Rare & Desirable Superb Gem New 67PPQ Danielsonville Brown Back



15018 Danielsonville, CT - \$5 1882 Brown Back Fr. 474 The Windham County NB Ch. # (N)1360

A spectacular note in all respects, combining grade, desirability and rarity in equal measure. The Danielsonville title was used only on Brown Backs from this bank, and lasted for only a decade until the town changed its name to Danielson. Only four examples are known bearing this nomenclature, with this the only specimen available during the past fifteen years. It is by far the finest reported, and is in fact as nice as any third party graded Brown Back from this state we have ever encountered. Our consignor paid over \$15,000 for this magnificent note in its last auction appearance a decade ago, and we see no reason whatever to believe it will not realize that figure or more today. PCGS **Superb Gem New 67PPQ**, a true Connecticut trophy item if ever there was one. (17,500-27,500)

Starting Bid: \$10,500



15019 Danielson, CT - \$10 1929 Ty. 1 The Windham County NB Ch. # 1360

A gorgeous small size serial number 1 example which makes a perfect companion to the wonderful \$5 Brown Back offered below. PCGS Gem New 66PPQ (2,000-2,500)

Starting Bid: \$1,200



15022 East Haddam, CT - \$5 Original Fr. 397 The NB of East Haddam Ch. # 1480

A just plain rare bank which issued First Charters and Brown Backs only before liquidating at the expiration of its charter in 1905. Track & Price shows only three auction appearances of any kind since 1990, with two of those representing the same note. PCGS Very Fine 30, with an even higher grade look in the holder. (5,000-7,000)

Starting Bid: \$3,000



15020 Deep River, CT - \$5 1882 Brown Back Fr. 467 The Deep River NB Ch. # 1139

A scarce early note from this one bank Middlesex County community. It's the only Brown Back from Deep River to have been offered during the past twenty five years, and was acquired by our consignor from our landmark Connecticut offering in January, 1998. Pen signed PCGS Very Fine 20. (2,000-3,000)

Starting Bid: \$1,200



Finest of Three Known

15023 East Haddam, CT - \$10 1902 Plain Back Fr. 624 The NB of New England of East Haddam Ch. # (N)7812

A grandiloquent mouthful of a title for this very rare bank, which was the successor institution to the charter offered above. Large notes only were issued from here, and, although the bank survived the Depression, a meager \$930 in currency was outstanding at the last tally in 1935. This piece, formerly part of the Gilletti holdings, is one of only three known, and the highest grade of the trio. PCGS Very Fine 25. (6,000-8,000)

Starting Bid: \$3,600



15021 Derby, CT - \$5 1902 Red Seal Fr. 587 The Birmingham NB Ch. # (N)1098

A very common bank, but not in Red Seals, as the census shows just three such examples, with this one of that trio. PCGS Very Fine 20. (2,250-3,250)

Starting Bid: \$1,350

Finer of Two Known



15024 East Port Chester, CT - \$10 1902 Plain Back Fr. 635 The Byram NB Ch. # 12973

A beautiful piece which was one of the highlight offerings of our January, 1998 Connecticut collection sale. It's one of only two large notes known from this Fairfield County issuer, and by far the finer of the pair. The Gilletti specimen, which was considerably inferior to this example, brought over \$6000 when it sold in 2008, and we would not be at all surprised if this example outpaced that figure by a considerable margin. PCGS **Choice About New 58PPQ**, a simply wonderful piece from a bank which had a miniscule \$400 outstanding in large in 1935. (7,000-9,000)

Starting Bid: \$4,200



15025 Falls Village, CT - \$20 1902 Red Seal Fr. 639 The National Iron Bank Ch. # (N)1214

This is an excessively rare type from this bank, where it joins one Brown Back as the only two pre-Blue Seal examples known from here. The entire census of \$20 Red Seals from Connecticut numbers little more than two dozen pieces, the majority of which are from the largest towns and cities. The sole auction appearance that this piece has made came in 2006, where our consignor acquired this example at our Central States sale for \$6325. Pen signed PCGS **Very Fine 20**. (6,000-8,000)

Starting Bid: \$3,600

Much in Demand Greenwich Red Seal



15026 Greenwich, CT - \$10 1902 Red Seal Fr. 614 The Greenwich NB Ch. # (N)8243

For many years notes from this bank, which issued large notes only and was the sole issuer in this ultra-affluent Fairfield County community, were unknown to collectors. The discovery of this specimen, which was first offered to collectors in our September, 1996 CAA sale, aroused much interest, with the note selling for almost \$3000, an incredible price for any Connecticut note in that era. Another example turned up in our Connecticut offering in January, 1998, and a handful more, including a Red Seal sheet, were uncovered by Don Gilletti. Interestingly, when the Gilletti holdings were sold over a two year period, each of the Greenwich notes brought ever escalating prices, with the Red Seal sheet selling for a somewhat incredible \$51,750. This marks the first appearance of any note from Greenwich in four years, and we would not be at all to see this pen signed Red Seal which grades a pleasing PCGS **Very Fine 25**, easily reach or exceed our likely conservative estimate of... (8,000-10,000)

Starting Bid: \$4,800

Attractive Guilford \$5 1882 Brown Back



15027 Guilford, CT - \$5 1882 Brown Back Fr. 477 The Guilford NB Ch. # (N)5358

This short lived bank was Guilford's only issuer, lasting a mere fourteen years before closing its doors forever in 1914. As one might expect, offerings have been few and far between, with appearances at public sale coming only in our January, 1998 Connecticut collection sale and again when the Gilletti holdings were liquidated in 2007 and 2008. This attractive pen signed Brown Back realized \$7820 when it last appeared four years ago, and should be worth every cent of that figure by the time the hammer falls today. Sharp PCGS **Very Fine 25**. (7,000-9,000)

Starting Bid: \$4,200



15028 Hartford, CT - \$5 1902 Red Seal Fr. 587 The First NB Ch. # (N)121

A flashy Red Seal which is by far the finest of only a literal handful of Red Seals extant from this state capital bank. PCGS Choice About New 55. (2,500-3,500)

Starting Bid: \$1,500



15029 Hartford, CT - \$5 1902 Red Seal Fr. 587 The National Exchange Bank Ch. # (N)361

An evenly circulated state capital Red Seal with good color for the grade. PCGS Very Good 10. (1,500-2,000)

Starting Bid: \$900



15030 Hartford, CT - \$5 1902 Red Seal Fr. 587 The Charter Oak NB Ch. # (N)486

A brightly colored Red Seal with a wonderfully evocative and uniquely Connecticut title. The Charter Oak story is part and parcel of this state's civic life, commemorating events of the night in October of 1687 when newly appointed Governor of New England Sir Edmund Andros arrived in Hartford to demand the surrender of Connecticut's Royal Charter which had been granted twenty five years earlier. During a heated evening meeting between the colony's leaders and the royal entourage at Butler's Tavern over Andros' demand to seize the charter, the room was plunged into darkness when the candles that illuminated it were overturned. No one knows whether this was an accident or a crafty maneuver by the aggrieved colonists, but what is known is that Captain Joseph Wadsworth, who was positioned outside the tavern, found himself in possession of the document during the ensuing chaos in the darkness. Wadsworth took it upon himself to hide the charter in a majestic white oak tree on a nearby estate, hence the name "The Charter Oak." Wadsworth's bold move served to preserve not only the document but the rights of the colonists. Recovered later, the Royal Charter now occupies a place of honor in the Connecticut History Museum, and the Charter Oak itself is commemorated on the Connecticut state quarter. PCGS Very Fine 20, the only Red Seal known on the bank and a note which reminds us why we collect these wonderful artifacts of our nation's history. (3,000-4,000)

Starting Bid: \$1,800



15031 Hartford, CT - \$1 Original Fr. 380 The Phoenix NB Ch. # 670

An attractive and evenly circulated ace with excellent margins, signatures and color for the grade. PCGS Very Fine 25. (1,250-1,750)

Starting Bid: \$750

Desirable Hartford Lazy Deuce



15032 Hartford, CT - \$2 Original Fr. 387 The Phoenix NB Ch. # 670

An evenly circulated and problem free example of this always in demand type. The colors are bold, the signatures clear, and the note easily deserves its PCGS assigned grade of **Very Fine 25**. As a bonus, this piece bears the blue Treasury serial number, indicating it comes from the first run of Original Series examples sent from the Comptroller's office to the bank. (5,000-7,000)

Starting Bid: \$3,000

Spectacular Gem Serial Number 1 Connecticut Red Seal



15033 Hartford, CT - \$5 1902 Red Seal Fr. 587 The Phoenix NB Ch. # (N)670

A beautifully margined serial number 1 Red Seal which has every attribute a collector could ever want. The colors are as bold as the day the note was cut from the sheet at the bank, the pen signatures are well nigh perfect, the originality is easily evident, and the overall attractiveness of the note is simply stunning. Our consignor obtained this note and the next two from the collection of Don Gilletti in 2008, and it remains as nice as any note we know of from this bank. PCGS **Gem New 65PPQ**. (12,500-17,500)

Starting Bid: \$7,500

About New 58PPQ Serial Number 1 Hartford Red Seals



15034 Hartford, CT - \$10 1902 Red Seal Fr. 613 The Phoenix NB Ch. # (N)670

The second of a spectacular trio of serial number 1 Red Seals from this venerable Connecticut bank, with each about as pretty as a note can be. Our consignor obtained these notes from the holdings of Don Gilletti, and, as one might expect for items of this quality, they were not inexpensive. On the other hand, who else can boast of owning this outstanding trio. PCGS **Choice About New 58PPQ**. (10,000-12,000)

Starting Bid: \$6,000



15035 Hartford, CT - \$20 1902 Red Seal Fr. 639 The Phoenix NB Ch. # (N)670

The companion to the About New serial number 1 \$10 Red Seal offered above, and its equal in every respect. This piece realized \$14,950 four years ago, and should be worth every penny of that amount tonight. PCGS **Choice About New 58PPQ**. (14,000-18,000)

Starting Bid: \$8,400



- 15036 **Hartford, CT - \$5 1902 Red Seal Fr. 587 The Aetna NB Ch. # (N)756**
An exceptionally bright Red Seal from a tougher state capital bank. The original embossing is still visible through the PCGS holder. **Extremely Fine 40PPQ.** (2,000-3,000)
Starting Bid: \$1,200

One of Four Known



- 15037 **Hartford, CT - \$5 1882 Brown Back Fr. 468 The Mercantile NB Ch. # 1300**
This institution is by far the scarcest of Hartford's twelve issuing banks, with only First Charters and \$5 Brown Backs circulated before the bank went out in 1897. Of the four notes recorded in the census, two have been off the market for more than a quarter century, with the other two coming on the market, as luck would have it, within three months of each other in 2008. Reflecting the demand for better Connecticut material, each of the pair fetched more than \$8000. No other notes have surfaced since 2008, leading to the conclusion that if rarity and desirability are any guide, this PCGS graded **Fine 15** specimen will again reach or exceed... (8,000-10,000)
Starting Bid: \$4,800



- 15038 **Hartford, CT - \$20 1882 Brown Back Fr. 496 The Hartford NB Ch. # 1338**
While this is certainly a common enough bank, only a handful of Brown Backs are reported, with this just the second \$20. Pen signed PCGS **Fine 12.** (900-1,200)
Starting Bid: \$540



- 15039 **Hartford, CT - \$10 1902 Date Back Fr. 616 The Hartford NB Ch. # (N)1338**
This high end Date Back makes a perfect note for any set of state capital notes. PCGS **Very Choice New 64.** (900-1,200)
Starting Bid: \$540



- 15040 **Hartford, CT - \$1 1875 Fr. 384 The City NB Ch. # 1377**
First Charters only from this tougher Hartford bank, with this the only note from the bank to be offered since 2000. Ex-Don Gilletti collection, obtained by our consignor in 2008. PCGS **Apparent Fine 12**, with edge and internal splits and tears noted on the holder. (700-900)
Starting Bid: \$420



15041 Hartford, CT - \$10 1902 Plain Back Fr. 632 The Colonial NB Ch. # (N)10796

Like most of its sister New England states, Connecticut saw a burst of applications for national charters at the inception of the system, with relatively few banks acquiring charters after 1882. In fact, of the twelve banks to issue in Hartford, ten had already received their charters by the end of 1865. This rather obscure institution was the sole issuing National Bank chartered in Connecticut's capital between that date and 1927, which says something about the stability of the state's banking system and its unfriendliness towards new entrants. This institution was hardly a success, lasting less than five years before bowing out in 1920. Fewer than a dozen notes are reported, with this among the nicest. PCGS **Very Fine 20**. (700-900)

Starting Bid: \$420



15042 Hartford, CT - \$5 1902 Plain Back Fr. 609 The Capitol NB Ch. # 13038

A handful of low number sheets have been reported from this late charter bank, along with several single notes. This piece, from the "A" plate position at the top of the sheet, displays an extra-wide top margin along with low serial number 13. PCGS **Choice New 63PPQ**. (1,200-1,600)

Starting Bid: \$720



15043 Litchfield, CT - \$10 1902 Plain Back Fr. 624 The First NB Ch. # (N)709

A high grade circulated piece which displays exceptionally bright colors and strong pen signatures. PCGS **Very Fine 35PPQ**, ex-Don Gilletti collection. (1,000-1,400)

Starting Bid: \$600



15044 Meriden, CT - \$5 1902 Plain Back Fr. 598 The First NB Ch. # 250

Fully original and about as nice as any Connecticut Blue Seal can be. This is the only large note bearing the Meriden title to be graded as uncirculated, and one of only two from this bank reported in that grade. PCGS **Gem New 66PPQ**, ex-Don Gilletti collection. (1,500-2,000)

Starting Bid: \$900

Lovely Serial Number 1 Meriden Red Seal



15045 Meriden, CT - \$5 1902 Red Seal Fr. 587 The Meriden NB Ch. # (N)1382

A great note in all respects, with this Red Seal the only reported serial number 1 of any type or denomination from any Meriden bank. Obtained privately by our consignor many years ago, it dwarfs any of the notes from this bank in both the Gilletti and Connecticut collection holdings, and is by far the most important item to be offered from this community in the past quarter century. PCGS **Extremely Fine 40PPQ**, a true trophy item worthy of the finest of collections. (8,000-12,000)

Starting Bid: \$4,800



15046 Middletown, CT - \$10 1902 Red Seal Fr. 613 The First NB Ch. # (N)397

The first of an exceptional offering from Middletown's four issuing banks. This piece is new to the census, and is the only Red Seal to be reported from this just plain scarce bank. Evenly circulated PCGS **Fine 15**, one of this collection's unexpectedly pleasurable discoveries. (2,500-3,500)

Starting Bid: \$1,500



15047 Middletown, CT - \$5 Original Fr. 397 The Middlesex County NB Ch. # 845

A bright and exceptionally well printed Original Series \$5 with wonderful eye appeal. PCGS has given this an Apparent grade due to a couple of small repaired edge splits, but the note looks far better than its assigned ranking of **Apparent Extremely Fine 40**. (4,500-6,500)

Starting Bid: \$2,700



15048 Middletown, CT - \$5 1882 Brown Back Fr. 467 The Middlesex County NB Ch. # (N)845

A gorgeous \$5 Brown Back with great eye appeal, produced by its combination of bright paper, vivid inks, and bold pen signatures. PCGS **Extremely Fine 45**. (3,000-4,000)

Starting Bid: \$1,800

Magnificent Gem New 65PPQ Middletown Red Seal



15049 Middletown, CT - \$10 1902 Red Seal Fr. 613 The Middlesex County NB Ch. # (N)845

This lovely Red Seal first appeared on the market when it was offered for sale as part of our Connecticut collection offering in January, 1998. We described it there as "Rare, beautiful, and as desirable as they come....This incredible \$10 Red Seal is fully original, blazingly bright, and perfectly centered. It is one of the finest Red Seals we have ever handled, regardless of location-and, it's from a rare bank. Gem Crisp Uncirculated, a perfect note for those who want and can afford the finest." Estimated there at \$1500-2500, it realized \$2640, a high price indeed for any Connecticut note at that time. We fell in love with this note when we first laid eyes upon it nearly fifteen years ago, and it looks just as wonderful seeing it again as part of the Long Island Sound collection. PCGS clearly agrees, having graded this pen signed beauty **Gem New 65PPQ**. Expect the hammer to fall tonight somewhere in the range of... (7,500-12,500)

Starting Bid: \$4,500



15050 Middletown, CT - \$5 1902 Red Seal Fr. 587 The Middletown NB Ch. # (N)1216

A second Middletown Red Seal for the collector who desires and can afford the very finest, with this piece displaying every attribute a collector could desire. It is screamingly original, with embossing that can easily be seen inside the PCGS holder, well high perfectly centered on both face and back, and has the appearance of a note which came off the press this very morning, with flawless color, paper, and inks. PCGS **Gem New 66PPQ**. (4,000-6,000)

Starting Bid: \$2,400



15051 Middletown, CT - \$5 1902 Plain Back Fr. 598 The Central NB Ch. # 1340

A last high grade note from Middletown, with this example showing only the faintest traces of light handling through its PCGS holder. **Choice About New 58PPQ**. (600-800)

Starting Bid: \$360

Unique With This Title



15052 Moosup, CT - \$20 1929 Ty. 2 The Plainfield NB Ch. # 10145

This serial number 1 example is a true miracle of survival, as it is the only note known which bears this bank's second title. This nomenclature was used on only a handful of sheets, 141 sheets of tens and only 15 sheets of twenties, all circulated at the very end of the note issuing period. No other examples have turned up, leaving this well circulated but fully intact number 1 note as the only survivor displaying this title. Any estimate here is truly a guess, but only one collector will be fortunate enough to own a note from Moosup when the hammer falls tonight. (5,000-7,000)

Starting Bid: \$3,000



15053 Mystic, CT - \$5 1929 Ty. 2 The Mystic River NB Ch. # 645

An attractive Type 2 example which appears fully new through the PCGS holder. According to the Kelly reference, the town of Mystic River changed its name to Mystic in 1899, although the bank title itself remained unchanged. **Extremely Fine 45**. (1,200-1,600)

Starting Bid: \$720

One of Three Known



- 15054 **Mystic, CT - \$1 1875 Fr. 385 The Mystic NB Ch. # 1268**
An extremely rare bank which issued only First Charters and Brown Backs before liquidating in 1887. Just three notes are listed in the census, all First Charter aces (two originals and this lone 1875), and we have had the privilege of selling all of them, one in 1998, one in 2000, and one in 2011, each about the same grade and each at an ever escalating price. The last to sell was graded Fine 12 and brought \$6468.75. This piece, which is the example we sold back in 1998, is every bit the equal of that note, with its only defect a paper pull on the reverse. PCGS **Apparent Very Fine 25**, a true Connecticut rarity and a charter missing from even as massive a collection as the holdings of Don Gilletti. (7,000-9,000)
Starting Bid: \$4,200

Third Offering In Six Decades



- 15055 **Mystic Bridge, CT - \$2 Original Fr. 387 The First NB Ch. # 251**
An excessively rare note from a bank which has seen only three offerings at public sale during the past sixty years. Although the census shows four notes known, two of that number have been off the market for decades, leaving only two that are available to this generation of collectors. The only other offering in the past fifteen years saw a Series 1875 \$5 grading VF 20 fetching \$13,800 at the 2009 Memphis sale. This is the sole Lazy Deuce reported from this institution, which was the only issuer in Mystic Bridge, making this a very rare opportunity for one fortunate collector to add a new town to his holdings. Evenly circulated PCGS **Apparent Very Good 10**, with the Apparent grade levied only because of a couple of meaningless edge splits that affect nothing. (12,500-17,500)
Starting Bid: \$7,500

High Grade Mystic Bridge 1875 \$5



- 15056 **Mystic Bridge, CT - \$5 1875 Fr. 402 The First NB Ch. # 251**
This is the second of two notes from this excessively rare bank in the Long Island Sound collection. Unlike the Lazy Two above, it is a high grade piece with bright colors, bold inks, and strong signatures. PCGS notes minor repairs, but, if they are present, they certainly appear quite minor to your cataloguer. **Apparent Very Fine 35. (20,000-25,000)**
Starting Bid: \$12,000



- 15057 **Mystic River, CT - \$1 Original Fr. 380 The Mystic River NB Ch. # 643**
An attractive Original ace which our consignor obtained in our January, 1998 Connecticut collection sale. It's one of just two Original Series aces extant from this institution, and by far the nicer of the pair. The Gilletti collection specimen, an 1875 ace in just about the same grade, realized \$4370 in 2007. PCGS **Apparent Extremely Fine 40**, with some repaired edge splits and a few pinholes. (4,000-6,000)
Starting Bid: \$2,400



15058 Naugatuck, CT - \$5 1882 Brown Back Fr. 467 The Naugatuck NB Ch. # 3020

New to the census, and only the second Brown Back reported from this just plain scarce one bank location to date. Even the Gilletti holdings contained only one large note from this community, with that a 1902 Plain Back. Pleasing PCGS **Apparent Fine 15**, with the Apparent grade coming only for a couple of small repaired edge splits that affect nothing. (4,500-6,500)

Starting Bid: \$2,700



15059 New Britain, CT - \$1 Original Fr. 380 The New Britain NB Ch. # 1184

A scarce bank, particularly in large size. This ace with the charter number overprinted is the sole \$1 reported from this charter to date, although a few minor flaws have resulted in a PCGS assigned grade of **Apparent Fine 15**. (1,750-2,250)

Starting Bid: \$1,050



15060 New Britain, CT - \$5 1875 Fr. 403 The New Britain NB Ch. # 1184

A bright and attractive appearing note, but with several repairs that are noted by PCGS on the holder. **Apparent Very Fine 30**. (2,000-3,000)

Starting Bid: \$1,200

Serial Number 1



15061 New Britain, CT - \$10 1929 Ty. 1 The New Britain NB Ch. # 1184

A new to the census example from the Long Island Sound collection. It is the top note from the first sheet delivered to the bank, and is the only number 1 example, large or small, known from this venerable institution. PMG **Extremely Fine 40**. (1,750-2,250)

Starting Bid: \$1,050

Unique for the Bank



15062 New Britain, CT - \$10 1882 Brown Back Fr. 485 The Mechanics NB Ch. # 3668

An excessively rare bank which issued Brown Backs and a literal handful of Red Seals only before liquidating at the expiration of its charter in 1907. This piece, which was formerly part of the Gilletti holdings, is quite well circulated but unique. In its only appearance at public sale, it fetched over \$8000 when auctioned in 2008. That may seem high for a note in this grade, but where can another be obtained at any price? PCGS **Good 4**. (8,000-10,000)

Starting Bid: \$4,800



- 15063 **New Britain, CT - \$10 1902 Plain Back Fr. 635 The City NB Ch. # 12846**
 A seldom seen bank, with the first example we've had to offer from here since 2002. PCGS **Apparent Very Fine 25**, with some very minor edge repairs in the top margin. (1,000-1,200)
Starting Bid: \$600

Finer of Two Known New Canaan First Charters



- 15064 **New Canaan, CT - \$5 1875 Fr. 401 The First NB Ch. # 1249**
 An extremely rare early note from one of the most avidly sought after banks in the state. This was New Canaan's only issuer, with the census previously showing only one First Charter extant, with that example grading only Very Good. This note, part of the Long Island Sound collection, is both new to the census and far superior to the one example previously listed. It is an attractive blue end paper specimen with good color and body for its grade and which displays no flaws whatever. PCGS **Very Fine 20**, a note that many collectors have waited many long years to see. (6,000-9,000)
Starting Bid: \$3,600



- 15065 **New Canaan, CT - \$5 1902 Plain Back Fr. 598 The First NB Ch. # (N)1249**
 A second large size New Canaan note, with this piece grading an unmolested PCGS **Very Fine 25**. (1,400-1,800)
Starting Bid: \$840

All the Twos That Are Fit to Print



- 15066 **New Haven, CT - \$5 1902 Plain Back Fr. 609 The First NB & TC Ch. # 2**
 Double serial number 2 from charter number 2...a wonderful note which our consignor obtained at our sale in January of 1998, where it fetched over \$2000, a very strong price for any Connecticut blue seal at the time. Lovely PCGS **Choice About New 58**. (5,000-7,000)
Starting Bid: \$3,000



- 15067 **New Haven, CT - \$5 1902 Red Seal Fr. 587 The Second NB Ch. # (N)227**
 A beautiful Red Seal centered between four even margins. We have no idea what PCGS saw to merit the assigned grade of **Choice About New 58** rather than Choice or Gem New as this note appears to have come through the front door of the bank this morning...it's that fresh and bright. (4,000-6,000)
Starting Bid: \$2,400

Rare & Lovely Yale Brown Back



15068 New Haven, CT - \$10 1882 Brown Back Fr. 480 The Yale NB Ch. # 796

A lovely pen signed Brown Back which is easily the nicest note in the census from this avidly sought after New Haven bank. Even the massive Gilletti collection contained nothing from this bank but a 1902 Date Back...and that note fetched well over \$4000. PCGS Choice About New 55. (7,000-9,000)
Starting Bid: \$4,200



15069 New Haven, CT - \$10 1875 Fr. 416 The Merchants NB Ch. # 1128

Although this was one of the largest issuers in New Haven, early notes from this bank are very scarce, with the census showing only six First Charters of all types and denominations. This piece is by far the nicest, with only one other grading as high as Very Fine. Brightly colored PCGS graded Extremely Fine 40PPQ, with great originality and eye appeal. (4,000-6,000)
Starting Bid: \$2,400



15070 New Haven, CT - \$10 1902 Date Back Fr. 616 The National Tradesmen's Bank Ch. # (N)1202

A gorgeous note with loads of eye appeal, a nice mercantile title, and a Vice Presidential signature. It's one of only three large examples in the census graded as uncirculated, and by far the nicest we've ever seen. Pen signed PCGS Gem New 65PPQ. (1,500-2,000)
Starting Bid: \$900



15071 New Haven, CT - \$5 1882 Brown Back Fr. 474 The National New Haven Bank Ch. # 1243

This pen signed \$5 Brown Back bears a distinctive "Olde English" font that is found, to our best knowledge, only on \$5 Series 1882 examples issued by this bank. Pleasing PCGS Very Fine 30. (2,250-3,250)
Starting Bid: \$1,350

15072 New Haven, CT - \$5 1902 Plain Back Fr. 606 The New Haven Bank National Banking Assoc Ch. # (N)1243

An attractive piece bearing the second title used by the bank. PCGS Very Fine 25. (400-600)
Starting Bid: \$240



- 15073 New Haven, CT - \$20 1882 Brown Back Fr. 495 The New Haven County NB Ch. # 1245
A scarce New Haven bank which went out in 1915. Fewer than a dozen examples of all types are known, with this piece having been obtained by our consignor from the holdings of the Gilletti collection. PCGS **Very Fine 25**. (2,000-3,000)
Starting Bid: \$1,200

Unique From This Charter



- 15074 New Haven, CT - \$10 1882 Brown Back Fr. 479 The First NB Ch. # 2682
This bank was the recharter of the original First NB of New Haven, which was assigned charter number 4 when it organized as a national bank in 1863. Due to the ambiguous wording of the statute enabling the formation of the national banking system, there existed considerable confusion in early 1882 whether banks could renew their twenty year charters which would by law expire in mid-1882, or would have to apply to the Comptroller of the Currency for new twenty year charters. Considering that the alternative was the abrupt termination of their corporate existence as national banks, the large majority of affected banks opted to apply for new charters, with this institution, for example, officially liquidating on May 6, 1882 and resuming business the same day under its new charter, number 2682. New legislation allowing banks to simply renew their charters soon solved this problem, with most of the affected banks, including this institution, applying some years later to re-assume their original low charter numbers. This Brown Back is the sole surviving example bearing charter number 2682. We sold it back in 1998 for \$1980, and it sold again as part of the Gilletti holdings ten years later for \$10,925. It is still unique, and could well realize even more this evening. PCGS **Apparent Very Good 10**, with a couple of very minor repaired edge splits. (10,000-12,000)
Starting Bid: \$6,000



- 15075 New London, CT - \$1 1875 Fr. 384 The NB of Commerce of New London Ch. # 666
The only 1875 ace known from this bank, with this note formerly part of the Q. David Bowers collection. PMG **Very Good 8**. (1,500-2,000)
Starting Bid: \$900



- 15076 New London, CT - \$10 1902 Red Seal Fr. 613 The NB of Commerce Ch. # (N)666
The only Red Seal in the census from this bank which, in a less enlightened era, accepted a charter bearing the mark of the beast. The acceptance of charter number 666 did not seem to harm the bank's fortunes, as it survived throughout the note issuing period. Bright PCGS **Very Fine 25**, with sharp jet black signatures. (2,000-2,500)
Starting Bid: \$1,200



- 15077 New London, CT - \$20 1902 Plain Back Fr. 650 The National Whaling Bank Ch. # (N)978
A great title graces this none too common large note, which is only the fourth such example to appear at public sale since 2000. Nice PCGS **Very Fine 20PPQ**. (1,750-2,250)
Starting Bid: \$1,050



- 15078 New London, CT - \$1 Original Fr. 380 The New London City NB Ch. # 1037
New to the census, and one of only three Original Series examples of all denominations reported to date. The Gilletti specimen, an ace grading Very Fine, realized \$3047.50 four years ago. This evenly circulated PCGS **Fine 15** ace should easily reach or exceed... (1,750-2,250)
Starting Bid: \$1,050



- 15082 Norwalk, CT - \$10 1882 Brown Back Fr. 480 The Fairfield County NB Ch. # 754
A scarce early note from this tougher Norwalk bank. PCGS **Apparent Fine 12**, with a small repaired edge tear at the top. (2,000-3,000)
Starting Bid: \$1,200



- 15079 New London, CT - \$5 1902 Plain Back Fr. 598 The New London City NB Ch. # (N)1037
A very high grade Blue Seal which has retained its full originality. PCGS **Extremely Fine 45PPQ**. (400-600)
Starting Bid: \$240



- 15083 Norwalk, CT - \$5 1882 Brown Back Fr. 467 The NB of Norwalk Ch. # 942
The nicest Brown Back in the census from this always in demand Fairfield County bank. PCGS **Extremely Fine 40PPQ**, ex-Gilletti holdings, where it realized \$3910 when last offered in June, 2008. (4,000-6,000)
Starting Bid: \$2,400



- 15080 New Milford, CT - \$20 1902 Plain Back Fr. 650 The First NB Ch. # 1193
A tougher bank to obtain in large size, with this the nicest example from New Milford's one issuer that Don Gilletti was able to find. Track & Price shows no offerings of any kind from this community since 2008. PCGS **Apparent Very Fine 25**, with one small edge split at the bottom right. (1,200-1,600)
Starting Bid: \$720

- 15081 New Milford, CT - \$10 1929 Ty. 1 The First NB Ch. # 1193
A more than acceptable Series 1929 example. PCGS **Very Fine 35PPQ**. (450-650)
Starting Bid: \$270



15084 Norwich, CT - \$10 1882 Brown Back Fr. 479 The First NB Ch. # 458

An evenly circulated Brown Back from the third of Norwich's eight issuing banks, a somewhat incredible number of issuers even for a prosperous and growing community of some 15,000 inhabitants in 1865. Norwich owns the distinction of being the birthplace of Benedict Arnold (listed in the city's Wikipedia entry as a "famous colonial soldier"), whose portrait hangs prominently in the town's main library (Come home, Benedict, all is forgiven), and was also the birthplace of Senator Thomas J. Dodd, the father of Senator Christopher Dodd and the scion of this ethically challenged family. Pen signed PCGS **Very Good 10**. (600-900)

Starting Bid: \$360



15085 Norwich, CT - \$1 Original Fr. 380 The Thames NB Ch. # 657

This institution was by far the largest of Norwich's eight National banks, issuing continuously from 1865 through 1929. While more than a few Original aces are reported, this piece, which was acquired by our consignor at the sale of the Q. David Bowers collection of First Charter ones, is the nicest of any listed in the census. PCGS **Very Fine 25**, and bearing the blue Treasury number at the left signifying its early issue. (2,000-3,000)

Starting Bid: \$1,200



15086 Norwich, CT - \$10 1902 Red Seal Fr. 613 The Thames NB Ch. # (N)657

A lightly circulated Red Seal with bold pen signatures. PCGS **Very Fine 35**. (1,500-2,500)

Starting Bid: \$900



15087 Norwich, CT - \$1 1875 Fr. 384 The Uncas NB Ch. # 1187

A less available Norwich bank, with this the nicer of the two 1875 aces listed in the census. Well margined PCGS **Very Fine 20**. (2,500-3,500)

Starting Bid: \$1,500

Finest of Three Known



15088 Norwich, CT - \$1 Original Fr. 380 The Shetucket NB Ch. # 1379

First Charters only from this excessively rare Norwich bank, with this bank liquidating at the expiration of its charter in 1885. Just three notes are now known with the addition of this new to the census piece, two aces and one deuce. One ace and the deuce are now in long lasting homes and are quite unlikely to see the light of day for a very considerable period, leaving this example as the sole note available from this short lived institution. Neither our landmark Connecticut offering in 1998 nor the massive Gilletti collection had even one note from this bank to offer. PCGS **Very Fine 30**, considerably the nicest note of the trio and likely to see bidding end in the range of... (12,500-17,500)

Starting Bid: \$7,500



15089 Norwich, CT - \$5 1902 Red Seal Fr. 587 The Merchants NB Ch. # (N)1481

This previously unreported Red Seal now enters the census as the only Red Seal of any denomination known from this tougher Norwich bank. Evenly circulated and nicely signed PCGS **Fine 15PPQ**. (2,500-3,500)

Starting Bid: \$1,500

Rare Pawcatuck Original Series \$5



15090 Pawcatuck, CT - \$5 Original Fr. 397 The Pawcatuck NB Ch. # 919

An extremely rare note which is one of the highlights of this offering. It comes from this community's only issuing bank, one which is so scarce that we have never had even a single example in any previous Heritage or CAA sale. Obtained by our consignor from the Gilletti holdings, its appearance tonight marks what might be the last opportunity to own any note from this short lived bank for the foreseeable future. PCGS **Very Fine 25**, flawless for the grade with bright colors and clear signatures. (7,500-12,500)

Starting Bid: \$4,500



15091 Plainfield, CT - \$20 1902 Plain Back Fr. 654 The First NB Ch. # 10145

A high grade example from one of Connecticut's scarcest locations, with this bank Plainfield's only issuer. Bright PCGS **Very Fine 30**. (2,000-3,000)

Starting Bid: \$1,200



15092 Plantsville, CT - \$5 1902 Plain Back Fr. 609 The Plantsville NB Ch. # 12637

A lovely pen signed example bearing single digit serial number 2 which comes from our Connecticut collection sale of January, 1998. The sole large note from Plantsville in the Gilletti holdings graded only Very Fine with a normal serial number and fetched \$2645. This PCGS graded **Extremely Fine 45** is likely worth considerably more. (3,000-4,000)
Starting Bid: \$1,800



15093 Plantsville, CT - \$5 1929 Ty. 1 The Plantsville NB Ch. # 12637

An attractive Series 1929 example which has been off the market since we sold it in 2004. PCGS **Very Fine 35PPQ**. (900-1,100)
Starting Bid: \$540

Lovely Portland Red Seal



15094 Portland, CT - \$5 1902 Red Seal Fr. 587 The First NB Ch. # (N)1013

This was one of our favorite notes in the Connecticut collection which we sold back in January of 1998, where we described it as "A magnificent note which is as nice a Red Seal as exists from here or from any other bank in the state. Pen signed Gem Crisp Uncirculated, a true wonder note available only when great collections such as this are dispersed to collectors." This is one of two Red Seals extant from the bank, with the other, which is this note's virtual twin, bringing \$6900 when sold as part of Don Gilletti's collection four years ago. PCGS has, in its pursuit of today's obsession with margins, graded this otherwise superb Red Seal as **Very Choice New 64PPQ**, but whatever the technical grade, this example is certain to delight its fortunate new owner. (7,000-9,000)

Starting Bid: \$4,200



15095 Putnam, CT - \$5 1882 Brown Back Fr. 466 The First NB Ch. # 448

A well margined early example with bright colors, sharp printing, and strong signatures. There is a small repair to the left of the portrait, but the repair is barely noticeable within the PCGS holder. This is a tough bank which issued large notes only, with even the massive Gilletti holdings containing only one piece, a 1902 \$10 Date Back. In fact, the last offering of any early note from this bank came back in 1990, when we had a low grade Brown Back in our first CAA sale. **Apparent Fine 15**. (3,500-4,500)

Starting Bid: \$2,100

Unique From This Location



15096 Putnam, CT - \$10 1882 Brown Back Fr. 485 The Thompson NB Ch. # 1477

Our consignor obtained this unique item in our Memphis, 2010 sale, where we described it as "An incredible new discovery from one of Connecticut's few truly rare banks. This institution, which was originally chartered in Thompson, issued First Charters and Brown Backs from that venue before moving to nearby Putnam, where it issued a mere 870 sheets of Brown Backs before liquidating in 1893. Only one note is known from the Thompson location (which we sold in January of 2004 for \$27,600) and no notes whatever had been reported from the Putnam location before this specimen emerged from the marvelous C.A. Dolph & Son collection, where it had been laying undisturbed since the late 1940's. Fortunately for this generation of collectors, Mr. Dolph and his son had a great eye for quality in an era when few cared, with this note typical of just about all in their holdings, being a lightly circulated and utterly problem free piece with bright paper, great colors, and strong signatures. Very Fine 25, a wonderful Connecticut trophy item worthy of the very finest of collections." The note sold for \$17,250 two years ago, and remains just as unique and desirable today as it was then. PCGS **Very Fine 25**. (20,000-25,000)

Starting Bid: \$12,000

Spectacular Serial Number 2 Ridgefield Brown Back



15097 Ridgefield, CT - \$5 1882 Brown Back Fr. 477 The First NB Ch. # 5309

Another highlight from the Long Island Sound collection, with this gorgeous Brown Back easily the finest example from this affluent Fairfield County community to appear at public sale during the past three decades. The margins are cavernous, the delicate pen signatures sharp and clear, and the note appears in all regards to have left the bank this very morning. If rarity, desirability and grade are any guide, our estimate here may well be quite conservative. PCGS **Choice About New 58PPQ**. (6,000-9,000)

Starting Bid: \$3,600



15098 Ridgefield, CT - \$5 1902 Plain Back Fr. 607 The First NB & TC Ch. # 5309

A well circulated piece bearing the second title used by this bank. PCGS **Apparent Fine 12**. (1,500-2,000)

Starting Bid: \$900



- 15099 **Rockville, CT - \$1 Original Fr. 380 The First NB Ch. # 186**
 An extremely rare early note from one of this state's most avidly collected communities. The census reveals only two pre-series 1902 examples from this charter, both Original aces, with even the massive Gilletti holdings containing nothing but Blue Seals and small notes from here. PCGS **Fine 12**. (5,000-7,000)
Starting Bid: \$3,000



- 15100 **Rockville, CT - \$5 1902 Plain Back Fr. 598 The First NB Ch. # (N)186**
 A bright and pen signed example just a center fold from New. PCGS **About New 50**. (2,500-3,500)
Starting Bid: \$1,500



- 15101 **Rockville, CT - \$20 1902 Plain Back Fr. 650 The Rockville NB Ch. # 509**
 A very scarce large note from Rockville's other issuing bank. This piece is one of two found in the Gilletti collection, with ten years having passed between that offering and the last appearance of any large note from this bank at public sale. Sharp PCGS **Very Fine 35**. (1,750-2,250)
Starting Bid: \$1,050

Excessively Rare Seymour Brown Back



15102 Seymour, CT - \$5 1882 Brown Back Fr. 477 The Valley NB Ch. # 5499

A lovely example from this extremely rare bank. In existence for only five years between 1900 and 1905, this bank issued Brown Backs only, with the census showing a mere three pieces extant. One has not been seen since its last auction appearance twenty five years ago, the second, a lower grade specimen listed as Fine, sold as part of the Gilletti holdings for almost \$11,000 in 2008, and this, the third, was obtained by our consignor when it last appeared as part of our Connecticut collection sale in January of 1998. It is far superior to the Gilletti piece, having been graded **Very Fine 35PPQ** by PCGS, an overly conservative assessment in our opinion. Any estimate here is merely a guess, as the appearance of this note may well mark the last opportunity for collectors to obtain any example from this one bank community for years if not generations. (17,500-27,500)

Starting Bid: \$10,500



15103 South Norwalk, CT - \$1 Original Fr. 380 The First NB Ch. # 502

A scarce Fairfield County bank which issued only First Charters and Brown Backs before closing in 1901. Making things even more difficult for collectors is the fact that several of the reported pieces have suffered damage of one kind or another. This piece, which comes from the Gilletti holdings, has more than a few repairs as detailed on the PCGS holder, although it appears bright and quite high grade at first glance. **Apparent Very Fine 35**. (2,000-3,000)

Starting Bid: \$1,200



15104 South Norwalk, CT - \$1 Original Fr. 380 The First NB Ch. # 502

A second example, this with only a couple of very minor problems. PCGS **Apparent Fine 12**. (1,200-1,600)

Starting Bid: \$720

One of Six Known



15105 South Norwalk, CT - \$20 1882 Date Back Fr. 555 The City NB Ch. # (N)2643

An extremely rare Connecticut type and denomination, with this specimen one of only six \$20 Date Backs reported from all banks in Connecticut combined. It sold for over \$5000 when offered as part of the Gilletti collection, and should easily reach or exceed that figure today. PCGS **Very Fine 30PPQ**. (5,000-7,000)
Starting Bid: \$3,000

Finest of Five Known



15106 Southington, CT - \$20 1902 Date Back Fr. 642 The Southington NB Ch. # (N)2814

An extremely rare note from the only bank to issue in this Hartford County community. Just five notes comprise the entire census, with this Date Back the highest grade example listed. Nicely printed PCGS **Extremely Fine 40**. (6,000-9,000)
Starting Bid: \$3,600



15107 Southport, CT - \$1 Original Fr. 380 The Southport NB Ch. # 660

A just plain rare note from the only bank in this affluent Fairfield County community. There has only been two offerings at public sale from this institution since our massive 1998 Connecticut sale, with one coming a decade later when this note was sold as part of the Don Gilletti collection. This attractive ace is by far the finest example of any kind known from this one bank locale, and is certain to be in high demand this evening. PCGS **Extremely Fine 40**. (10,000-14,000)
Starting Bid: \$6,000



15108 Stamford, CT - \$5 1882 Brown Back Fr. 467 The Stamford NB Ch. # 1038

Large notes only from this tougher Stamford bank, with this one of only three \$5 Brown Backs reported. PCGS **Very Good 10**. (1,500-2,000)
Starting Bid: \$900



15109 Stamford, CT - \$100 1902 Date Back Fr. 689 The Stamford NB Ch. # (N)1038

Like most New England issuers, few Connecticut banks saw the need to issue high denomination examples, with this institution one of only eight in the state that chose to circulate \$100 Date Backs. Survivors are even less numerous, with the census showing fewer than a handful, with this the sole example known from this Stamford bank. Our consignor obtained it from the Gilletti holdings in 2008, and, if rarity is any guide, it's likely he got a bargain at the \$5750 price he paid. PCGS **Apparent Fine 15**, with a bit of minor discoloration on the back. (6,000-9,000)

Starting Bid: \$3,600



15110 Stonington, CT - \$5 1875 Fr. 401 The First NB Ch. # 735

A rather nice Series 1875 \$5 from the only bank to issue in this historic community where many fortunes were created from the whaling and sealing industries. PCGS **Apparent Very Fine 25**, with a bit of ink erosion and a stain or two, none of which detracts terribly. (2,500-3,500)

Starting Bid: \$1,500



15111 Suffield, CT - \$5 1902 Red Seal Fr. 587 The First NB Ch. # (N)497

While Series 1929 examples from this one bank community do turn up on occasion, large notes are another matter entirely, with appearances few and far between. Even the massive Gilletti holdings had only one such example, a 1902 Blue Seal. This piece, which our consignor acquired in 2006, is the only Red Seal in the census. PCGS notes a restoration at the right edge, but where can another be obtained at any price? **Apparent Very Fine 20**. (7,000-9,000)

Starting Bid: \$4,200

One of Two Known



15112 Thomaston, CT - \$5 1882 Brown Back Fr. 470 The Thomaston NB Ch. # (N)3964

Well circulated, to say the least, but just plain rare. This is one of only two large size notes reported from this one bank community, a figure which has remained unchanged for two decades. Although there are numerous problems, all lovingly detailed on the PCGS holder, this offering might be the only chance for many years to obtain any example from this institution, as the other reported example is ensconced in a major Connecticut holding and is likely to be off the market for a very long time. **Apparent Very Fine 20**. (4,000-6,000)

Starting Bid: \$2,400

Unique for the Town



15113 Thompson, CT - \$1 Original Fr. 380 The Thompson NB Ch. # 1477

When we offered this note back in 2004, it was a discovery item, the first example from this community to surface. We mentioned that Thompson was one of only three Connecticut towns remaining on the unknown list, and, considering the short life of this bank and the bank's limited issue, that this piece might well remain forever unique. We also noted that it was a true Connecticut trophy note worth whatever it takes to bring it home, as only one fortunate collector would be able to boast of owning any note from this location tomorrow morning. That fortunate collector was our consignor. This piece is still unique, and likely to remain so forever, with this evening's offering allowing one other fortunate collector the opportunity to add a new town and a unique note to his holdings. PCGS **Fine 15**, an evenly circulated piece with no flaws for the grade whatever. (20,000-30,000)

Starting Bid: \$12,000



15114 Tolland, CT - \$5 1875 Fr. 401 The Tolland County NB Ch. # 1385

First Charters only from this bank, which liquidated at the expiration of its charter in 1885. This lovely 1875 \$5 is one of only two high grade examples reported to date. PCGS **Extremely Fine 40**. (3,500-4,500)

Starting Bid: \$2,100



15115 Torrington, CT - \$5 1882 Brown Back Fr. 477 The Brooks NB Ch. # 5231

A small group of high grade survivors has emerged from this short lived private name bank in recent years, all \$5 Brown Backs and all in high grade. This piece, however, is by far the finest, with incredible print quality, full originality, excellent centering, and spectacular colors and signatures, including that of Isaac W. Brooks as President. PCGS **Gem New 66PPQ**, a wonderful example fit for the very finest of collections. (7,000-9,000)

Starting Bid: \$4,200

Serial Number 1 Brooks NB Brown Back



15116 Torrington, CT - \$5 1882 Brown Back Fr. 477 The Brooks NB Ch. # 5231

This number 1 Brown Back is a new addition to the census and was part of a small group of high grade examples recently discovered from this hitherto excessively rare private name bank. While a single number 1 example was reported as long ago as 1971, 35 years then passed between offerings from here. PCGS **Choice About New 58**, with lovely pen signatures of John N. Brooks and John W. Brooks, who signs as V. President. (9,000-12,000)

Starting Bid: \$5,400



Unique for the State



15117 Torrington, CT - \$10-\$10-\$10-\$20 1882 Date Back Fr. 545/555 The Brooks NB Ch. # (N)5231 Uncut Sheet

An extremely rare uncut sheet which is one of only two Second Charter sheets of any kind known from any Connecticut bank and one of fewer than a dozen 1882 Date Back uncut sheets known from all banks in the country combined. It's a lovely sheet as well, displaying its full selvage at the top and great color and eye appeal as well. PCGS Choice About New 55. (35,000-55,000)

Starting Bid: \$21,000

Serial Number 1 Torrington Brown Back



15118 Torrington, CT - \$5 1882 Brown Back Fr. 477 The Torrington NB Ch. # 5235

A new to the census \$5 Brown Back from the Long Island Sound collection which is the only serial number 1 note of any kind known from this institution. Pen signed PCGS **Apparent Very Fine 35**, with a few light stains accounting for the Apparent grade. (6,000-8,000)
Starting Bid: \$3,600



15119 Torrington, CT - \$5 1902 Plain Back Fr. 606 The Torrington NB Ch. # (N)5235

While not at all a scarce bank, nice large examples are quite uncommon, with this specimen one of only two high grade specimens we know of. PCGS **Choice About New 55PPQ**. (700-900)
Starting Bid: \$420

Serial Number 1 Wallingford Brown Back



15120 Wallingford, CT - \$5 1882 Brown Back Fr. 477 The First NB Ch. # 2599

This lovely serial number 1 \$5 Brown Back is the only large size number 1 example recorded from Wallingford. It first appeared on the market as part of our Connecticut collection offering in January, 1998, where it realized \$2090, and then was sold as part of the Gilletti holdings, where it fetched \$8625 in 2008. It is one of just over a dozen serial number 1 Brown Backs known from all banks in the state combined, and one of the nicest as well. PCGS **About New 53**, a true Connecticut trophy item fit for the finest of collections. (8,000-10,000)
Starting Bid: \$4,800



15121 Wallingford, CT - \$5 1882 Brown Back Fr. 477 The First NB Ch. # (N)2599

A second Wallingford \$5 Brown Back. This piece, which bears the regional letter, is likely to be a bit more affordable than the example above. PCGS **Very Good 10**. (1,000-1,400)
Starting Bid: \$600

Nice Waterbury Lazy Deuce



- 15122 **Waterbury, CT - \$2 Original Fr. 387 The Citizens NB Ch. # 791**
Large notes only from this tougher Waterbury bank. Two Original deuces are listed in the census, with this piece, formerly part of the Gilletti holdings, the nicer of the pair. PCGS **Very Fine 20**. (7,000-9,000)
Starting Bid: \$4,200

One of Three Known



- 15123 **Waterbury, CT - \$10 1882 Brown Back Fr. 490 The Manufacturers NB Ch. # (N)2494**
This is one of the very scarcest Connecticut banks to find in large size, almost certainly because the bank opted to redeem its circulation after issuing First Charters, Brown Backs and 1882 Date Backs only. Only three examples are known, with none having been offered at public sale since our Connecticut collection sale in 1998. This piece has been off the market for two decades, having last appeared in a 1992 Stack's auction. Sharp pen signed PCGS **Very Fine 30**, easily rare enough to seeing bidding reach or exceed... (6,000-9,000)
Starting Bid: \$3,600

- 15124 **West Killingly, CT - \$1 1875 Fr. 384 The First NB of Killingly Ch. # 450**
First Charters and Brown Backs only from this Windham County bank, with this ace not one of the nicer examples. PMG **Good 4 Net**, with tape repairs noted on the holder. (400-600)
Starting Bid: \$240



- 15125 **West Meriden, CT - \$1 Original Fr. 380 The First NB Ch. # 250**
The first (and scarcer) of this bank's two issuing locations. Well circulated PCGS **Good 4**. (400-600)
Starting Bid: \$240



- 15126 **Willimantic, CT - \$10 1902 Red Seal Fr. 613 The Windham NB Ch. # (N)1614**
New to the census from this tougher bank, and one of only two Red Seals reported from this institution to date. PCGS reports multiple restorations on this **Apparent Fine 15** note, but the appearance is quite acceptable with the restorations quite deftly hidden. (2,500-3,500)
Starting Bid: \$1,500

Rare & Lovely Willimantic 1875 \$10



- 15127 **Willimantic, CT - \$10 1875 Fr. 419 The First NB Ch. # 2388**
A beautiful note from a very rare bank which issued Series 1875 examples only before its demise in 1895. By 1916, a mere \$980 was outstanding. While lightly circulated, this First Charter ten is one of the nicest examples we've seen, with an unusually bright red overprint combining with striking two color signatures to produce a dramatic overall effect. The census shows only four pieces extant from here, with only one offering since our consignor acquired this in our January, 1998 sale. That offering was a similarly graded \$5 1875 from the Gilletti holdings which fetched over \$8000 four years ago. It would not surprise us at all to see a similar realization for this peach of a note tonight. PCGS **Very Fine 30**. (8,000-10,000)

Starting Bid: \$4,800

Unique as a First Charter



- 15129 **Winsted, CT - \$10 1875 Fr. 419 The First NB Ch. # 2414**
One of our favorite notes from our January, 1998 Connecticut collection sale, where we described it as "An absolutely beautiful example with the freshness of a new note, with colors that are as nice, if not nicer, than on most of the uncirculated First Charters we see. This is a much scarcer Winsted bank which saw its last public offering six years ago, and, in fact, this note is the only First Charter extant on the bank." It sold in 1998 for \$1430, and, as part of the Gilletti collection, sold to our consignor a decade later for \$8050. It is still the only First Charter extant from the bank, and just as lovely today as it was the day we first saw it in 1997. PCGS **Very Fine 35**. (9,000-12,000)
Starting Bid: \$5,400



- 15128 **Winsted, CT - \$5 1875 Fr. 403 The Hurlbut NB Ch. # 1494**
An attractive note which is easily the finest of the three First Charters reported from this Winsted bank. It first appeared in our January, 1998 sale, then reappeared in the Gilletti holdings, where it realized \$4600 a decade later. bright PCGS **Very Fine 25PPQ**. (4,000-5,000)
Starting Bid: \$2,400

NEW YORK

Lovely Large Size Amityville Note



- 15130 **Amityville, NY - \$10 1902 Plain Back Fr. 626 The First NB Ch. # 8873**

A lovely note from this very rare Suffolk County community leads off the Long Island portion of the Long Island Sound collection, which, on a note for note basis, is easily the finest collection of Brooklyn, Queens, Nassau and Suffolk County notes ever offered at public sale. The last large note from this one bank community to cross the auction block was found in our landmark "Collection of a Gentleman" Long Island sale in October of 1998, but that piece was a well circulated specimen grading Very Good at best. This example, which PCGS has graded **Very Fine 35PPQ**, is a real peach of a note with bright white paper, vivid colors, and bold purple signatures. Also included with this lot is a real photo postcard of the bank itself. If rarity, desirability and grade are any guide, expect bidding to easily reach and quite possible exceed... (12,500-17,500)

Starting Bid: \$7,500

One of Three Known



- 15131 **Babylon, NY - \$20 1882 Brown Back Fr. 499 The Babylon NB Ch. # (E)4906**

A great note from a Suffolk County bank which issued Brown Backs and 1882 Date Backs before closing its doors forever at the expiration of its charter in 1913. The Kelly census shows three examples extant from this bank, with this piece having been off the market since it was obtained from our Collection of a Gentleman sale in 1998. Pen signed PCGS **Apparent Very Fine 20**, with a couple of closed edge splits that are barely visible and affect nothing. (9,000-12,000)

Starting Bid: \$5,400

Unique in Large Size



15132 **Babylon, NY - \$20 1902 Date Back Fr. 647 The Babylon NB Ch. # (E)10358**

While Series 1929 examples do exist (although none have been offered at public sale since 2004), this note is unique in large size, and, with a miniscule \$100 outstanding, is likely to remain that way forever. After a very small issue of 1902 Date Backs after the bank was chartered in 1913, this institution called in its circulation, and issued no notes until the advent of small size in 1929. Even the massive Collection of a Gentleman lacked a large note from this bank, with this note's only previous appearance coming when we offered it in our 1996 Sale in the Swamp in Secaucus, New Jersey. PCGS **Very Fine 25**, interestingly bearing the same pen signatures as are found on the Brown Back offered above from Babylon's only other issuer. This lot is accompanied by a postcard depicting the bank, likely circa 1920. (15,000-20,000)

Starting Bid: \$9,000

Wonderful Serial Number 2 Bayside Red Seal



15133 **Bayside, NY - \$10 1902 Red Seal Fr. 614 The Bayside NB Ch. # (E)7939**

A gorgeous serial number 2 Red Seal which is one of a literal handful of low number Red Seals which were saved by a far sighted bank officer. In this case, the bank's president, Frederic Storm, was a collector whose son, Jackson Storm, became a serious numismatist known for his advanced collection of Federal essay, proof and specimen notes, which he displayed at many New York area coin shows. PCGS **Choice About New 55PPQ**, a beautifully pen signed piece with the color, freshness and paper quality of a note which left the press this morning. (8,000-12,000)

Starting Bid: \$4,800



15134 **Bellmore, NY - \$5 1902 Plain Back Fr. 606 The First NB Ch. # 11072**

The census reveals only four large examples from this Nassau County bank, which was the sole issuer in this small community. The need for banking services here was rather minimal, with the bank showing a miniscule \$280 outstanding in large notes by the date of its last report in 1935. Bright PCGS **Apparent Very Fine 35**, with a minor margin split at the bottom the cause of the Apparent grade. Track & Price reveals no offerings of any kind from this bank since 1999. (4,500-6,500)

Starting Bid: \$2,700



15135 Bellport, NY - \$5 1902 Plain Back Fr. 609 The Bellport NB Ch. # 12473

A most attractive example from a just plain scarce Suffolk County bank which was the only issuer in this small community. This is one of only five large notes recorded from this bank, which was missing in large size from both the Collection of a Gentleman and the Sande holdings. Sharp PCGS **Very Fine 35PPQ**, very likely the finest large note known from this one bank community. (4,500-6,500)
Starting Bid: \$2,700

Serial Number 1 Brooklyn Ace



15136 Brooklyn, NY - \$1 Original Fr. 380 The First NB of the City of Brooklyn Ch. # 923

Serial number 1 from the "A" plate position, the first note from the first sheet of \$1 notes sent to the bank. Our consignor obtained this example from our Collection of a Gentleman sale in 1998, and no other number 1 First Charters have surfaced on any Brooklyn bank since that date. PCGS **Apparent Fine 12**, with (very) minor repairs that affect nothing. (7,500-12,500)
Starting Bid: \$4,500



15137 Brooklyn, NY - \$1 1875 Fr. 385 The First NB of the City of Brooklyn Ch. # 923

A very nice looking Series 1875 Brooklyn ace which traces its pedigree back to the Q. David Bowers collection. PMG has noted "Repairs," but the repairs, whatever their nature, appear invisible through the holder. **Choice Fine 15 Net. (1,500-2,000)**
Starting Bid: \$900



15138 Brooklyn, NY - \$2 Original Fr. 387 The First NB of the City of Brooklyn Ch. # 923

An extremely rare type and denomination from Brooklyn, with this one of only three Lazy Twos listed in the census. A small repair at the top right is all that takes this pleasing deuce away from the full Fine grade. PCGS **Apparent Fine 12. (5,000-7,000)**
Starting Bid: \$3,000

Unique Farmers & Citizens Lazy Deuce



15139 Brooklyn, NY - \$2 Original Fr. 387 The Farmers & Citizens NB Ch. # 1223

This wonderful New York rarity made its only appearance at public auction since 1938 when we sold it as part of the Collection of a Gentleman sale in October, 1998. We described it there as "One of the great notes of this collection, and a true miracle of survival. This is both unique to the bank and unique as a type from Brooklyn. This bank, located in the Williamsburgh section of Brooklyn, became the first national bank on Long Island to fail, after having been in business under a national charter for only two years from 1865 to 1867. By 1915, only \$942 was outstanding. The note can be traced back to a 1938 auction sale, then to the James M. Wade collection. It was purchased, after very considerable negotiation, from Aubrey Beebe in 1972 by your cataloguer, and, when his set of New York City Nationals was dispersed, passed to the current consignor. Very Fine, a true wonder note certain to become one of the high points of the collection it now enters." Estimated there at \$3000-6000, it blew through the high estimate to close at \$7150. A couple of other deuces from Brooklyn have since been uncovered, but nothing at all has surfaced from this excessively short lived bank. Now in a PCGS **Apparent Very Fine 25** holder, with a comment about the quite insignificant restorations at the top edge, it is anyone's guess what the right price will be for this unique item tonight. One thing that is certain however, is that no matter what is paid, another can not be obtained at any price. (20,000-30,000)

Starting Bid: \$12,000



- 15140 **Brooklyn, NY - \$50 1882 Brown Back Fr. 515 The Manufacturers NB Ch. # (E)1443**
 An extremely scarce type from any Long Island bank, with \$50 Brown Backs known only from this and one other Brooklyn issuer. This piece was offered in our Collection of a Gentleman sale in 1998, and was later sold in a Stack's sale for \$6325. PCGS **Fine 15**, with a nice appearance for the grade. (7,000-9,000)
Starting Bid: \$4,200



- 15141 **Brooklyn, NY - \$10 1902 Plain Back Fr. 628 The Greenpoint NB Ch. # 10054**
 This is a truly scarce Brooklyn bank, with only seven notes known. This, unfortunately, is not one of the finest. PCGS **Apparent Fine 12**, with several flaws mentioned on the PCGS holder. (2,000-2,500)
Starting Bid: \$1,200

Unique for the Bank & Town



- 15142 **Corona, NY - \$10 1902 Red Seal Fr. 615 The First NB Ch. # (E)8853**
 The acquisition of a note from this one bank Queens community was long the holy grail for collectors of New York City notes, as examples were missing from every great New York collection ever formed, including those of Albert A. Grinnell, James M. Wade, Bill Donlon, Joe Sande, Alan York, Marvin Levine, and your cataloguer, who was among the many who seriously sought rarities from this always much collected county. It was not until a couple of years ago that this marvelous specimen surfaced, a piece which was obtained privately by our consignor after the expenditure of much blood, sweat, and treasure, although mostly the last. It is offered here for the first time at public sale, and what it might bring is truly anyone's guess, as it is an item of singular rarity from a county with more than a few avid partisans. Pen signed PCGS **Very Fine 30**, a wonderful trophy item which can not be duplicated at any price. (22,500-32,500)
Starting Bid: \$13,500



- 15143 **East Northport, NY - \$5 1902 Plain Back Fr. 609 The Citizens NB Ch. # 12593**
A rare bank in large size, where the amount outstanding in 1935 was a meager \$310. This bank was represented only by two Series 1929 examples in the Collection of a Gentleman sale in 1998, with no large note from this institution present. PCGS **Very Fine 25**, with engraved signatures. (3,000-5,000)
Starting Bid: \$1,800



- 15144 **East Rockaway, NY - \$10 1902 Plain Back Fr. 635 The East Rockaway NB Ch. # 12818**
The census shows only about a half dozen large examples from this scarce Nassau County bank, with all that have listed grades clustered in the same area. This piece has been off the market since we sold it in January of 1999, and that sale remains the last opportunity that collectors have had to obtain a large note from this one bank location until tonight's offering. PCGS **Fine 15**. (3,000-4,000)
Starting Bid: \$1,800

Elusive East Setauket \$10 Plain Back



- 15145 **East Setauket, NY - \$10 1902 Plain Back Fr. 632 The Tinker NB Ch. # (E)11511**
This bank has had only two public offerings during the past seven decades, the last coming in 1998, when we sold it to our present consignor as part of the Collection of a Gentleman. Our description there read "An incredible note which is unique and likely to stay that way, as the bank issued a minimal number of notes and had but \$320 of that amount outstanding in 1935. For years this bank represented the Holy Grail to our consignor, as it was the only community in Suffolk County to have issued large notes which was not represented in his collection. For years he labored to acquire this note, which was owned by a high school music teacher living in a small Ohio community, who would only trade the note for a note from his home town. Alas, his home town, although it had two banks, was then unreported, and our consignor could never find anything which would induce this individual to sell, including the offer of copious quantities of good old cash. Finally in 1982 the previous owner died, and the note was offered in a Hickman-Oakes sale, where our consignor purchased it for the then outlandish price of \$1800 after being prepared to bid considerably more. Very Good-Fine, with the penned signature of Edward L. Tinker as President, one of the greatest notes we've ever offered and likely to make our estimate [of \$4000-8000] seem very conservative." To no one's surprise, the note realized \$8250, a tremendous price for a medium grade Blue Seal from any location in 1998. In the intervening fourteen years, a closely held serial number 1 specimen was unearthed, but this piece has never been available to collectors and may never be...leaving those who want to complete a Long Island collection with this note and nothing else. PCGS **Very Good 10**, an utterly unmolested note with even circulation and no flaws whatever for the grade.

Now part of the Village of Setauket, this area has had an outsize place in the history of Long Island. Occupied by the British in late 1776, it was the site of fierce guerrilla warfare made even more personal when the Redcoats seized the local Presbyterian Church and converted it into a fort. The Battle of Setauket took place on August 22, 1777, when 500 Patriot troops came ashore from Fairfield, Connecticut to evict the British. After a four hour firefight, the invaders retreated across Long Island Sound when word came that English ships were about to close off their escape route. (15,000-20,000)

Starting Bid: \$9,000



15146 Farmingdale, NY - \$20 1902 Date Back Fr. 644 The First NB Ch. # (E)8882

This is a rare bank located in Suffolk County, where farms are now few and far between. It was the only institution chartered here, and notes from this bank have been sought after by this county's many collectors for decades. The census shows only four large examples, with most tightly held in long term holdings. This is the finest of the four, carrying a PCGS assigned grade of **Very Fine 25**. (6,000-8,000)

Starting Bid: \$3,600

Unique for Bank & Type From Long Island



15147 Franklin Square, NY - \$50 1929 Ty. 2 The Franklin Square NB Ch. # 12997

One of the highlights of the Long Island Sound collection, as this is the only \$50 Type 2 known from any Long Island bank. This note was first offered in a 1984 Hickman sale, and was then part of the Joe Sande collection when it was re-offered in a 1985 sale. We were fortunate enough to sell it in 1996, and it has been off the market since. In fact, the only auction offering from this just plain rare bank since 1998 came back in 2004. Any estimate from here is simply a guess, but if rarity and desirability are any guide, our estimate may well be conservative. PCGS **Apparent Very Fine 30**, with a miniscule repaired edge split at the top the reason for the Apparent grade. (10,000-15,000)

Starting Bid: \$6,000

Serial Number 1 Freeport Red Seal



15148 Freeport, NY - \$5 1902 Red Seal Fr. 587 The First NB Ch. # (E)7703

A high grade serial number 1 Nassau County Red Seal. Gorgeous pen signatures adorn this lightly circulated example from this always in demand Nassau County bank, an unusual occurrence as most of the surviving notes from here have faded signatures. PCGS **Apparent About New 53**, with a small restored corner tip noted on the holder. (5,000-6,000)

Starting Bid: \$3,000



15149 Great Neck Station, NY - \$5 1902 Plain Back Fr. 609 The First NB of Great Neck at Great Neck Station Ch. # 12659

A scarce note from a much in demand Nassau County bank which happens to bear one of the neatest titles used by any New York issuer. We had occasion to visit this bank some years ago as one of our clients housed his collection there, and it is indeed immediately adjacent to the Great Neck Long Island Rail Road station. PCGS **Apparent Very Fine 25**, with a couple of very small spots. The "discoloration" on the reverse mentioned on the holder is simply non-existent. (2,000-2,500)

Starting Bid: \$1,200

Unique Type for the Bank & County



15150 Greenport, NY - \$2 Original Fr. 387 The First NB Ch. # 334

This was the only bank in what is now Nassau and Suffolk Counties to issue First Charter notes, which gives some idea of how truly rural these counties were until the 1890's. This bank issued ones and twos only in the Original Series, opting to issue only higher denomination notes thereafter. This piece, which made its only auction appearance in 1995 when we sold it in our Memphis sale that year, has been off the market since. It remains the sole lazy Deuce known from this much collected location, making it the only Lazy Two known from Nassau or Suffolk County. Fortunately for collectors, it is a beauty, boasting great color, signatures, and inks. PCGS **Very Fine 35**, perhaps the ultimate Long Island trophy note for the collector who desires and can afford the finest and rarest in Nationals. (30,000-50,000)

Starting Bid: \$18,000

Rare & Lovely Hampton Bays Large Note



15151 Hampton Bays, NY - \$20 1902 Plain Back Fr. 661 The Hampton Bays NB Ch. # 12987

Along with an uncut large size sheet, which we sold as part of the Collection of a Gentleman in 1998 and which has disappeared from the marketplace, the large size census from this avidly sought after east end bank stands at just three single notes, with this almost certainly the finest of the trio. One has been off the market for so long that its grade is unrecorded, another has been off the market since we sold it in a 1996 CAA sale, and this is the third, which was last offered along with the uncut sheet in our Collection of a Gentleman sale. That offering marked the last time any large note from this one bank location was available to the collecting fraternity, and, with a miniscule \$170 outstanding in large notes from here, future opportunities appear limited, to say the least. Bright PCGS **Very Fine 30PPQ**, a great Long Island note worthy of a very strong bid. (9,000-12,000)

Starting Bid: \$5,400



15152 Hempstead, NY - \$5 1882 Brown Back Fr. 472 The First NB Ch. # 4880

A new entrant to the census, and likely the nicest of the four Brown Backs known from this early Long Island issuer. It might come as a shock considering the population of Nassau County today, but this was the county's first bank to receive a national charter, an event which did not occur until 1893. Attractive PCGS **Very Fine 30**, with lovely two color pen signatures. (6,000-8,000)

Starting Bid: \$3,600



15153 Hempstead, NY - \$5 1902 Plain Back Fr. 606 The Second NB Ch. # (E)11375

Plain Backs only from this bank, which is by far the scarcest of Hempstead's three issuers. Boldly printed PCGS **Extremely Fine 40PPQ**. (2,500-3,500)

Starting Bid: \$1,500

Magnificent Huntington Red Seal



15154 Huntington, NY - \$5 1902 Red Seal Fr. 587 The First NB Ch. # (E)6587

Our consignor was fortunate enough to have obtained this knockout of a Red Seal when we sold the Collection of a Gentleman in 1998. There are three folds, but other than that this note presents itself as pretty much as it did the day it left the bank. The overprint is a fiery red, the paper white and pristine, and the lovely pen signatures of John G. Wood and W. Wilton Wood are as sharp and bold as they were the day these family members affixed their signatures. PCGS **Extremely Fine 45PPQ**. (9,000-12,000)

Starting Bid: \$5,400



15155 Inwood, NY - \$10 1929 Ty. 1 The First NB Ch. # 12460

A scarce Nassau County bank that chose to issue small size notes only although it opened in 1923. PCGS **Fine 12**. (600-900)

Starting Bid: \$360

Unique Long Island Rarity With \$30 Outstanding



15156 Islip, NY - \$10 1902 Plain Back Fr. 626 The First NB Ch. # (E)8794

A simply amazing feat of survival, with this unique example off the market since we last sold it in January of 1996. We described it then as "truly a Suffolk County note we never thought we would have the opportunity to offer to the numismatic fraternity. Although quite easily available in small size, large notes from this bank were always considered to be on that small list of items which were the stuff of legend and likely would never be obtainable. For some reason now lost to history, this bank opted to issue only a miniscule amount of large notes. This issue, likely concentrated in the bank's early years, was reduced to the point where, by 1935, the amount of large notes outstanding totaled exactly \$30, the lowest total in the state and one of the lowest for any bank in the country. For years, finding a large note from this bank was the Holy Grail of Long Island collectors. Finally, with the appearance of this note, that search has now ended...." Unique then and unique now, and worth whatever it takes to bring it home tonight, as no amount can produce another once the hammer falls this evening. PCGS Very Fine 30PPQ. (30,000-40,000)

Starting Bid: \$18,000

Serial Number 1 Jamaica Red Seal



15157 Jamaica, NY - \$5 1902 Red Seal Fr. 588 The First NB Ch. # (E)8268

A wonderful note which we sold in 2009. Our description read "This is the only serial number 1 Red Seal from this scarce Queens County bank extant. It has been in the hands of our consignor since its last appearance at public sale thirty five years ago in a 1974 Donlon auction, where, much to your cataloguer's disappointment, his bid was exceeded by all of \$5. Our chagrin then is now somewhat mitigated by the opportunity to handle this prize today. The red overprint is bold and bright, the violet pen signatures vibrant, and the overall appearance quite sharp for the grade. Very Fine, a significant New York City rarity worthy of the finest of collections." It realized \$10,350 that evening, and is likely to fetch a similar five figure price tonight. PCGS Very Fine 25. (10,000-15,000)

Starting Bid: \$6,000



- 15158 **Jamaica, NY - \$5 1902 Plain Back Fr. 598 The First NB**
Ch. # (E)8268
 Absolutely lovely when looked at from the front, but
 with a large area of wallet staining on the reverse. PCGS
Apparent Very Fine 35. (1,200-1,600)
Starting Bid: \$720



- 15159 **Kings Park, NY - \$5 1902 Plain Back Fr. 609 Kings Park**
NB Ch. # 12489
 An excessively rare Suffolk County note from a bank
 which had only a meager \$340 outstanding in large notes
 when it liquidated in 1934. The large census stands at four,
 with none of that number having been available at public
 sale since 2003. This pen signed beauty, bearing single
 digit serial number 2, has retained its entire bottom sel-
 vage and is offered here for the first time since we sold it in
 January of 1998. PCGS **Very Fine 30. (7,000-9,000)**
Starting Bid: \$4,200

Serial Number 1 Lake Ronkonkoma



- 15160 **Lake Ronkonkoma, NY - \$20 1929 Ty. 1 The NB of Lake**
Ronkonkoma Ch. # 13130
 An attractive serial number 1 example from a just plain
 rare Suffolk County bank. PCGS **Choice About New**
58PPQ. (9,000-11,000)
Starting Bid: \$5,400

Serial Number 1 Lindenhurst Red Seal



15161 Lindenhurst, NY - \$20 1902 Red Seal Fr. 641 The First NB Ch. # (E)8833

Obtained by our consignor at our Collection of a Gentleman sale in 1998, which featured several large number 1 examples from this Suffolk County institution, although this was the sole number 1 Red Seal. All have long since been absorbed into major New York collections, with the last offering of any large note from this bank occurring in 2001. Pen signed PCGS **Very Fine 25**, definitely one of the highlights of the Long Island Sound collection. (20,000-30,000)

Starting Bid: \$12,000

Serial Number 1 Lynbrook Red Seal



15162 Long Beach, NY - \$5 1902 Plain Back Fr. 607 The NB of Long Beach Ch. # (E)11755

A truly scarce Nassau County bank, with this one of just six notes known on this institution, which lasted less than five years and issued large notes only. PCGS **Very Fine 30**, with bold pen signatures and low serial number 10 as a bonus. (2,500-3,500)

Starting Bid: \$1,500

15163 Lynbrook, NY - \$10 1902 Red Seal Fr. 615 The Lynbrook NB Ch. # (E)8923

An exceptionally rare bank in large size, with the census standing at just three pieces, a single number 1 Red Seal and a pair of serial number 2 Red Seals. Even a collection as advanced as the Collection of a Gentleman lacked a large example from this bank, and, with a meager \$300 outstanding, few opportunities to obtain such a note are likely to occur in the future. This pen signed example is the finest of the three, and is certainly destined to become one of the highlights of the collection it now enters. PCGS **Very Fine 25**. (15,000-25,000)

Starting Bid: \$9,000



15164 Manhasset, NY - \$5 1902 Plain Back Fr. 607 The First NB Ch. # 11924

A beautiful example from this just plain rare Nassau County bank, located in what is now one of the highest income communities in the nation. It's new to the census and bears single digit double serial number 2. With this bank showing only \$355 outstanding in large notes in 1935, expect some determined competition before the hammer falls on this lovely Long Island item tonight. PCGS **Extremely Fine 40PPQ**. (6,000-8,000)
Starting Bid: \$3,600



15165 Merrick, NY - \$20 1902 Plain Back Fr. 661 The First NB Ch. # 12503

A lovely note from a Nassau County bank which is quite scarce, especially in large size, where the census stands at just five examples. This bright and well printed specimen is as nice as any we have seen or heard of, and is further enhanced by strong pen signatures of the Cashier and Vice President. The last appearance of any large note from this bank was a full nine years ago, when a similarly graded Blue Seal realized \$6900 at auction. It would not surprise us at all to see this superior example easily reach and perhaps well exceed that figure. PCGS **Very Fine 35**. (7,000-9,000)
Starting Bid: \$4,200



15166 Mineola, NY - \$5 1902 Plain Back Fr. 600 The First NB Ch. # (E)9187

While large notes from the county seat of Long Island's Nassau County are not terribly rare, most of the fourteen examples listed in the census are quite low grade. This piece is a happy exception, grading a strong PCGS **Very Fine 30PPQ**, with strong stamp signatures. (2,500-3,500)
Starting Bid: \$1,500

Excessively Rare Rockaway Beach Note



15167 New York, NY - \$5 1902 Plain Back Fr. 609 The Rockaway Beach NB Ch. # (E)12252

One of the hardest to obtain of all of the "neighborhood" banks which mirrored the growth of Queens County from 1910 to 1930. For many years this bank was unreported, and in fact was missing from both the collection of your cataloguer as well as the Collection of a Gentleman. For years the one example that was known was the well circulated specimen that had been in the Sande collection holdings, which appeared at public sale once in 1985 and promptly disappeared from public view. In 1999, a second example turned up, also low grade, marking the last appearance from here of any note at public sale. A couple of other pieces have since been reported, but all have been held for at least a decade in long term New York collections. This piece is easily the finest ever to have been offered at public sale, and the first to cross the auction block in over thirteen years. PCGS **Apparent Very Fine 30**, the Apparent grade due to a couple of small splits in the extra wide margins. (7,000-9,000)
Starting Bid: \$4,200

Serial Number 1 Ozone Park Note



15168 New York, NY - \$5 1902 Plain Back Fr. 609 The Ozone Park NB Ch. # (E)12280

A pair of enterprising land developers named Benjamin W. Hitchcock and Charles C. Denton created Ozone park out of Queens farmland in 1882, with the name of their community created to connote the invigorating fresh country air to be enjoyed at their development. The arrival of the railroad soon thereafter, and especially the opening of the Fulton Street El in 1915, led to the rapid urbanization of the area. This was the second National Bank established in Ozone Park, and its opening followed the closing of the community's first bank by only three months. The note offered here was obtained privately by our consignor in 1995, and is the only number 1 example of any kind reported from this issuer. PCGS **Very Fine 30**. (7,000-9,000)
Starting Bid: \$4,200

Serial Number 1 Long Island NB Note



15170 New York, NY - \$10 1902 Plain Back Fr. 635 The Long Island NB Ch. # 12885

A new to the census serial number 1 example which is the only number 1 note known from this institution, which was located in Long Island City. bright PCGS **Very Fine 30**, another trophy item from this extraordinary collection. (7,000-9,000)
Starting Bid: \$4,200



15169 New York, NY - \$5 1902 Plain Back Fr. 609 The NB of Bay Ridge Ch. # (E)12344

An extremely rare Brooklyn bank which issued Third Charters only for only two years before its demise in 1925. Three pieces are reported, with only one having been available at public sale since 1990. That piece, which formerly occupied a place of honor in the New York City collection of your cataloguer, sold in our 1998 Collection of a Gentleman sale for \$6050, and then fetched an even stronger \$7475 when it was re-offered in 2010. PCGS **Fine 15**, certainly desirable enough to see bidding reach or well exceed... (7,500-9,500)
Starting Bid: \$4,500



15171 New York, NY - \$5 1902 Plain Back Fr. 609 The NB of Ridgewood Ch. # 12897

Ridgewood is one of the oldest communities on Long Island, going back to an early Dutch settlement in 1709. Left in ruins after the British evacuation of New York in 1783, Ridgewood was a rural farming community until the mid-1800's, when a wave of German emigrants settled there, starting a wave of development that saw the construction of breweries, beer halls and tenements, likely in about that order. Ridgewood covers an area in both Brooklyn and Queens, with this bank chartered in Queens but actually operating out of an office in Brooklyn. The bank issued \$5 Plain Backs only during its short six year life, with this piece tracing its pedigree to the Sande collection auction in 1985. Pen signed PCGS **Very Fine 35**, with a neat double serial number 5 matching its denomination. (2,500-3,500)
Starting Bid: \$1,500



15172 **Northport, NY - \$10 1902 Plain Back Fr. 633 The First NB Ch. # 5936**

A just plain rare Suffolk County bank, with this the only 1902 example reported to date. Pen signed PCGS **Apparent Fine 15**, with a couple of repaired edge splits. (6,000-8,000)
Starting Bid: \$3,600



15173 **Ozone Park, NY - \$5 1902 Plain Back Fr. 600 The First NB Ch. # (E)8865**

An extremely scarce note from this Queens County "neighborhood bank," which served the needs of the Italian, Irish and German Ozone Park community. Do not be fooled by the numerous counterfeits which exist from this bank (many of which display an incorrect charter number on the borders of the note)...genuine examples are quite difficult to find, with the census standing at just five notes, including this specimen. PCGS **Apparent Very Fine 20**, with a couple of very small repairs. (2,250-3,250)
Starting Bid: \$1,350

Unique for the Bank-First Ever Public Offering



15174 **Patchogue, NY - \$5 1902 Date Back Fr. 590 The Citizens NB Ch. # (E)6785**

A wonderful note which is unique for the bank and is offered here to the collecting public for the very first time. Any estimate here is simply a guess, as no collector has ever enjoyed the opportunity of obtaining an example from this bank at public sale, but if rarity and desirability are any criterion, a five figure opening is a reasonable starting point. PCGS **Apparent Extremely Fine 40**, with a tiny repair at the left margin the reason for the Apparent grade. (12,500-17,500)
Starting Bid: \$7,500



15175 **Patchogue, NY - \$10 1929 Ty. 2 The Peoples NB Ch. # 12788**

Although chartered in 1925, this Suffolk County bank elected to issue series 1929 examples only. All are scarce, with this evenly circulated piece problem free for the grade. PCGS **Very Fine 20**. (800-1,000)
Starting Bid: \$480

Elusive Port Jefferson \$5 1882 Brown Back



15176 Port Jefferson, NY - \$5 1882 Brown Back Fr. 474 The First NB Ch. # 5068

New to the census, making it only the third Brown Back reported from this Suffolk County community. Even the Collection of a Gentleman had only 1902 Blue Seals and Series 1929 examples to offer from this much collected location. PCGS **Apparent Fine 12**, with a small edge split on the left end. (7,000-9,000)
Starting Bid: \$4,200

One of Two Known



15177 Ridgewood, NY - \$20 1902 Date Back Fr. 644 The Ridgewood NB Ch. # (E)9414

One of only two notes known from this excessively rare bank, with the other having sold for a five figure price in its last auction appearance in 2007. This Ridgewood bank operated in the Queens County section of this bi-county neighborhood. PCGS **Apparent Very Fine 20**, with a tiny edge split at the top left that affects nothing. (9,000-11,000)
Starting Bid: \$5,400



15178 Riverhead, NY - \$10 1902 Plain Back Fr. 627 The Suffolk County NB Ch. # 4230

A rather scarce bank in large size, with the large size census standing at eight single notes along with one uncut sheet. Despite its standing as the Suffolk County seat since 1727, the community has long been one which has engendered little respect. After a visit in 1804, Yale College president Timothy Dwight described Riverhead by saying "The courthouse [is] a poor, decayed building and [the town] a miserable hamlet containing about ten or twelve houses standing near the efflux of this [the Peconic] river." Site of the courthouse and county lockup, it remained a poor farming community until well into the twentieth century, with its only brush with notoriety coming when a Long Island Rail Road train jumped the tracks in 1926 and crashed into a pickle factory, killing six, including the engineer, who perished by drowning in a large vat. Track & Price lists only one offering of any large note from this location since 2003. PCGS **Apparent Very Fine 30**, a high grade piece with a miniscule repair that affects nothing. (2,500-3,500)
Starting Bid: \$1,500



15179 Roosevelt, NY - \$5 1902 Plain Back Fr. 607 The First NB Ch. # 11953

A scarcer Nassau County bank with only \$470 outstanding in large notes in 1935. Pleasing PCGS **Very Fine 25**, with clear signatures, one of the nicest examples known from this one bank location. (2,500-3,500)
Starting Bid: \$1,500

Desirable Oystermen's NB of Sayville \$10 Brown Back



15180 Sayville, NY - \$10 1882 Brown Back Fr. 490 The Oystermen's NB Ch. # (E)5186

This is the only \$10 Brown Back known from this avidly collected Long Island bank, and boasts one of the snazziest occupational titles found on any bank in any state, harking back to the days when this small Suffolk County community was a major source of supply for the entire northeast for these tasty mollusks. The note was obtained by our consignor in our Collection of a Gentleman sale in 1998, where we noted that the color, printing clarity and signatures were all about as good as one could hope to find on any National Bank Note. The last Brown Back from this bank offered at public sale realized over \$10,000 in 2006, and this beauty is likely to see a five figure bid before the hammer falls tonight as well. PCGS **Extremely Fine 40**. (10,000-12,000)

Starting Bid: \$6,000



15181 Sayville, NY - \$5 1882 Date Back Fr. 537 The Oystermen's NB Ch. # (E)5186

New to the census, and the only \$5 1882 Date Back reported from this much in demand Suffolk County bank to date. Evenly circulated PCGS **Very Fine 20**, (6,000-8,000)

Starting Bid: \$3,600

Unique Long Island Rarity



15182 Smithtown Branch, NY - \$10 1902 Plain Back Fr. 627 The NB of Smithtown Branch Ch. # (E)9820

Unique for both the town and bank, and likely to remain so, as this Suffolk County institution issued Date Backs and Plain Backs only for thirteen years before closing forever in 1923. This unique piece traces its pedigree to a pair of the best Long Island collections ever formed, as it was sold in 1985 as part of the Sande holdings and again in 1998 as part of the Collection of a Gentleman. Now, after the passage of nearly fourteen years, another opportunity awaits as one fortunate collector will add a new town and bank when he brings home this ultimately rare Long Island treasure. PCGS **Apparent Fine 15**, with some minor restorations which are so minor they were not even mentioned in either of the note's past auction appearances. (17,500-22,500)

Starting Bid: \$10,500

Serial Number 2 Whitestone Red Seal



15183 Whitestone, NY - \$10 1902 Red Seal Fr. 615 The First NB Ch. # (E)8957

A stellar example from this extremely rare Queens County bank. This lovely serial number 2 Red Seal is easily the finest example of the four pieces recorded from this institution, and is the first to be offered at public sale since our Collection of a Gentleman sale in 1998. PCGS **Very Fine 35**, a note which appears fully uncirculated in its holder until very carefully examined. This note is accompanied by a postcard depicting the bank and its adjacent drug store. (9,000-12,000)

Starting Bid: \$5,400

Selections from the Collection of Jess Lipka & Other Important Properties

NATIONAL BANK NOTES

ALABAMA



15184 Mobile, AL - \$20 1902 Red Seal Fr. 640 The First NB Ch. # (S)1595

A scarce type and denomination from this southern state, with the census showing only about two dozen \$20 Red Seals extant from all Alabama banks combined. Only two come from this Mobile bank, with this pen signed and bright for the grade example likely the finer of the pair. Neither of the two have been offered for over two decades, as both have been closely held for many years. PCGS **Very Fine 20**. (4,000-6,000)

Starting Bid: \$2,400

ARKANSAS



15185 Lake Village, AR - \$5 1902 Plain Back Fr. 606 The First NB Ch. # 11262

Evenly circulated PCGS **Fine 15**, somewhat nicer than most of the other large notes known from this bank. (800-1,000)

Starting Bid: \$480



15186 McGeehee, AR - \$5 1902 Plain Back Fr. 612 The First NB Ch. # 13280

A very scarce late charter bank which issued large notes for less than six months before the 1929 conversion to small currency. This piece bears the last signature combination used on large Nationals, Jones-Woods, and as such is quite rare. Four examples are listed in the census, but the only one to have been on the market since a 1982 appearance in a Hickman-Oakes auction was the low grade repaired and bank stamped specimen we sold in June of 2010. This piece is far superior, and the first really nice large example from this bank seen in three decades. PCGS **Very Fine 25**, with bold purple signatures and lots of eye appeal. (5,000-7,000)

Starting Bid: \$3,000

CALIFORNIA

Rare & Desirable Beverly Hills Note



15187 Beverly Hills, CA - \$20 1902 Plain Back Fr. 661 The Liberty NB Ch. # 12909

This Beverly Hills bank issued large notes only during its brief tenure, which lasted for only a year between its organization in March of 1926 and its demise in August of 1927. Only a literal handful of notes are known, with this as nice as any we know of. Sharp PCGS **Very Fine 25**, with bright colors, good paper quality, and plenty of eye appeal, a problem free example combining equal amounts of rarity, grade and desirability. (8,000-10,000)

Starting Bid: \$4,800

Rare Cucamonga 1902 \$10 Plain Back



15188 Cucamonga, CA - \$10 1902 Plain Back Fr. 624 The First NB Ch. # 7152

A great California rarity that is pedigreed to our sale of the Charley Colver collection in 1999. Any note from this one bank community is highly sought after, but large examples from here are just plain rare, with the census showing just seven such specimens. This is one of that small number. Many of the finest collections of California notes ever assembled, such as Horwedel and High Desert, have lacked a large note from this community, and any offering of such a note always draws a crowd of interested bidders. The last large Cucamonga note to sell fetched \$10,350 in 2011, and that piece was in an Apparent Fine 12 holder, with pinholes and discoloration. PCGS **Very Fine 20**. (14,000-18,000)

Starting Bid: \$8,400



15189 San Francisco, CA - \$5 Original National Gold Bank Note Fr. 1136 The First National Gold Bank Ch. # 1741

A new piece for the census, with this example displaying a bold red overprint, good paper quality, strong signatures, and clear margins all around. PCGS has noted some minor edge restorations, but they are minor indeed and require diligent searching before they can even be noticed. **Apparent Very Fine 25**. (7,000-9,000)

Starting Bid: \$4,200

Rare & Desirable High Grade \$10 National Gold Bank Note



- 15190 **San Francisco, CA** - \$10 Original National Gold Bank Note Fr. 1142 **The First National Gold Bank** Ch. # 1741
A high end \$10 National Gold Bank Note with a sharp overprint and excellent eye appeal for the grade. PCGS notes a few minor restorations, but they have been skillfully done and can barely be seen through the holder. **Apparent Very Fine 25**, far above the average for this type and worthy of a strong bid. (12,500-17,500)
Starting Bid: \$7,500



- 15191 **Santa Barbara, CA** - \$20 1882 Date Back Fr. 550 **The First NB** Ch. # (P)2104
An extremely rare type and denomination from this always sought after bank, with this the first \$20 1882 Date Back we've seen or heard of. PCGS **Apparent Very Fine 20**, with a few stray ink spots the cause of the Apparent grade. (4,000-6,000)
Starting Bid: \$2,400

Ex-Colver Collection Whittier \$5 Brown Back



- 15192 **Whittier, CA** - \$5 1882 Brown Back Fr. 477 **The First NB** Ch. # (P)5588
A very scarce type and denomination from this Quaker organized community, which holds the distinction of being the birthplace of noted war resister Richard Nixon. Any early southern California small town example is a pretty scarce item, and this attractive \$5 Brown Back, which traces its pedigree back to our sale of the famed Charles Colver collection in 1999, is no exception. Beautifully pen signed PCGS **Very Fine 30**, a note likely to see bidding reach well into the range of... (5,000-7,000)
Starting Bid: \$3,000

COLORADO



- 15193 **Delta, CO** - \$10 1902 Plain Back Fr. 633 **The First NB** Ch. # (W)5467
Large notes only from this bank, with this new to the census example the only note to grade higher than Extremely Fine. PCGS **Choice New 63**, with vivid purple signatures. (3,500-5,500)
Starting Bid: \$2,100



15194 Denver, CO - \$5 1902 Red Seal Fr. 587 The Denver NB Ch. # (W)3269

Few would describe this Denver institution as a scarce bank, but the census shows only three Red Seals extant, with only one having appeared at public sale during the past two decades. That note, a high grade ten, fetched over \$8000 when sold as part of the Frederick Mayer holdings in 2008. This \$5 example lacks the grade of the Mayer piece, but also promises to be considerably more affordable. PCGS **Apparent Fine 12**, with a small edge nick at the top center that affects little. (3,000-4,000)

Starting Bid: \$1,800



15195 Fort Morgan, CO - \$20 1929 Ty. 1 The First NB Ch. # 7004

While certainly not rare, this fresh and original note is one of the highest grade examples we've seen or heard of from this always popular locale. PCGS **Choice About New 58PPQ**. (500-700)

Starting Bid: \$300

DELAWARE

15196 Wilmington, DE - \$5 1902 Plain Back Fr. 598 The NB of Delaware Ch. # 1420

This Wilmington \$5 Plain Back comes from a state that never seems to have quite enough large notes available to satisfy collector demand. PCGS **Apparent Very Fine 25**, with a few repaired splits and tape stains on the back. (500-700)

Starting Bid: \$300

DISTRICT OF COLUMBIA



15197 Georgetown, DC - \$10 1875 Fr. 417 The Farmers & Mechanics NB Ch. # 1928

It has been quite some time since an early example has been available from this DC bank, with the last such offering coming nearly fifteen years ago. This piece can be pedigreed to our October, 1995 St. Louis PCDA sale, with the note having been off the market since that date. Nice looking PCGS **Very Fine 30**. (7,000-9,000)

Starting Bid: \$4,200



15198 Washington, DC - \$5 1882 Brown Back Fr. 471 The Traders NB Ch. # (E)4244

A very scarce DC bank which issued Brown Backs only before liquidating in 1908. Track & Price notes no offerings at public sale from this bank in over ten years. PCGS **Apparent Very Fine 25**, with a truly inconsequential margin split at the top the reason for the Apparent grade. (3,500-4,500)

Starting Bid: \$2,100

Serial Number A099999



15199 Washington, DC - \$5 1929 Ty. 2 Hamilton NB Ch. # 13782

Not only is this note fully original and well nigh flawless, it bears serial number A099999. Just as important, it can be mated with the note bearing serial number A100000, as the pair came from a sheet that was cut some years ago. PCGS **Gem New 65PPQ**. (2,000-3,000)

Starting Bid: \$1,200

FLORIDA

Apalachicola Red Seal



15200 Apalachicola, FL - \$5 1902 Red Seal Fr. 587 The First NB Ch. # (S)6274

Red Seals and Date Backs only from this rare Florida panhandle bank, with the Date Backs so far having eluded collectors completely. The census shows just four notes, all Red Seals, with Track & Price showing one offering in the 1980's, one in the 1990's, and one in the 2000's, the last coming when we sold a Very Good 10 \$20 note for \$23,000 in 2008. As this bank issued only from 1902 through 1911, the census is unlikely to change, perhaps suggesting that the appearance of this note marks the offering for the 2010's. PCGS **Fine 12**, actually the finest of the four examples known. (25,000-35,000)

Starting Bid: \$15,000

Serial Number 1 Homestead



15201 Homestead, FL - \$10 1929 Ty. 1 The First NB Ch. # 13641

This is the first serial number 1 example from this always sought after Dade County bank to be offered at public sale in at least twenty five years. Although clearly folded several times, it has the appearance of a note which was saved early on due to its serial number, as it appears to never actually have circulated in the channels of commerce. PCGS **Very Fine 25**. (2,500-3,500)

Starting Bid: \$1,500

Unique for the Bank



15202 Jacksonville, FL - \$5 1882 Brown Back Fr. 471 The Merchants NB Ch. # 4332

A unique Florida rarity which is offered here to the collecting fraternity for the first time. It comes from a bank which issued \$5 Brown Backs only for less than seven years before the receiver arrived to close its doors forever early in 1897. By 1915, the amount of currency in circulation from this institution had dropped to \$335. This note is the only surviving example, and ranks among the most significant Florida notes to be offered to collectors during the past two decades. Fortunately, it is a high grade piece with good color and strong signatures. PCGS has mentioned some masked rust stains and repairs, but the repairs are minor and the rust barely detracts from the note's overall appearance. Any estimate here is simply a guess, but if rarity and desirability are any guide, our estimate for this unique note may well be exceeded this evening. PCGS **Apparent Extremely Fine 40**. (90,000-120,000)

Starting Bid: \$60,000

Pleasing Key West \$5 1902 Plain Back



15203 Key West, FL - \$5 1902 Plain Back Fr. 602 The First NB Ch. # (S)4672

A nice grade large size note from one of the most avidly collected communities in the country. With even well circulated pieces bringing mid-four figure prices in their infrequent offerings, it remains to be seen what it will take to bring this high grade circulated specimen home this evening. The Everglades collection example we sold in January of 2011 was somewhat lower grade and still realized \$11,500. PCGS **Very Fine 35**. Also included with this lot is a real photo bank postcard dated 1907 picturing this bank and the Hotel Jefferson in downtown Key West. (12,500-17,500)

Starting Bid: \$7,500



15204 Pensacola, FL - \$5 1882 Brown Back Fr. 477 The American NB Ch. # 5603

A very tough Florida type and denomination, with this piece one of only five \$5 Brown Backs from this bank in the census and one of about two and a half dozen from the entire state. PMG **Very Good 10 Net**, with a few repairs, none of which appears major enough to be cited individually by PMG. (3,500-5,500)

Starting Bid: \$2,100

Unique for the Bank-First Ever Public Offering



15205 Winter Haven, FL - \$10 1902 Plain Back Fr. 630 The Snell NB Ch. # 10379

About as rare as any Florida National Bank Note can be, with this bank issuing only a handful of large notes and even fewer Series 1929 examples. While a single large note from this bank has long been reputed to exist, no one, save for our consignor, had ever seen such an animal. Now every Florida collector has an equal chance at obtaining this rare note, which comes from a bank that has never before been offered at public sale. PCGS pen signed **Very Fine 30**, a great Florida item destined for inclusion in a great Florida collection. (70,000-90,000)

Starting Bid: \$42,000

GEORGIA

Unique for This Unreported Bank



15206 Barnesville, GA - \$5 1902 Plain Back Fr. 609 The Citizens NB Ch. # 12404

This was by far the smaller and weaker of Barnesville's two issuing banks, with this institution failing to outlive the Depression. Only \$5 notes were issued in both large and small size, and none of either have been reported to date. This newly discovered example is an evenly circulated specimen with good color and bold sea green signatures, and will allow one fortunate Peach State collector to add a new bank to his holdings tonight. PCGS **Fine 12**. (9,000-12,000)
Starting Bid: \$5,400



15207 Cordele, GA - \$10 1882 Brown Back Fr. 490 The Cordele NB Ch. # 5975

Second Charter notes only from this Cordele bank, with this pen signed \$10 Brown Back a nice PCGS **Very Fine 20**. (2,750-4,250)
Starting Bid: \$1,650

Rare Covington Large Size National



15208 Covington, GA - \$10 1902 Plain Back Fr. 626 The First NB Ch. # (S)8945

An extremely rare note from the only bank to issue in this small north Georgia county. Just three examples have been recorded, with two grading Very Good or worse. We've sold one of the very low grade pieces twice during the past ten years, once in 2004 when it realized \$4600 and again in 2008, when it brought \$5175. This specimen, which is new to the census, is far superior, and will likely bring even more. PCGS **Fine 15**, with clear purple signatures. (7,500-12,500)
Starting Bid: \$4,500

Elusive Gainesville \$10 1902 Red Seal



15209 Gainesville, GA - \$10 1902 Red Seal Fr. 613 The Gainesville NB Ch. # (S)7616

The only Red Seal extant from this extremely rare Gainesville bank, with this one of just three large (and one small) notes listed in the census. Our consignor paid \$13,225 for this note in October of 2010, and he may well have obtained a bargain. Pen signed PCGS **Very Fine 20**. (12,500-17,500)
Starting Bid: \$7,500

Unique for the Bank & State



15210 Griffin, GA - \$100 1902 Date Back Fr. 694 The City NB Ch. # (S)2075

An incredible rarity from this state, with this note the only surviving 1902 \$100 Blue Seal from any of the three banks which issued these notes. The total issue for the three banks combined was a miniscule 800 pieces, making the chance of another specimen turning up slim to non-existent. Fortunately for Peach State collectors, this note is an evenly circulated problem free piece which carries a grade of **Very Fine 25** assigned by PCGS. If rarity and desirability are any guide, our estimate may well prove most conservative. (12,500-17,500)

Starting Bid: \$7,500

Rare & Lovely Series 1875 Rome \$10



15211 Rome, GA - \$10 1875 Fr. 418 The First NB Ch. # 2368

An extremely scarce type and denomination from Georgia, with fewer than ten examples reported from all banks in the state combined. Three come from this bank, with the last appearance of any at public sale coming back in our June, 1995 sale. Interestingly, even the massive Rome collection, formed by a physician in that community, lacked any of the three reported Rome First Charters. PCGS **Very Fine 25**, a bright and vibrant piece with great eye appeal to match its rarity. (9,000-12,000)

Starting Bid: \$5,400

Unique for the Bank



15212 Rome, GA - \$20 1902 Date Back Fr. 645 The Cherokee NB Ch. # (S)9636

A great new discovery which is offered here to the collecting fraternity for the first time. It comes from a bank which issued Date Backs and a handful of Plain Backs only for just over six years before folding in mid-1916. Even as massive an accumulation as the Rome collection, which we sold almost ten years ago, and which was formed by a physician in that community who had the resources and desire to buy any notes he needed, especially from his home town, lacked any example from this short lived bank. According to every census, this is a unique item from this institution, and a note likely to see some spirited bidding before the hammer falls tonight. PCGS **Apparent Fine 15**, with a couple of light and meaningless stains along with a repaired edge split on the left side that is hardly worthy of mention. (10,000-15,000)

Starting Bid: \$6,000

HAWAII



15213 Honolulu, HI - \$5 1882 Brown Back Fr. 477 The First NB of Hawaii Ch. # (P)5550

A pleasing circulated example of this always popular Territorial Brown Back. PCGS **Very Fine 20**, with good color and eye appeal for the grade. (4,000-5,000)

Starting Bid: \$2,400



- 15214 **Honolulu, HI - \$5 1929 Ty. 2 Bishop First NB Ch. # 5550**
An unusually high grade example from this always in demand bank. Nicely centered PCGS **Choice About New 58PPQ**, a premium piece which appears fully New in the holder. (2,000-3,000)
Starting Bid: \$1,200

IDAHO



- 15215 **Nampa, ID - \$20 1902 Plain Back Fr. 658 The Farmers & Merchants NB Ch. # (P)10916**
This bank lasted less than three years before closing its doors for the last time in March of 1920. Six notes are known, with this piece, which is as nice as any, last having been on the market in 2001. Sharp PMG **Very Fine 30 EPQ**, with vivid blue signatures. (2,500-3,500)
Starting Bid: \$1,500

ILLINOIS



- 15216 **Bloomington, IL - \$5 1902 Plain Back Fr. 598 The NB of Bloomington Ch. # 819**
A high grade specimen which appears fully uncirculated in its PCGS **Choice About New 55PPQ** holder. (800-1,000)
Starting Bid: \$480



- 15217 **Decatur, IL - \$10 1882 Brown Back Fr. 488 The Millikin NB Ch. # (M)5089**
A decent Brown Back with good eye appeal for the grade. PCGS **Fine 15**, signed as Cashier by J.M. Brownback, a very fitting combination. (1,200-1,600)
Starting Bid: \$720



- 15218 **Earlville, IL - \$10 1902 Plain Back Fr. 624 The First NB Ch. # (M)3323**
A high grade large example from a sought after LaSalle County location. PCGS **Apparent Extremely Fine 40**, with a couple of repaired pinholes more than offset by the note's vivid two color signatures. (1,000-1,500)
Starting Bid: \$600



- 15219 **Lincoln, IL - \$1 Original Fr. 382 The First NB Ch. # 2126**
A well high perfectly centered ace, with great color and eye appeal. PCGS mentions a repaired internal cut at center, but the repair can not be seen at all through the holder. **Apparent Gem New 66**. (4,000-6,000)
Starting Bid: \$2,400

Lovely Mansfield \$20 1882 Brown Back



15220 Mansfield, IL - \$20 1882 Brown Back Fr. 504 The First NB Ch. # (M)6096

This small town bank was the only issuer in Mansfield, a quiet village in central Illinois. Not much has happened in Mansfield over the years, and the level of business activity in the community was barely enough to keep the bank's doors open for five years before the venture was wound up in 1907. In a bit more than five years only 541 sheets of \$10 and \$20 Brownbacks were circulated, but, quite surprisingly, three pieces have survived. All three have been held in long term collections for many years, with this the only example to come on the market since 1990, when we sold it as part of the Lynn Shaw collection in September of 2000. Bright PCGS **Extremely Fine 45PPQ**, a lovely note worthy of a very strong bid. (7,000-9,000)

Starting Bid: \$4,200



15221 Triumph, IL - \$20 1902 Plain Back Fr. 650 The First NB Ch. # 7660

A nice \$20 Plain Back from the only bank to issue in this small LaSalle County locale. Bright PCGS **Apparent Very Fine 35**, with a few repaired pinholes more than offset by the bold two color pen signatures. (3,000-4,000)

Starting Bid: \$1,800

INDIANA

Serial Number 1 Auburn Red Seal



15222 Auburn, IN - \$10 1902 Red Seal Fr. 613 The City NB Ch. # (M)6509

One of only two Red Seals extant from this none too common Indiana bank, and the only serial number 1. In fact, it is the only serial number 1 example of any kind known from this institution. Pen signed PCGS **Very Fine 25**, a note with real eye appeal to go along with its unquestioned rarity. (10,000-15,000)

Starting Bid: \$6,000



15223 Greensburg, IN - \$20 1902 Plain Back Fr. 654 The Citizens' NB Ch. # 1890

Large notes from this Indiana bank have been quite elusive, with Track & Price reporting only two such offerings since 1990. PCGS **Apparent Very Fine 20**. (1,000-1,400)

Starting Bid: \$600



- 15224 **Jeffersonville, IN - \$5 1875 Fr. 403 The First NB Ch. # 956**
 This is by no means a rare bank, but this new to the census piece is the only First Charter from this Jeffersonville bank to date. In fact, it is the only early note of any kind to surface from this institution, which had hitherto been represented only by Series 1902 examples. PCGS **Very Good 10**. (2,000-3,000)
Starting Bid: \$1,200



- 15225 **Lowell, IN - \$10 1902 Plain Back Fr. 633 The First NB Ch. # 5931**
 A nice example bearing the second title used by this just plain scarce bank. PCGS **Very Fine 30PPQ**, with bold pen signatures. (2,000-3,000)
Starting Bid: \$1,200

- 15226 **Owensville, IN - \$10 1882 Brown Back Fr. 490 The First NB Ch. # (M)5432**
 Series 1882 notes only from this bank, with T & P showing only two appearances from this issuer since 1990, with both notes offered fetching \$5000 or more. This is a truly awful example, with enough defects to fill up the remainder of this page and spill over into the next if they were all enumerated. Suffice it to say that our friends at PCGS have done themselves no favor to have called this note **Apparent Very Good 10**, as if this is an Apparent Very Good 10, we shudder to think of what an Apparent Very Good 8 might look like. (750-1,250)
Starting Bid: \$450

IOWA



- 15227 **Blockton, IA - \$10 1902 Plain Back Fr. 625 The First NB Ch. # 8211**
 A rare note from this tiny Taylor County institution, which maintained only the statutory minimum capitalization as it clung to a precarious existence for twenty five years until the receiver arrived to padlock the bank in October of 1931. Throughout this period a minimum of currency was issued, as this institution was a proverbial Iowa "pocket change" bank. Five large and one small note are listed in the census, with only one having been offered to collectors in the past nineteen years. Pen signed PCGS **Apparent Very Good 10**, with a couple of minor problems that affect little. (1,750-2,750)
Starting Bid: \$1,050



- 15228 **Newton, IA - \$5 1882 Value Back Fr. 574 The First NB Ch. # (M)2644**
 The first of a beautiful pair of Newton \$5 Value Backs, with this being your classic "three fold unc." Well centered PCGS **Extremely Fine 45**. (1,750-2,250)
Starting Bid: \$1,050



- 15229 **Newton, IA** - \$5 1882 Value Back Fr. 574 **The First NB** Ch. # (M)2644
A second Newton \$5 Value Back, also exceptionally well centered for a note of this type. PCGS **Extremely Fine 40PPQ**. (1,500-2,000)
Starting Bid: \$900

KENTUCKY



- 15230 **Catlettsburg, KY** - \$5 1882 Brown Back Fr. 471 **The Big Sandy NB** Ch. # 4200
Brown Backs only from this just plain rare bank, which combines a great name with enough bank rarity to matter. PCGS **Apparent Fine 15**, with a couple of closed edge splits, ex-Glenn Martin collection, where our consignor obtained it ten years ago. (4,500-6,500)
Starting Bid: \$2,700



- 15231 **Lexington, KY** - \$5 1875 Fr. 404 **The National Exchange Bank** Ch. # 2393
A scarce Lexington bank which issued a rather odd mixture of Series 1875 fives followed by Brown back fifties and hundreds before closing its doors in 1906. This example is a beautiful note which appears even higher grade than its PCGS assigned ranking of **Extremely Fine 40PPQ**. (2,750-4,250)
Starting Bid: \$1,650



- 15232 **Paducah, KY** - \$20 1882 Brown Back Fr. 499 **The American German NB** Ch. # 2070
First and Second Charters only from this elusive Paducah bank which liquidated in 1910. This \$20 Brown Back is as nice as any example listed in the census, and bears a neat ethnic title as well. Pen signed PCGS **Extremely Fine 40**. (3,000-4,000)
Starting Bid: \$1,800



- 15233 **Russell Springs, KY** - \$10 1902 Plain Back Fr. 632 **The First NB** Ch. # 11348
This state certainly likes its Russells, as notes exist from Russell, Russellville, and Russell Springs. Not many, however, in this case, as the total large size census from here is all of five. Even a collector as advanced as Glenn Martin was never able to find any large note from this community, which was founded as a health resort based on its curative springs. PMG **Very Fine 20**. (2,250-2,750)
Starting Bid: \$1,350

MAINE



- 15234 **Bath, ME** - \$10 1882 Brown Back Fr. 479 **The Bath NB** Ch. # (N)494

One of the few decent grade examples available from this otherwise easy to obtain bank. Nicely margined PCGS **Very Fine 20PPQ**, with bold pen signatures. (900-1,200)
Starting Bid: \$540



- 15235 **Lewiston, ME** - \$5 1882 Brown Back Fr. 466 **The First NB** Ch. # 330

A nice early \$5 Brown Back which displays a title layout with the ornate design found only on the early Brown Backs of this denomination. PCGS **Very Fine 20**. (2,500-3,500)
Starting Bid: \$1,500

Unique for the Bank



- 15236 **Oakland, ME** - \$20 1882 Date Back Fr. 552 **The Messalonskee NB** Ch. # (N)2231

A truly rare Maine note from a bank which, although it issued from its inception in 1875 until its liquidation in 1924, has only one note extant for both of its issuing titles...and this is it. Long in the possession of one dedicated Maine collector, it was acquired privately and has never been offered previously to the collecting public. Any estimate is simply a guess, but when the hammer falls tonight one fortunate collector will have added a new town to his or her holdings. PCGS **Fine 15**. (20,000-30,000)
Starting Bid: \$12,000

Nice \$10 1875 Searsport



- 15237 **Searsport, ME** - \$10 1875 Fr. 420 **The Searsport NB** Ch. # 2642

New to the census, where it becomes one of only four First Charter examples recorded from this coastal bank, and very likely the nicest of the quartet. This institution was the sole National Bank chartered in this lovely maritime village, with the last First Charter offering coming when we sold a lower grade \$10 for \$6325 in 2007. PCGS **Very Fine 25PPQ**. (7,000-9,000)

Starting Bid: \$4,200

- 15238 **Waterville, ME** - \$1 Original Fr. 380 **The Peoples NB** Ch. # 880

Low grade but scarce, with this the only ace reported from the bank. PCGS **Apparent Good 6**, with a repaired lower left corner. (600-900)

Starting Bid: \$360

MARYLAND



15239 Baltimore, MD - \$2 Original Fr. 387 The Western NB Ch. # 1325

An extremely scarce Maryland type, with fewer than a dozen Lazy Twos reported from all banks in Maryland combined. Just two come from this institution, with none having been offered at public sale since our January, 1998 CAA sale. Pleasing PCGS **Fine 15PPQ**, problem free for the grade. (6,000-8,000)
Starting Bid: \$3,600



15240 Cambridge, MD - \$50 1902 Plain Back Fr. 683 The Farmers & Merchants NB Ch. # (E)5880

A very scarce note from this eastern shore bank, which issued high denomination example only throughout its existence. This piece is as nice as any we've seen. PCGS **Extremely Fine 45**. (5,000-7,000)
Starting Bid: \$3,000

15241 Denton, MD - \$5 1902 Plain Back Fr. 607 The Denton NB Ch. # (E)2547

An evenly circulated pen signed eastern shore example. PCGS **Very Fine 25**. (400-500)
Starting Bid: \$240



15242 Frostburg, MD - \$5 1882 Brown Back Fr. 471 The First NB Ch. # 4149

A very scarce Brown Back from this western Maryland issuer. Track & Price shows only one offering of any Brown Back from here during the past twenty plus years, with that coming when we sold a nice Very Fine example for \$3737.50 in 2006. PCGS **Apparent Very Fine 25**, with the holder citing "stained." The stain is quite light, and really should not have been the cause for an Apparent grade here. (2,500-3,500)
Starting Bid: \$1,500



15243 Lonaconing, MD - \$10 1902 Date Back Fr. 616 The First NB Ch. # (E)7732

A new to the census example from this very scarce western Maryland bank. It's the only Date Back reported from this one bank location, and, save for the most minute of rust spots on the front, a really pretty example with bright inks, a strong blue overprint, and great pen signatures. PCGS **Apparent Very Fine 35**. (5,000-7,000)
Starting Bid: \$3,000

One of Two Known



15244 North East, MD - \$5 1902 Plain Back Fr. 598 The First NB Ch. # 7064

Although a handful of Series 1929 examples have been recorded from this one bank location in Cecil County, large notes are another matter completely, with only one specimen reported to date. That note, which we sold in 1998 as part of the Armand Shank collection, has been off the market since its last appearance at public sale in 2000. This piece is new to the census, and likely to be the only large note available from North East for many years to come, as the bank had a miniscule \$390 outstanding in large in 1935. PCGS **Very Fine 25**, an evenly circulated rarity certain to delight its fortunate new owner. (7,500-12,500)

Starting Bid: \$4,500



15245 Port Deposit, MD - \$20 1902 Red Seal Fr. 639 The Cecil NB Ch. # (E)1211

A rare and desirable piece which is one of only two Red Seals reported from this Cecil County bank, and the finer of the pair at that. It has been off the market since its last auction appearance a dozen years ago, as has the sole other Red Seal reported from here, which has not reappeared since its last auction offering in 1999. Bright PCGS **Very Fine 20PPQ**. (5,000-7,000)

Starting Bid: \$3,000

MASSACHUSETTS



15246 Boston, MA - \$5 1882 Brown Back Fr. 474 The Fourth NB Ch. # 2277

A most attractive \$5 Brown Back which is the only note in the census from this bank grading higher than Extremely Fine. PCGS **Very Choice New 64**, with a terrific Presidential vanity signature. (3,000-4,000)

Starting Bid: \$1,800



15247 Lynn, MA - \$10 1882 Brown Back Fr. 490 The National Security Bank Ch. # (N)2563

A very scarce bank which has had only two offerings at public sale since 1997. PCGS **Fine 12**, an evenly circulated piece with no flaws worthy of mention by the grading service. (1,500-2,000)

Starting Bid: \$900



15248 Milford, MA - \$1 1875 Fr. 383 The Home NB Ch. # 2275

One of the classic hoard notes which has given collectors an opportunity to own a high grade First Charter ace for decades. PCGS **Choice New 63PPQ**, a perfect type example with blazing colors and great paper quality. (3,000-4,000)

Starting Bid: \$1,800



- 15249 **Plymouth, MA - \$20 1902 Date Back Fr. 642 The Plymouth NB Ch. # (N)779**
One of the nicer examples we have seen from this historic community. PCGS **Very Fine 30**. (600-900)
Starting Bid: \$360



- 15250 **Worcester, MA - \$10 1902 Red Seal Fr. 613 The Mechanics NB Ch. # (N)1135**
A rare note indeed from this Massachusetts bank, with this the only Red Seal of any denomination recorded in the census. PCGS **Fine 15**, with a sharp red overprint. (1,750-2,250)
Starting Bid: \$1,050

MICHIGAN



- 15251 **Battle Creek, MI - \$5 1882 Brown Back Fr. 467 The NB of Battle Creek Ch. # 3314**
An extremely rare bank which issued Brown Backs only and liquidated at the expiration of its charter in 1905. Even the most advanced Michigan collectors have only owned a wretchedly low grade example, as the Lee DeGood and Wallace Lee holdings each had one piece graded Good between them. This piece, which is one of three listed in the census, is a refreshing counterpoint. Pleasing PCGS **Fine 15**. (3,500-4,500)
Starting Bid: \$2,100



- 15252 **Detroit, MI - \$2 Original Fr. 387 The Second NB Ch. # 116**
A well margined and bright for the grade Lazy Deuce from one of Michigan's earliest National Banks. This institution issued First Charter notes only before closing forever in 1883, with this piece one of only four deuces reported. PCGS **Very Fine 20PPQ**. (4,000-5,000)
Starting Bid: \$2,400



- 15253 **Detroit, MI - \$10 1875 Fr. 419 The Merchants & Manufacturers NB Ch. # 2365**
Four examples are reported from this very scarce Detroit bank which issued Series 1875 notes only from its inception in 1877 until its demise in 1894. Pen signed PCGS **Fine 15**. (3,000-4,000)
Starting Bid: \$1,800



- 15254 **Detroit, MI - \$5 1882 Brown Back Fr. 469 The American Exchange NB Ch. # 3357**
By far the finest note known from this very scarce Detroit bank which issued Brown Backs and Red Seals only. Pen signed PCGS **Apparent About New 50**, with, as PCGS indicates, minor stains. (3,500-4,500)
Starting Bid: \$2,100



15255 Detroit, MI - \$20 1902 Plain Back Fr. 657 The Merchants NB Ch. # 10600

A beautiful note which was clearly kept as a presentation piece, as it is autographed in pen by both the Cashier and President above their stamped signatures. The fancy double serial number 9999 can be seen twice, making this a nice pair with the companion \$10 which also exists with the same double serial number. PCGS **Choice About New 58PPQ**. (1,500-2,000)

Starting Bid: \$900



15256 Hamtramck, MI - \$5 1902 Plain Back Fr. 606 The Peoples NB Ch. # (M)11082

This is one of only four notes reported from this one bank community, which was long a predominantly Polish enclave surrounded by the city of Detroit, although in recent years the Poles have largely been replaced by immigrants from Yemen and Bangladesh. PCGS **Apparent Fine 15**, with a few small edge splits. (2,000-3,000)

Starting Bid: \$1,200



15257 Lake Linden, MI - \$5 1882 Brown Back Fr. 470 The First NB Ch. # 3948

A very scarce bank for early notes, with even the massive Lee collection containing only a lone Blue Seal from this Upper Peninsula bank. PCGS **Very Fine 20**. (2,500-3,500)

Starting Bid: \$1,500

Rare Ovid \$5 1882 Brown Back



15258 Ovid, MI - \$5 1882 Brown Back Fr. 467 The First NB Ch. # 3264

A true Michigan rarity with a great layout. This Clinton County institution was chartered in 1884 and operated for thirteen years before its closure in 1897. By 1910, a miniscule \$370 was still outstanding, ensuring that this note will be prohibitively rare forever. PCGS pen signed **Fine 15**. (7,000-9,000)

Starting Bid: \$4,200



15259 Rockland, MI - \$20 1882 Value Back Fr. 581 The First NB Ch. # (M)5199

A new to the census example which is the sole 1882 Value Back known from this Upper Peninsula bank. PCGS **Fine 12**. (3,000-4,000)

Starting Bid: \$1,800

MINNESOTA



- 15260 **Hastings, MN - \$2 Original Fr. 387 The Merchants NB Ch. # 1538**
Original Series notes only from this very rare bank which went out in February of 1874. Just two pieces are listed in the census, with only one in public hands, with that example realizing \$14,950 in the Melamed sale two years ago. This note is new to the census, and quite low grade, with numerous defects listed on the PCGS holder, but it will cover the charter until a better note comes along. PCGS **Apparent About Good 3**. (2,000-3,000)
Starting Bid: \$1,200

Double Serial Number 1



- 15261 **Minneapolis, MN - \$5 1902 Plain Back Fr. 609 The Central NB Ch. # 13108**
This is one of a pair of double serial number 1 examples recorded from this late charter Minneapolis bank. This example can trace its pedigree back to a 1976 Stack's sale, after which it has been in private hands for the past thirty five years, while the other piece was part of the Melamed collection holdings, where it sold for \$6325 in 2010. That specimen was somewhat nicer, so we will be conservative here and estimate this PCGS graded **Very Fine 20** at... (5,000-6,000)
Starting Bid: \$3,000

MISSISSIPPI

One of Three Known



- 15262 **Port Gibson, MS - \$5 1882 Brown Back Fr. 477 The Mississippi NB Ch. # 5715**
An extremely rare note from the only bank in this small Claiborne County town. This bank, which issued Brown Backs only before disappearing in 1907, was unknown prior to the discovery of a pair of notes two serial numbers apart in 2005. Both graded Very Fine, with the first selling for \$21,850 in August of that year and the second reaching exactly the same figure when it sold the following month. A third note was discovered several years later, in the same grade as the first two. None of the notes have sold since 2005. Pen signed PMG **Very Fine 25**. (14,000-18,000)
Starting Bid: \$8,400



- 15263 **Yazoo City, MS - \$5 1929 Ty. 2 The Delta NB Ch. # 12587**
Extraordinarily well centered on both face and back, and a perfect note for any state set. We certainly know of no finer Series 1929 example from this or any other Mississippi bank. PCGS **Superb Gem 67PPQ**. (1,250-2,250)
Starting Bid: \$750

MISSOURI



15264 Saint Louis, MO - \$1 Original Fr. 380 The NB of the State of Missouri Ch. # 1665

A short lived bank which issued Original Series notes only before the receiver arrived to close its doors forever in 1877. PMG **Very Good 10 Net**, with mention made of rust, which consists of a few spots, mostly in the margins. (1,200-1,600)
Starting Bid: \$720



15265 Savannah, MO - \$10 1882 Brown Back Fr. 490 The First NB Ch. # (M)5780

Large notes only from this bank, which has had only one appearance at public sale (according to Track & Price) since 1998. PCGS **Very Good 10**, with a decent look for the grade. (1,250-1,750)

Starting Bid: \$750



15266 Stewartville, MO - \$20 1882 Brown Back Fr. 498 The First NB Ch. # (M)4160

An attractive Brown Back which is new to the census and raises the total reported Brown Backs to three, with this the first to be offered for sale in more than twenty years. Pen signed PCGS **Very Fine 25**. (2,000-3,000)

Starting Bid: \$1,200

MONTANA



15267 Wolf Point, MT - \$10 1929 Ty. 1 The First NB Ch. # 11036
Small notes only from this bank, which bears one of the most desirable town names in the national bank note universe. PCGS **Fine 12**. (1,400-1,800)

Starting Bid: \$840

NEBRASKA

One of Two Known



15268 Arapahoe, NE - \$5 1882 Brown Back Fr. 467 The First NB Ch. # 3302

A great Nebraska rarity which has every attribute a collector could desire. It comes from the sole bank chartered in this tiny agricultural community, an institution that endured for less than ten hardscrabble years before falling victim to the severe economic contraction of 1893-94. Like more than a few Nebraska banks of its time, its entire issue consisted of \$5 Brown Backs, and, with a tiny circulation, the amount outstanding from this institution stood at a meager \$335 by 1910. The census shows only two survivors, this note and a second which has been privately held for more than three decades. Pen signed PCGS **Apparent Very Fine 20**, with a couple of small repaired splits that affect nothing, a wonderful Brown Back which combines a great Indian name, true bank rarity, and an attractive appearance in one desirable package. (25,000-45,000)

Starting Bid: \$15,000

Nicer of Two Known



15269 Gering, NE - \$10 1902 Date Back Fr. 617 The First NB Ch. # (W)8062

A great new discovery which becomes only the second note known from this excessively rare western Nebraska bank. Luckily for collectors, it is a real peach as well, with great color, originality, and signatures, raising it head and shoulders above the only other survivor from this bank, which barely grades Fine. For some reason that your cataloguer is unable to explain, PCGS has put this note into an **Apparent Very Fine 35** holder, and mentioned "small stains at bottom edge." There is one tiny spot that is barely visible and would not be mentioned under normal circumstances by even the most fastidious of cataloguers. (15,000-20,000)

Starting Bid: \$15,000

One of Two Known From All Nebraska Banks Combined



15270 Omaha, NE - \$20 Original Fr. 429 The Omaha NB Ch. # 1633

An extraordinarily rare Nebraska type and denomination which was issued by only three banks in the state. Only two First Charter \$20 Originals are known, with both examples coming from this Omaha bank. Both are about the same grade, but one has long been off the market in a major Nebraska holding, with this the sole specimen to have been offered in more than two decades. It's an evenly circulated piece with several minor splits and repairs, but certainly more than acceptable in appearance and so rare that it hardly matters. Any estimate here for the PCGS **Apparent Fine 15** note is simply a guess, but we would be quite surprised if our estimate was not met or exceeded this evening. (50,000-70,000)

Starting Bid: \$40,000



- 15271 **South Omaha, NE** - \$5 1882 Brown Back Fr. 472 **The Union Stock Yards NB** Ch. # (W)4632
A great Nebraska Brown Back from a bank which has had only one appearance at public sale since 1990. The bank issued Second Charter notes only and was established to serve the meat packers at the slaughterhouses of South Omaha. This lot comes with a very interesting 1908 real photo post card of the bank and the neighboring exchange building. PCGS **Very Fine 20**. (8,000-12,000)
Starting Bid: \$7,500
- 15272 **Tilden, NE** - \$10 1902 Date Back Fr. 618 **The First NB** Ch. # (W)9217
One of only two Date Backs reported from this none too common bank. PCGS **Apparent Fine 15**, with more than a few problems enumerated by the grading service. (700-900)
Starting Bid: \$420

Fantastic University Place Red Seal



- 15273 **University Place, NE** - \$10 1902 Red Seal Fr. 613 **The First NB** Ch. # (W)7737
A fantastic new discovery which is the only Red Seal ever seen from this very scarce Lancaster County bank. This community no longer exists as an independent entity, having been absorbed into the city of Lincoln in 1926. Fortunately for collectors, this piece is also the finest known from the bank by a huge margin. It has the appearance of a fully uncirculated note, with a bright red overprint and vivid purple pen signatures, and has retained its top selvage, indicating that it was likely cut from the sheet as a presentation piece. Its only flaw is a miniscule repair that can barely be seen within the PCGS holder. **Apparent Choice About New 58**, a great Nebraska note worthy of the finest of collections. (40,000-60,000)
Starting Bid: \$40,000

NEW HAMPSHIRE



- 15274 **Newport, NH** - \$5 1902 Red Seal Fr. 587 **The First NB** Ch. # (N)888

A lovely Red Seal which displays every positive attribute that any collector could hope to find. PCGS **Very Choice New 64**, with two color signatures, a fire engine red overprint, and paper quality which can be observed right through the holder. (3,000-4,000)
Starting Bid: \$1,800

NEW JERSEY

- 15275 **Bloomsbury, NJ** - \$10 1902 Plain Back Fr. 631 **The Citizens NB** Ch. # 10712

A decent large example from this Hunterdon County bank. PCGS **Apparent Fine 15**, with a small edge split at the top that affects little. (400-600)
Starting Bid: \$240



- 15276 **Camden, NJ** - \$10 1882 Brown Back Fr. 482 **The Camden NB** Ch. # 3372

Large notes only from this scarcer Camden bank. PMG **Very Fine 25**. (2,000-3,000)
Starting Bid: \$1,200



- 15277 **Clinton, NJ** - \$10 1929 Ty. 1 **The First NB** Ch. # 2246
 A tough note from a Hunterdon County bank which is actually scarcer in small size than large. PCGS **Choice Uncirculated 63 EPQ**. (1,750-2,250)
Starting Bid: \$1,050



- 15278 **Freehold, NJ** - \$10 1929 Ty. 2 **The Central NB** Ch. # 4182
 A very scarce Freehold bank which has had only three appearances at public sale since 1990. This piece, which PCGS has graded **Very Fine 30**, is as nice as any Series 1929 example listed in the census. (1,500-2,000)
Starting Bid: \$900



- 15279 **High Bridge, NJ** - \$5 1882 Value Back Fr. 574 **The First NB** Ch. # (E)5333
 A tougher type from this New Jersey bank, with this one of three \$5 Value Backs from this institution listed in the census. PCGS **Fine 15**. (1,000-1,200)
Starting Bid: \$600



- 15280 **Jersey City, NJ - \$10 1902 Plain Back Fr. 635 Bergen NB Ch. # 12255**
The first and considerably scarcer title used by this Jersey City bank. PCGS **Very Fine 25**. (750-950)
Starting Bid: \$450



- 15281 **Linden, NJ - \$5 1902 Plain Back Fr. 607 The Linden NB Ch. # (E)11545**
An evenly circulated piece which displays the first of the two titles used on large notes by this Union County bank. PCGS **Fine 15**. (1,200-1,600)
Starting Bid: \$720



- 15282 **Manasquan, NJ - \$10 1929 Ty. 1 Manasquan NB Ch. # 9213**
An unusually high grade example from this always popular Jersey shore community. PCGS **Extremely Fine 40PPQ**. (450-650)
Starting Bid: \$270



- 15283 **Newark, NJ - \$5 1902 Plain Back Fr. 609 The Labor NB Ch. # 12771**
The first title used on Nationals by this union affiliated bank, one of a number of labor banks formed predominantly by transportation unions in the mid to late 1920's. Often thinly capitalized and lenders to marginal enterprises, they were decimated by the Depression, with this bank one of the very few to survive until the end of the note issuing period. Although Series 1929 examples (which all bear the bank's second title) are easily found, large notes, which display the first issuing nomenclature, are quite rare, with the census standing at just six pieces...and, with a miniscule \$315 outstanding in large notes by 1935, it's unlikely to go much higher. PMG **Choice Very Fine 35 EPQ**, a significant New Jersey rarity likely to see some spirited bidding. (2,000-3,000)
Starting Bid: \$1,200



- 15284 **Orange, NJ - \$5 1882 Date Back Fr. 533 The Second NB Ch. # (E)4724**
Two color pen signatures are displayed by this PCGS **Very Fine 25** 1882 \$5 Date Back. (800-1,000)
Starting Bid: \$480

NEW MEXICO



- 15285 **Clovis, NM** - \$20 1902 Plain Back Fr. 654 **The First NB** Ch. # (W)8784
Low grade but very rare, with this piece one of only three listed in the census from this large only bank. The other two are not much better, with the highest grade example grading only Very Good-Fine. PCGS **Apparent Fine 12**, but with major repairs and restorations. (2,000-3,000)
Starting Bid: \$1,200

NEW YORK



- 15286 **Albany, NY** - \$5 1882 Brown Back Fr. 474 **The Merchants NB** Ch. # 1045
A lovely \$5 Brown Back from one of Albany's scarcest banks. This institution issued First Charters and Brown Backs only before disappearing in 1902, leaving only three documented survivors in the census. This high grade example is new to that listing, and as nice as any specimen previously reported. Pen signed and fully original PCGS **Extremely Fine 40PPQ**, an exceptional note from New York's capital city. (3,500-4,500)
Starting Bid: \$2,100

Finer of Two Known



- 15287 **Arcade, NY** - \$10 1902 Plain Back Fr. 630 **The First NB** Ch. # 10410
A simply wonderful Wyoming County note which has been off the market since we sold it in 2004. We described it then as "One of two large notes known from this small community, a total likely to stay just where it is, as the bank had just \$690 outstanding in large notes by 1935. Choice Crisp Uncirculated, a beautiful note which has been off the market for almost forty years since our consignor obtained it back in 1976." Eight years later it is just as rare, as the large census remains unchanged at two, with this example substantially the finer of the pair. PCGS **Choice New 63PPQ**. (10,000-14,000)
Starting Bid: \$6,000



- 15288 **Bliss, NY** - \$10 1902 Plain Back Fr. 632 **The Bliss NB** Ch. # 10754
A very scarce bank in large size, with just \$690 outstanding in 1935. The large census from here stands at six, with five years having passed with no large offerings from this one bank community. PCGS **Fine 12**. (1,000-1,500)
Starting Bid: \$600



15289 Brasher Falls, NY - \$10 1929 Ty. 1 The Brasher Falls NB Ch. # 10943

An evenly circulated example from this scarce St. Lawrence County bank, which has not seen a Series 1929 example appear at public sale since 2003. PCGS **Very Fine 25**. (1,250-1,750)

Starting Bid: \$750



15290 Buffalo, NY - \$2 Original Fr. 387 The Farmers & Mechanics NB Ch. # 453

A nice looking Buffalo deuce with a few minor problems. PCGS **Apparent Very Fine 30**, with several small closed splits and other minor repairs. Of particular interest to collectors is the signature of E.G. Spaulding as president of the bank. He was a United States Senator from New York who was instrumental in developing and promoting the National Currency system. (3,250-4,250)

Starting Bid: \$1,950



15291 Camden, NY - \$5 1875 Fr. 404 The First NB Ch. # 2448

A pleasing Series 1875 example with good color and strong signatures of J.G. and D.G. Dorrance. The Dorrance clan was a prominent Oneida County family, with D.G. Dorrance having served as president of the Oneida Valley NB of Oneida before founding the First NB of Camden and his son John G., who signs as cashier, serving as a state assemblyman and senator before assuming the presidency of the First NB after the death of his father. The Dorrance family home in Camden has been preserved, and is now on the National Register of Historic Places. PCGS **Very Fine 25**. (1,750-2,250)

Starting Bid: \$1,050



15292 Catskill, NY - \$5 1902 Red Seal Fr. 587 The Tanners NB Ch. # (E)1198

Evenly circulated and pen signed. PCGS **Fine 15**. (750-950)

Starting Bid: \$450



15293 Conewango Valley, NY - \$5 1902 Plain Back Fr. 606 The Conewango Valley NB Ch. # 10930

A lovely example which is one of only two high grade large notes known from this bank, which closed in 1934 with just \$940 in large outstanding. PCGS **Extremely Fine 45**. (2,000-3,000)

Starting Bid: \$1,200



15294 Delhi, NY - \$10 1902 Plain Back Fr. 624 The Delaware NB Ch. # 1323

A lovely note which displays bright white paper, a sharp blue overprint, and clear purple stamp signatures. PCGS **Choice About New 58**. (1,000-1,400)
Starting Bid: \$600



15295 Geneva, NY - \$1 Original Fr. 380 The Geneva NB Ch. # 949

Five notes are listed in the census from this large only Ontario County bank, with all but one having been impounded in a major New York holding since 1985. In fact, none of the great New York collections sold during the past quarter century have had even one example from this bank to offer, with the only appearance at public sale coming when a low grade serial number 1 First Charter \$10 turned up in late 2005. The ace offered here is also new to the census, and will allow one other fortunate collector to add a note from this institution to their holdings. PCGS **Apparent Fine 15**, with the Apparent grade due to some very minor mounting remnants on the reverse top two corners. (3,500-5,500)
Starting Bid: \$2,100



15296 Gloversville, NY - \$1 Original Fr. 380 The National Fulton County Bank Ch. # 1474

First Charters only from this better Gloversville bank, with all four pieces listed in the census Original Series aces. Track & Price notes only one appearance from this institution at public sale between 1999 and 2010. PCGS **Apparent Very Fine 20**, with a small cut in the top right edge. (1,000-1,400)
Starting Bid: \$600



15297 Granville, NY - \$10 1902 Date Back Fr. 616 The Washington County NB Ch. # (E)7255

This is by far the most common Granville bank in small size, but considerably the scarcest (save for the unreported charter) in large, with the census standing at just three such notes. Pen signed PCGS **Apparent Fine 12**, with a small edge tear that affects nothing. (600-900)
Starting Bid: \$360

One of Two Reported With This Title



15298 Haverstraw, NY - \$5 1902 Plain Back Fr. 605 The NB of Haverstraw & TC Ch. # 2229

This piece bears the second title employed by this institution, which was used on large notes only from 1926 through 1929. Just a relative handful of large size sheets were printed using this nomenclature, with only this piece and a serial number 1 example having surfaced to date. PCGS **Apparent Fine 15**, with the Apparent grade, according to PCGS, coming due to small rust stains. The "stains" consist entirely of a few small flecks in the margins that affect nothing and would normally not be mentioned on a note in this grade even by the most conservative of cataloguers. (2,500-3,500)

Starting Bid: \$1,500



15299 Kinderhook, NY - \$10 1902 Red Seal Fr. 613 The National Union Bank Ch. # (E)929

Originally opened as a state bank in 1853, this institution is still in business today. Kinderhook was the home of President Martin Van Buren, whose home still stands today as well. PCGS **Apparent Very Fine 30**, with a few minor stains. (1,500-2,000)

Starting Bid: \$900



15300 Kinderhook, NY - \$1 Original Fr. 380 The NB of Kinderhook Ch. # 1026

First Charters and Brown Backs only from this seldom seen Kinderhook bank, which is by far the scarcer of this community's two issuers. PCGS **Very Fine 30**, with an exceptionally bright red overprint. (1,750-2,250)

Starting Bid: \$1,050

Double Serial Number 1



15301 Livonia, NY - \$5 1902 Plain Back Fr. 609 The Stewart NB Ch. # 13006

Featuring two serial number 1s, this piece was the bottom note off the first sheet issued by this scarce Livingston County bank. The note was set aside as a keepsake and folded in thirds, likely to be shown to friends, but never circulated as the paper is bright and the signatures clear. PCGS **Apparent Extremely Fine 40**, the Apparent grade given only because of a small edge split at the bottom right that can barely be seen through the holder. (4,000-6,000)

Starting Bid: \$2,400



15302 Lowville, NY - \$5 1929 Ty. 1 The Black River NB Ch. # 2426

A scarcer bank in small size than large, with the last Series 1929 example offered at public sale appearing in 2004. PCGS **Apparent Extremely Fine 40**, with a bit of very light rust on the right end of the front. (800-1,200)

Starting Bid: \$480

Rare Middletown \$5 Original



15303 Middletown, NY - \$5 Original Fr. 397 The Middletown NB Ch. # 1276

An extremely rare Orange County bank which issued First Charters only before entering receivership in 1884. A meager three examples are listed in the census, one high grade and two in abysmally low grade, and, of that trio, only one of the low grade notes has been offered at public sale during the past twenty five years. This specimen is new to the census and attractive for the grade despite its Apparent designation, which comes because of a few edge splits. PCGS **Apparent Fine 15**, likely to see bidding reach well into the area of... (5,000-7,000)

Starting Bid: \$3,000

15304 Mohawk, NY - \$20 1902 Plain Back Fr. 650 The National Mohawk Valley Bank Ch. # 1130

This Herkimer County community is located between Little Falls and Utica in the scenic Mohawk River Valley. The area was immortalized in John Ford's 1939 feature film "Drums Along the Mohawk," which starred Henry Fonda and Claudette Colbert in a Revolutionary War epic depicting life on what was then America's western frontier. PCGS **Very Fine 20**. (300-400)

Starting Bid: \$180

Double Serial Number 1 Mount Kisco Note



15305 Mount Kisco, NY - \$5 1902 Plain Back Fr. 606 The Mount Kisco NB & TC Ch. # 5026

A lovely Westchester County double serial number 1 note which is the only Plain Back number 1 reported from this much in demand bank. PCGS **About New 53**. (8,000-12,000)

Starting Bid: \$4,800



15306 New York, NY - \$5 1902 Plain Back Fr. 600 The Bronx NB Ch. # 8926

A lovely example from a small run of high grade pieces from this institution, all of which have disappeared into long term holdings since their discovery a decade ago. This bank was one of only four National Banks to issue in The Bronx, which is the only one of New York City's five boroughs which is physically attached to the American mainland. PCGS **Choice New 63PPQ**. (1,500-2,000)

Starting Bid: \$900



15307 Newburgh, NY - \$5 1882 Brown Back Fr. 466 The NB of Newburgh Ch. # 468

A truly lovely note in all respects, from its fancy layout to its evident eye appeal and from its four large margins to its pen signatures, with the word "Vice" stamped in purple ink in front of the word "Pres't." at the signature block. PCGS **Extremely Fine 40**, a \$5 Brown Back missing from even the massive Power Collection. (3,000-4,000)

Starting Bid: \$1,800



15308 Newburgh, NY - \$5 1902 Plain Back Fr. 598 The Highland NB & TC Ch. # 1106

The second of the three titles used on large notes by this bank, and the scarcest of the trio, with the census revealing only five pieces extant. This PCGS **About New 50** example is certainly up there with the nicest. (1,500-2,000)

Starting Bid: \$900

Double Serial Number 1 Newburgh Note



15309 Newburgh, NY - \$5 1902 Plain Back Fr. 598 Highland-Quassaick NB & TC Ch. # 1106

A lovely double serial number 1 example which displays a bold blue overprint, crackling fresh white paper, and strong black pen signatures. It's one cornerfold away from the full Choice New designation, and is far superior to the double serial number 1 \$10 we sold from this bank last year for \$3220. PCGS Choice About New 58PPQ. (4,000-5,000)
Starting Bid: \$2,400

Finer of Two Known



15310 North Granville, NY - \$5 1882 Brown Back Fr. 468 The North Granville NB Ch. # 1348

An extremely rare bank, with this institution initially issuing in Fort Edward and then moving to North Granville, where it produced First Charters and Brown Backs only before liquidating in 1905. Only one note exists with the first title, and this is one of two known (and considerably the nicer of the pair) extant with the second. We sold the lesser of the two last year in an Apparent 15 holder for \$4600. PCGS Very Fine 20, a far superior piece should easily see bidding reach or exceed... (6,000-8,000)
Starting Bid: \$3,600



15311 Port Leyden, NY - \$20 1929 Ty. 1 The Port Leyden NB Ch. # 11742

A very scarce note from a north country bank which, in small size, has not appeared at public sale since we auctioned the collection of Bill Sabis in May of 2003. PCGS Very Fine 30, a high grade piece which is as nice as any we've seen from here. (1,200-1,600)
Starting Bid: \$720



15312 Poughkeepsie, NY - \$20 1902 Red Seal Fr. 639 The Fallkill NB Ch. # (E)659

While this Poughkeepsie issuer can hardly be classified as rare, Red Seals are quite scarce, with the census to date standing at only two reported examples, both fives. This \$20 is new to the census and is an evenly circulated piece which appears problem free for the grade. PCGS Fine 12. (1,400-1,800)
Starting Bid: \$840



15313 South Glens Falls, NY - \$5 1902 Plain Back Fr. 607 The First NB Ch. # 5851

An extremely scarce note from rural Warren County. The last large example to appear at auction graded only Very Good yet sold for over \$2500 two years ago. PCGS Fine 15. (3,000-4,000)
Starting Bid: \$1,800



15314 South Glens Falls, NY - \$20 1929 Ty. 1 The First NB Ch. # 5851

An evenly circulated Series 1929 example which is a nice companion to the large example offered above. PCGS **Very Fine 20.** (800-1,000)
Starting Bid: \$480



15315 Troy, NY - \$5 1882 Brown Back Fr. 467 The National State Bank Ch. # 991

A beautiful Brown Back which has it all, including bright white paper, great color, broad even margins all around, bold pen signatures, and a neat title layout. PCGS **About New 53PPQ.** (2,750-3,250)
Starting Bid: \$1,650

Serial Number 1 Walden First Charter



15316 Walden, NY - \$5 1875 Fr. 402 The Walden NB Ch. # 2348

This serial number 1 First Charter \$5 comes from a very scarce bank which issued \$5 Series 1875 example only, but, more important, it is one of only about a half dozen serial number 1 First Charter fives from all New York banks combined. PCGS **Apparent About New 53**, the Apparent grades assigned only because of a couple of closed pinholes in the left margin. (17,500-22,500)
Starting Bid: \$10,500

Lovely Watkins \$5 First Charter



15317 Watkins, NY - \$5 Original Fr. 399 The Watkins NB Ch. # 456

A lovely note bearing the second title used by this Schuyler County bank, with this nomenclature only found on the handful of notes issued from this institution between the name change on March 3, 1875 and the bank's demise on July 12, 1876. The census shows just one example extant with this title, with that piece last on the market back in 2001. This specimen is new to the census, and bears the autograph signature of John Burke under Register of the Treasury John Allison's printed signature. PCGS **Extremely Fine 45PPQ.** (9,000-12,000)
Starting Bid: \$5,400



15318 West Winfield, NY - \$20 1929 Ty. 1 The West Winfield NB Ch. # 7483

A scarce Herkimer County bank which has not seen a Series 1929 note available at public sale since 2003. Nice PCGS **Very Fine 35PPQ.** (900-1,200)
Starting Bid: \$540



15319 Whitehall, NY - \$5 1875 Fr. 404 The Merchants NB Ch. # 2233

A well circulated First Charter five with a few minor flaws. PCGS **Apparent Fine 12.** (750-950)
Starting Bid: \$450



- 15320 **Whitney Point, NY** - \$10 1902 Plain Back Fr. 624 **The First NB Ch. # (E)7679**
A very scarce Broome County note from a bank which had a miniscule \$560 outstanding in large notes in 1935. This piece is new to the census and considerably nicer than the example which sold for \$3450 at auction in March of 2011. Pen signed PCGS **Very Fine 30**. (3,500-4,500)
Starting Bid: \$2,100



- 15321 **Woodridge, NY** - \$5 1902 Plain Back Fr. 606 **The First NB Ch. # (E)11059**
A very scarce Sullivan County bank in large, with Track & Price revealing only one such offering at public sale since 1990, with that piece (which was considerably lower grade) fetching \$2760 in 2006. Bright PMG **Extremely Fine 40**, with sharp pen signatures, by far the nicest large example reported from this one bank community to date. (3,500-5,500)
Starting Bid: \$2,100

NORTH CAROLINA



- 15322 **Albemarle, NC** - \$20 1929 Ty. 1 **The First NB Ch. # 11091**
This note attests to the impossibility of using census figures to determine the value of any particular National Bank Note. While the census lists 23 extant Series 1929 examples, no one is certain whether these notes exist primarily in sheet form or as singles, as there have only been three offerings of any note from this community at public sale during the past two decades. PCGS **Apparent About New 50**, with a couple of tiny repairs in the left margin. (1,200-1,600)
Starting Bid: \$720



- 15323 **Charlotte, NC** - \$10 1882 Brown Back Fr. 487 **The Commercial NB Ch. # 2135**
A tougher type from this bank. PCGS **Fine 12**. (1,500-2,500)
Starting Bid: \$900
- 15324 **Greensboro, NC** - \$5 1902 Plain Back Fr. 602 **American NB & TC Ch. # 10112**
Low grade but scarce, with this piece displaying the third and last title used here. PCGS **About Good 3**. (300-500)
Starting Bid: \$180



- 15325 **Kinston, NC - \$10 1902 Plain Back Fr. 626 The NB of Kinston Ch. # 9044**
This small Lenoir County community boasted two issuing banks, both chartered in the same month in 1908. Both are quite scarce, with notes from this institution being slightly the more available, although by no means easy to find. PCGS Very Fine 20. (2,000-3,000)
Starting Bid: \$1,200



- 15328 **Waynesville, NC - \$5 1902 Plain Back Fr. 598 The First NB Ch. # 6554**
A scarce large example from the only bank to issue in rural Hayward County. PCGS Fine 15. (1,200-1,600)
Starting Bid: \$720

NORTH DAKOTA



- 15326 **Louisburg, NC - \$5 1929 Ty. 1 The First NB Ch. # 7554**
A much scarcer bank in small size than large, with this piece only the second small \$5 recorded from the bank. PCGS Very Fine 20. (1,500-2,000)
Starting Bid: \$900



- 15329 **Buffalo, ND - \$20 1929 Ty. 1 The First NB Ch. # 6559**
A scarce bank which has not been offered at public sale since 2006. Although a fair number of examples are reported, the majority of them are listed without grades, an indication that they have been closely held for many years. PCGS Very Fine 25. (1,500-2,500)
Starting Bid: \$900

Serial Number 1 Sanford



- 15327 **Sanford, NC - \$10 1929 Ty. 2 The NB of Sanford Ch. # 13791**
The only serial number 1 example known from this scarce late charter bank. PCGS Extremely Fine 40. (6,000-8,000)
Starting Bid: \$3,600



- 15330 **Drake, ND - \$5 1902 Plain Back Fr. 609 First NB Ch. # 12393**
An extremely rare bank in large size, with the census showing two two pieces extant. Neither is listed with a grade, showing that each has been off the market for many years. Track & Price concurs, showing no offerings of any large note whatever. This piece is new to the census and quite well circulated, although the repairs cited by PCGS are very minor in nature. Apparent Very Good 8. (3,000-5,000)
Starting Bid: \$1,800

OHIO

Finer of Two Known



- 15331 **Belpre, OH** - \$5 1902 Red Seal Fr. 589 **The First NB** Ch. # (M)8420

A great note from a bank which issued Red Seals and Date Backs only before liquidating in 1915. This was Belpre's only issuer, and is so rare that the bank and town were missing even from the two most massive Ohio collections ever formed, including that of Don Kelly, a name virtually synonymous with Ohio banknotes. Only one other example is known, a considerably lower grade Red Seal which sold for \$8625 in 2006. This beautiful serial number 2 note bears bold pen signatures and is fully original, with embossing that is easily evident through the PCGS holder. **PCGS Choice About New 55.** (10,000-15,000)

Starting Bid: \$6,000

Serial Number 1 Camden



- 15332 **Camden, OH** - \$5 1929 Ty. 1 **The First NB** Ch. # 8300

A bright and pretty note which is new to the census and the only serial number 1 example to surface from this Preble County institution. **PCGS Choice New 63.** (2,000-3,000)

Starting Bid: \$1,200



- 15333 **Greenville, OH** - \$10 1902 Red Seal Fr. 613 **The Farmers NB** Ch. # (M)1092

The only Red Seal listed in the census and a decent example with good color and strong signatures. It was assigned the Apparent grade only for a very minor discoloration in the left margin, which barely detracts at all from the note's eye appeal. **PCGS Apparent Very Fine 30.** (1,500-2,000)

Starting Bid: \$900



- 15334 **Milford, OH** - \$10 1882 Brown Back Fr. 480 **The Milford NB** Ch. # 3234

A lovely \$10 Brown Back with sharp corners, great color, and nice pen signatures, making it a perfect piece for any high end type set. **PCGS Gem New 65.** (2,750-3,250)

Starting Bid: \$1,650



- 15335 **Minerva, OH** - \$2 Original Fr. 389 **The First NB** Ch. # 1930

An excessively rare Ohio note which was formerly part of the Don C. Kelly collection. This small town had two issuing banks, one which issued Brown Backs only and is as yet unreported and this institution, which issued First Charters only during its brief tenure between 1872 and 1877. Only three notes are known, and, with a miniscule \$423 outstanding in 1910, that may be the total available to collectors forever. **PMG Very Good 10,** with clear signatures. (3,000-4,000)

Starting Bid: \$1,800

- 15336 **Wellsville, OH - \$5 1902 Plain Back Fr. 598 The Peoples NB**
Ch. # 6345
A high grade note with bright white paper and great eye appeal. PCGS **Extremely Fine 45PPQ**. (500-700)
Starting Bid: \$300



- 15337 **West Union, OH - \$10 1902 Plain Back Fr. 626 The First NB**
Ch. # 9487
Large notes only from this scarce Adams County bank, with this one of only five examples reported to date. PCGS **Apparent Very Fine 25**, with a few very minor edge splits. (1,500-2,000)
Starting Bid: \$900

OKLAHOMA



- 15338 **Bartlesville, OK - \$5 1902 Plain Back Fr. 600 The Union NB**
Ch. # 9567
While this is a truly common bank in small size, it is anything but that in large, with this one of just three such examples recorded to date in the census. Only one of the three has been available to collectors at public sale during the past two decades, with that piece, which was considerably lower grade than this specimen, fetching \$2990 when we sold it five years ago. PCGS **Very Fine 30PPQ**, by far the highest grade of the three pieces extant. (4,000-6,000)
Starting Bid: \$2,400

OREGON

Magnificent Forest Grove Red Seal



- 15339 **Forest Grove, OR - \$10 1902 Red Seal Fr. 614 The First NB**
Ch. # (P)8036
A wonderful Red Seal which was last on the market when we sold the large size Oregon collection of our friend, the late Dusty Gibson, in January of 2004. We described it there as "A spectacular Red Seal which was one of Dusty's favorite notes. It is arguably the finest Oregon Red Seal in existence, and certainly finer than any listed in the census. The red overprint is as bright as any we've seen and the paper is the pure creamy white that collectors dream of..." We called this pen signed beauty Choice Crisp Uncirculated eight years ago, and PMG has now concurred, labeling this note as **Choice Uncirculated 64**. It realized \$23,000 at the Gibson sale, and, while the market may have changed over the past decade, this note certainly has not. Whatever the price it brings tonight, it is still a marvelous Oregon trophy item fit for the very finest of collections. (20,000-30,000)
Starting Bid: \$12,000

PENNSYLVANIA



- 15340 **Bellefonte, PA - \$20 1902 Red Seal Fr. 639 The First NB**
Ch. # (E)459
A nice circulated \$20 Red Seal which the sole example of its type and denomination in the census. Pen signed PCGS **Very Fine 20**. (1,000-1,400)
Starting Bid: \$600



15341 Bellwood, PA - \$10 1902 Plain Back Fr. 624 The First NB Ch. # (E)7356

A bright and attractive Blair County example which is one of only five large examples enumerated in the census. PCGS **Very Fine 25**. (500-700)
Starting Bid: \$300



15342 Berwyn, PA - \$10 1902 Plain Back Fr. 626 The Berwyn NB Ch. # (E)3945

A very scarce Chester County bank which has not seen a large size note offered at public sale since 2008. PCGS **Apparent Very Fine 30**, with a small edge split that affects nothing the motivating factor for the Apparent grade. (1,100-1,300)
Starting Bid: \$660



15343 Bruin, PA - \$20 1902 Plain Back Fr. 652 The First NB Ch. # (E)8919

By far the nicest large size example we've seen from this scarce one bank Butler County location. PCGS **Extremely Fine 40**, with two color pen signatures, (2,000-3,000)
Starting Bid: \$1,200

Serial Number 1 Canton \$10 Brown Back



15344 Canton, PA - \$10 1882 Brown Back Fr. 490 The First NB Ch. # (E)2505

One of two serial number 1 Brown Backs reported from this Bradford County bank, with the other a \$100 example. PCGS calls this **Apparent Extremely Fine 40**, with edge splits, tears and repairs, but the note in the holder looks far better, with the repairs marvelously executed so that they have become virtually invisible. (4,000-6,000)
Starting Bid: \$2,400



15345 Cecil, PA - \$20 1902 Plain Back Fr. 650 The First NB Ch. # (E)7076

A truly rare western Pennsylvania note, with this only the second large example reported from this Washington County bank. PCGS **Fine 15**, a very conservative grade for this evenly circulated specimen which displays the bold pen signatures of John F. Wagner as Cashier and Adam Wagner as President. (2,500-3,500)
Starting Bid: \$1,500



- 15346 **Coatesville, PA - \$20 1882 Brown Back Fr. 494 The NB of Chester Valley Ch. # 575**
Large notes only from this scarcer Chester County issuer, with this one of only four early notes known. PMG **Fine 12**, with margin damage mentioned on the back of the holder. (1,500-2,000)
Starting Bid: \$900



- 15347 **Darby, PA - \$5 1902 Plain Back Fr. 601 The First NB Ch. # 4428**
An unusually high grade note from this Delaware County issuer. PCGS **Extremely Fine 45PPQ**. (500-700)
Starting Bid: \$300



- 15348 **Davidsville, PA - \$10 1902 Plain Back Fr. 632 The First NB Ch. # (E)11407**
A just plain rare Somerset County bank in large size, with this the third example reported and the first to appear at public sale since our May, 2000 sale. PCGS **Apparent Very Fine 25**, with the most significant problem a large paper pull on the back. (900-1,200)
Starting Bid: \$540



- 15349 **Duncannon, PA - \$20 1882 Brown Back Fr. 498 The Duncannon NB Ch. # (E)4142**
This is one of only two Brown Backs (and the sole \$20) extant from this institution, the first of two issuers in this Perry County community. PCGS has graded this **Apparent Very Fine 25** with the apparent designation given because the lower right corner is stained. While certainly present, it is a minor distraction at best. (1,200-1,400)
Starting Bid: \$720



- 15350 **East Stroudsburg, PA - \$5 1882 Brown Back Fr. 470 The East Stroudsburg NB Ch. # 4011**
A decent appearing \$5 Brown Back which is one of three early examples known from this bank. PCGS **Apparent Very Fine 20**, with a minor repair or two. (1,000-1,400)
Starting Bid: \$600
- 15351 **Hershey, PA - \$5 1929 Ty. 2 The Hershey NB Ch. # 12688**
An always popular note which is signed by Ezra F. Hershey as President. PCGS **Very Fine 20**. (450-650)
Starting Bid: \$270



15352 Honeybrook, PA - \$10 1882 Brown Back Fr. 483 The First NB Ch. # (E)1676

A very rare early example from this Chester County bank. While Third Charter notes do occasionally turn up from here, anything prior to that constitutes a major rarity from this one bank community, as no First Charters are known and only one other Brown Back has been recorded to date. That note appears to have been closely held for many years, as we have no record of its ever being offered at public sale. This specimen is a real winner, with good color, body, and signatures. PCGS **Very Fine 20**. (1,500-2,500)
Starting Bid: \$900

Always Popular Intercourse \$10 Plain Back



15353 Intercourse, PA - \$10 1902 Plain Back Fr. 626 The First NB Ch. # 9216

A highly desirable charter for any collector of Nationals. This Lancaster County example is a bright PCGS **Very Fine 35** with bold colors, white paper, and clear signatures of Harry B. Showalter and Jacob K. Ressler. (5,500-6,500)
Starting Bid: \$3,300



15354 Mapleton, PA - \$20 1902 Plain Back Fr. 658 The First NB Ch. # 11244

While Series 1929 examples from this one bank Huntingdon County location do turn up, this is the first and only large example from here reported to date. With a miniscule \$870 outstanding, it's not highly likely that many more remain to be discovered in years to come. Pleasing PCGS **Very Fine 25PPQ**, with clear signatures, a real Pennsylvania rarity likely to see a winning bid in the range of... (1,500-2,500)
Starting Bid: \$900



15355 Marysville, PA - \$20 1902 Plain Back Fr. 650 The First NB Ch. # 7353

A scarce Perry County bank with this piece one of only four large notes in the census. PCGS **Very Fine 25PPQ**. (800-1,200)
Starting Bid: \$480



15356 Midway, PA - \$20 1902 Red Seal Fr. 639 The Midway NB Ch. # (E)6626

By far the finer of the two Red Seals known from this scarce western Pennsylvania bank. PMG **Very Fine 25**, with a sharp red overprint matched with bright blue signatures. (2,000-3,000)
Starting Bid: \$1,200

Attractive Serial Number 1 \$10 Brown Back



15357 Mount Pleasant, PA - \$10 1882 Brown Back Fr. 479 The First NB Ch. # 386

An attractive pen signed serial number 1 Brown Back with a couple of small edge splits and repairs that affect little. PCGS Apparent Very Fine 25. (7,000-9,000)
Starting Bid: \$4,200

Serial Number 1 Orwigsburg \$5 Brown Back



15358 Orwigsburg, PA - \$5 1882 Brown Back Fr. 471 The First NB Ch. # 4408

A beautiful note which is new to the census and is the only large size serial number 1 example of any type or denomination known from this Schuylkill County institution. Nicely centered pen signed PCGS **Extremely Fine 45PPQ**, a real trophy item fit for the finest of collections. (10,000-15,000)
Starting Bid: \$6,000



15359 Osceola Mills, PA - \$20 1902 Red Seal Fr. 639 The First NB Ch. # (E)6501

A pretty note which is the only Red Seal reported from this Tioga County bank. Track & Price reports the last appearance of this note at public sale came back in a 1983 Herbert I. Melnick auction, the company Herb founded after his abrupt departure from NASCA. Pen signed PCGS **Very Fine 25**. (1,200-1,600)

Starting Bid: \$720



15360 Philadelphia, PA - \$5 1902 Red Seal Fr. 587 The Southwark NB Ch. # (E)560

This is not a rare bank, with the census numbering some thirty examples known, but this lovely note is the only Red Seal reported from this institution. It's a high grade piece which is one centerfold from New, with great color, sharp pen signatures, and loads of eye appeal. PCGS **About New 50**. (2,500-3,500)

Starting Bid: \$1,500

New to the Census Serial Number 1 Brown Back



15361 Philadelphia, PA - \$5 1882 Brown Back Fr. 471 The National Security Bank Ch. # 1743

A high grade number 1 \$5 Brown Back which is new to the census and the only serial number 1 example of any kind known from this bank. PCGS **Apparent Choice About New 58**, with the paper toned to an even light brown along with a small edge tear at the bottom right. (8,000-12,000)
Starting Bid: \$4,800



15362 Philadelphia, PA - \$10 1875 Fr. 416 The Centennial NB Ch. # 2317

What could be more appropriate than to title a bank chartered in Philadelphia at the beginning of the centennial year of American independence "The Centennial National Bank." This example is one of only three First Charters extant from this institution, and as nice as any. PCGS **Very Fine 25**, a handsome blue end paper example which comes with a most unusual title layout as a bonus. (2,500-3,500)
Starting Bid: \$1,500



15363 Pleasant Unity, PA - \$10 1902 Date Back Fr. 616 The Pleasant Unity NB Ch. # (E)6581

An evenly circulated piece bearing one of the most desirable town names to grace any National Bank Note. PCGS **Very Good 10**. (1,000-1,200)
Starting Bid: \$600



15364 Richland, PA - \$20 1902 Plain Back Fr. 652 The Richland NB Ch. # 8344

A rare note which is one of only two large examples reported from this Lebanon County issuer. Track & Price indicates only one appearance of any large note from this one bank location over the past two decades. PCGS **Apparent Very Fine 20**, with a stained top edge, really not enough to justify the Apparent grade here. (2,000-3,000)
Starting Bid: \$1,200



15365 Saegertown, PA - \$10 1902 Plain Back Fr. 633 The First NB Ch. # (E)11910

A just plain rare Pennsylvania bank which was the sole issuer in this tiny Crawford County location. Just four large and two small notes are reported in the census, with this PCGS graded **Extremely Fine 40** the finest of the group. (2,250-3,250)
Starting Bid: \$1,350

Much in Demand Large Seven Valleys



15366 Seven Valleys, PA - \$10 1902 Plain Back Fr. 626 The Seven Valleys NB Ch. # (E)9507

A rare note indeed from avidly collected York County, with this one of only five large examples reported to date. All have been closely held for many years in collections, with the last appearing on the market over ten years ago when it realized over \$4000 back in 2002. Evenly circulated PCGS **Fine 15**, with no problems whatever for the grade. (7,000-9,000)
Starting Bid: \$4,200



15367 Sunbury, PA - \$20 1902 Red Seal Fr. 639 The First NB Ch. # (E)1237

New to the census, with this the only \$20 Red Seal reported from this bank to date. PCGS notes some minor water damage and back discoloration, both of which sound far worse than they appear on the note. **Apparent Very Fine 20**. (1,000-1,200)
Starting Bid: \$600

Serial Number 1 Grange Red Seal



15368 Troy, PA - \$5 1902 Red Seal Fr. 589 The Grange NB of Bradford County Ch. # (E)8849

This is one of only four serial number 1 Red Seals extant from all of Pennsylvania's Grange banks combined, with no bank reporting more than one survivor. This is the sole entrant for this Grange issuer, and is the "A" position note from the number 1 sheet, the very first note issued to this bank. PCGS **Apparent Extremely Fine 40**, with restorations in both the top right and top left corners. (8,000-10,000)
Starting Bid: \$4,800



15369 Troy, PA - \$5 1902 Date Back Fr. 592 The Grange NB of Bradford County Ch. # (E)8849

A strikingly pretty note with everything going for it. It bears the desirable Grange title, and comes from one of the few Grange affiliated banks to survive long enough to issue small as well as large notes. Bearing single digit serial number 3, it clearly was kept as a presentation piece, as it has retained its full top selvage, with most all of the wear confined to three storage folds. Bright PCGS **Very Fine 35PPQ**, a real winner of a note likely to see bidding reach or exceed... (3,500-5,500)
Starting Bid: \$2,100



- 15370 **Uniontown, PA - \$5 1902 Plain Back Fr. 609 The Uniontown NB & TC Ch. # 12500**
One light fold takes this crackling fresh and fully original piece from the uncirculated ranks. PCGS **Choice About New 55PPQ**. (600-800)
Starting Bid: \$360



- 15371 **Wellsborough, PA - \$100 1882 Brown Back Fr. 519 The First NB Ch. # 328**
A well margined \$100 Brown Back which is the only example of its type and denomination known from this Tioga County bank. With its nice layout, bold signatures and overall attractive appearance, it is a perfect specimen to represent this much sought after type in any collection. PCGS **Very Fine 25**. (5,500-7,500)
Starting Bid: \$3,300



- 15372 **York, PA - \$10 1882 Brown Back Fr. 480 The York County NB Ch. # 694**
A pen signed Brown Back which PCGS notes bears the star designating a re-engraved plate. **Apparent Very Fine 25**, with a couple of small edge splits. (750-950)
Starting Bid: \$450

First Auction Offering Since 1994



- 15373 **York, PA - \$10 1875 Fr. 416 The Farmers NB Ch. # 2228**
A very scarce York bank which issued First and Second Charters only before liquidating in 1914. Offerings at public sale have been limited in recent years, and, with only three pieces listed in the census, are highly likely to remain so in the future. PCGS **Apparent Fine 12**, with a few small repaired edge and internal tears. (3,000-4,000)
Starting Bid: \$1,800

RHODE ISLAND



- 15374 **Providence, RI - \$5 1902 Red Seal Fr. 587 The Atlantic NB Ch. # (N)2913**
A scarce note from a scarce bank in a scarce state for Red Seals. This is one of only three Red Seals reported from this short lived bank, which, according to Track & Price, has not seen any example offered at public sale in more than ten years. PCGS **Apparent Fine 12**, with a couple of small edge splits the cause of the Apparent grade. (3,000-5,000)
Starting Bid: \$1,800



15375 Westerly, RI - \$5 Original Fr. 397 The National Niantic Bank Ch. # 823

A flashy note with great color and the appearance of an uncirculated example until very closely examined. PCGS **Extremely Fine 45**, a piece which displays the quality that is so seldom available in today's market. (3,000-4,000)
Starting Bid: \$1,800

Scarce Westerly \$5 First Charter



15376 Westerly, RI - \$5 Original Fr. 397 The National Phenix Bank Ch. # 1169

An extremely scarce note which combines both beauty and rarity. It comes from a bank which issued First Charters and Brown Backs only until its demise in 1901 and is by far the finest specimen reported to date, with the next best example grading only Fine. In fact, Track & Price reveals that the last appearance of any kind from this institution came back in January of 2005, when a low grade ace brought nearly \$2500. Sharp PCGS **Extremely Fine 40**, a note which looks even better than its assigned grade. (5,000-7,000)
Starting Bid: \$3,000

TENNESSEE



15377 Decherd, TN - \$5 1929 Ty. 1 The First NB of Franklin County Ch. # 7397

A scarce central Tennessee bank, particularly in small size. Track & Price shows no offerings of any Series 1929 example from this institution in more than twenty years. PCGS **Fine 15**. (1,200-1,600)
Starting Bid: \$720

15378 Shelbyville, TN - \$10 1902 Plain Back Fr. 625 The Peoples NB Ch. # 3530

A high end circulated large size example. PCGS **Very Fine 35**. (750-950)
Starting Bid: \$450

TEXAS

Unique for the Bank



15379 El Paso, TX - \$20 1902 Red Seal Fr. 639 The National Exchange Bank Ch. # (S)7075

A great note from this short lived bank which issued Red Seals only during its less than thirteen month existence. This is the only note which has survived, and, most fortunately for collectors, it is an evenly circulated and problem free piece with bold colors and clear pen signatures. PCGS **Fine 12**, ex-Irish collection. (12,500-17,500)
Starting Bid: \$7,500

15380 Gonzales, TX - \$20 1902 Plain Back Fr. 652 The Farmers NB Ch. # (S)8392

PCGS notes some minor staining on this **Apparent Very Fine 25** example. (500-700)
Starting Bid: \$300

UTAH



- 15381 **Moab, UT** - \$5 1902 Plain Back Fr. 606 **The First NB Ch. # 10925**

While not exactly common in small size, this isolated Grand County bank is truly scarce in large, with just seven examples listed in the census. As might be expected, opportunities to obtain such a note have been limited as well, with Track & Price detailing a mere two offerings at public sale since 2000. Furthermore, both of those examples have been quite low grade, making this PCGS **Fine 15** specimen a welcome alternative. (3,500-4,500)

Starting Bid: \$2,100



- 15382 **Salt Lake City, UT** - \$5 1882 Date Back Fr. 534 **The Deseret NB Ch. # (P)2059**

A high grade piece as well as a very scarce type and denomination from Utah, with only about a dozen examples listed in the census from all banks in the state combined. PCGS **Extremely Fine 40PPQ**. (3,000-4,000)

Starting Bid: \$1,800



- 15383 **Salt Lake City, UT** - \$5 1929 Ty. 1 **The Deseret NB Ch. # 2059**

Well centered and very bright, with only the most minimal traces of circulation. While more than a few low numbers exist bearing the bank's second title, this is the only low number small note we know of bearing this nomenclature. Single digit serial number B000003A, and a replacement note as well, which PCGS has noted on the **Extremely Fine 45** holder. (800-1,200)

Starting Bid: \$480

VERMONT



- 15384 **Island Pond, VT** - \$10 1929 Ty. 1 **The Island Pond NB Ch. # 4275**

A scarce note from the only bank in this remote northern Vermont community to issue. PCGS **Very Fine 20**. (800-1,000)

Starting Bid: \$480

VIRGINIA



- 15385 **Alexandria, VA** - \$10 1882 Brown Back Fr. 484 **The Citizens NB Ch. # (S)1716**

One of only two high grade early notes known from this bank, with this the sole Brown Back to be offered from the bank in many years. PCGS **Very Fine 35**. (1,500-2,000)

Starting Bid: \$900



15386 **Appalachia, VA** - \$20 1902 Plain Back Fr. 652 **The First NB** Ch. # 9379

While small notes from this southwestern Virginia community are often available, large notes are much more difficult to find, with Track & Price showing only two such offerings during the past twenty years. This piece is evenly circulated and attractive for the grade. **PCGS Very Fine 20. (1,500-2,000)**

Starting Bid: \$900

Scarce Ashland Small Size National



15387 **Ashland, VA** - \$5 1929 Ty. 1 **The First NB** Ch. # 11978

A very scarce bank, particularly in small size, where track & Price shows only one offering since 1996. That piece, identical in grade to this, realized over \$5000 back in 2009. **PCGS Very Fine 25. (4,000-6,000)**

Starting Bid: \$2,400



15388 **Bristol, VA** - \$10 1882 Brown Back Fr. 484 **The Dominion NB** Ch. # (S)4477

A pretty Brown Back which is one of only four early notes known from this bi-state community. Bright **PCGS Very Fine 30**, with good color and eye appeal for the grade. **(2,500-3,500)**

Starting Bid: \$1,500



15389 **Fredericksburg, VA** - \$5 1882 Brown Back Fr. 477 **The Conway, Gordon & Garnett NB** Ch. # (S)5268

We sold this piece in January of 2011, where we described it as "One of the great private name titles to be found on any National, and a truly rare bank which issued only Brown Backs and Date Backs. Only six examples are recorded in the Kelly census, with the last one to sell fetching almost \$4000 in about the same grade as this piece back in our sale of Emmett Brooks' Virginia collection in January of 2003. **PMG Fine 12**, with the penned signature of P.V.D. Conway as President." It realized \$3737.50 in that sale, and could well be worth more today. **(4,000-5,000)**

Starting Bid: \$2,400



15390 **Galax, VA** - \$10 1902 Plain Back Fr. 626 **The First NB** Ch. # (S)8791

A scarce Virginia note which is seldom available. This bank was the sole issuer in this community, and, for that matter, in all of Carroll County. **PCGS Fine 12. (1,250-1,750)**

Starting Bid: \$750



15391 **Gate City, VA** - \$10 1929 Ty. 1 **The First NB** Ch. # 7208

A bank which is much more scarce in small size than large, with Series 1929 examples issued for only three years. Just three pieces are listed in the census, with this example, which is new to that listing, nicer than any of the previously reported specimens. **PCGS Very Fine 25. (1,500-2,000)**

Starting Bid: \$900



15392 Lynchburg, VA - \$20 1902 Red Seal Fr. 640 The Lynchburg NB Ch. # (S)1522

A very scarce type and denomination from this or any other Virginia bank, with this note one of only fourteen \$20 Red Seals reported from all Virginia banks combined. It's also one of the very nicest examples as well, displaying bright inks, minimal evidence of circulation, and sharp two color signatures. PCGS **Very Fine 35PPQ**, a premium piece well deserving of a strong bid. (3,000-5,000)

Starting Bid: \$1,800



15393 Narrows, VA - \$10 1902 Plain Back Fr. 632 The First NB Ch. # 11444

A very scarce large note from the only bank to issue in this Giles County hamlet. The community took its name from the narrowing of the New River which flows past it. PCGS **Apparent Fine 15**, with one small edge split that is truly insignificant. (1,500-2,000)

Starting Bid: \$900



15394 Petersburg, VA - \$5 1882 Brown Back Fr. 469 The NB of Petersburg Ch. # 3515

A very decent \$5 Brown Back which is one of only four such examples reported from this Petersburg bank. Pen signed PMG **Choice Fine 15**. (1,750-2,250)

Starting Bid: \$1,050



15395 Radford, VA - \$20 1902 Plain Back Fr. 659 The Farmers & Merchants NB Ch. # 11690

An extremely rare bank in large size, with this example one of only two listed in the census. Well circulated but still quite decent **Apparent Very Good 10**, with a few minor restorations. (2,500-3,500)

Starting Bid: \$1,500



15396 Roanoke, VA - \$10 1902 Red Seal Fr. 614 The City NB Ch. # (S)8152

Red Seals and Date Backs only from this scarce Roanoke bank, with this piece off the market since we sold it as part of the Emmett Brooks collection in 2003. PCGS **Apparent Fine 15**, with a few minor rust stains. (1,750-2,250)

Starting Bid: \$1,050

15397 Saint Paul, VA - \$10 1929 Ty. 1 St. Paul NB Ch. # 8547

A nice PCGS **Very Fine 30** example from this Wise County bank. (400-600)

Starting Bid: \$240

One of Two Known



15398 Wise, VA - \$5 1929 Ty. 1 The First NB Ch. # 10611

The sole denomination issued by this just plain rare bank, with the census showing just one large and two small notes from this institution. PCGS **Very Fine 25**, a significant Virginia rarity from the only bank in this small southwestern Virginia community. (4,000-6,000)

Starting Bid: \$2,400

WEST VIRGINIA

One of Three Known



15399 Huntington, WV - \$100 1902 Plain Back Fr. 698 The First Huntington NB Ch. # 3106

A just plain rare type from this state, with this institution the only bank in West Virginia to have issued Blue Seal hundreds. Of the 1814 pieces delivered to the bank, only three survive, with this one of that trio. PCGS **Apparent Fine 15**, with a small repaired edge split on the left side that affects nothing, and otherwise quite solid for the grade. (3,000-5,000)

Starting Bid: \$1,800

Unique for the Bank



15401 Martinsburg, WV - \$10 1882 Brown Back Fr. 482 The NB of Martinsburg Ch. # 1524

A great West Virginia rarity offered here to collectors for the first time at public sale since its last (and only) appearance in 1980. The note is unique, and comes from a bank which issued First Charters and Brown Backs only before closing in 1902. Pen signed PCGS **Fine 15 PPQ**, flawless for the grade. (20,000-40,000)

Starting Bid: \$16,000

WISCONSIN

Hurricane \$10 Plain Back



15400 Hurricane, WV - \$10 1902 Plain Back Fr. 633 The Hurricane NB Ch. # 11670

A rare note that bears one of the greatest town names to be found on a National Bank Note. Hurricane is actually a small city of 5,000 residents in the western part of the state that was named after nearby Hurricane Creek. The sole issuer in Putnam County, this bank operated from 1914 until it closed its doors in 1927 with seven survivors presently reported. A restored example with multiple repairs fetched \$3450 earlier this year, leading us to believe that this evenly circulated but problem free PMG **Very Good 10** specimen will likely reach or exceed... (5,000-7,000)

Starting Bid: \$3,000

Serial Number 1



15402 Manitowoc, WI - \$10 1902 Plain Back Fr. 631 First NB Ch. # 4975

A very attractive serial number 1 example which has been very conservatively graded by PMG. This piece, from the "A" position on the sheet, was the only number 1 example from the bank in the Krause collection holdings. PMG **Choice Very Fine 35**. (4,000-6,000)

Starting Bid: \$2,400



15403 **Menomonie, WI** - \$10 1902 Red Seal Fr. 613 **The First NB** Ch. # (M)2851

A high grade Red Seal which appears New in its PCGS enclosure. Pen signed **Apparent About New 53**, with some minor, according to PCGS, mounting remnants on the reverse. They must be minor indeed, as they can not be seen through the holder. The date of 4-17-06 is penned below the signature of the Cashier. (3,000-4,000)

Starting Bid: \$1,800

Serial Number 1 Large Portage



15404 **Portage, WI** - \$5 1902 Plain Back Fr. 601 **The First NB** Ch. # (M)4234

One of two serial number 1 examples reported from this Columbia County bank, with the other, a \$5 Brown Back, having been sold by us for \$10,925 back in 2004. This piece, a 1902 Plain Back, is likely to be just a bit less expensive. Pen signed PCGS **Very Fine 25**. (3,000-5,000)

Starting Bid: \$1,800



15405 **Ripon, WI** - \$10 1902 Red Seal Fr. 613 **The First NB** Ch. # (M)425

A scarce type from this Fond du Lac County bank. Pen signed PCGS **Apparent Very Fine 30**, with a few edge splits. (1,500-2,000)

Starting Bid: \$900

WYOMING



15406 **Cheyenne, WY** - \$10 1902 Plain Back Fr. 632 **The American NB** Ch. # (W)11380

The supply of large notes from this state is never enough to satisfy the appetite of the many collectors of Wyoming Nationals. This example is well circulated but surprisingly intact, having been graded **Very Good 10** by PCGS. (1,000-1,400)

Starting Bid: \$600

Rare & Desirable Newcastle Red Seal



15407 **Newcastle, WY** - \$20 1902 Red Seal Fr. 639 **The First NB** Ch. # (W)7198

A great western item from the only bank to issue in this community and, in fact, in all of Weston County. The census stands at just five examples from this institution which went out in 1924, one of which is a serial number 1 Red Seal. Nice PCGS **Apparent Very Fine 20**, with plenty of eye appeal, the Apparent designation awarded only because of a couple of very minor edge restorations. (25,000-35,000)

Starting Bid: \$15,000

End of Auction

Terms and Conditions of Auction

Auctioneer and Auction:

1. This Auction is presented by Heritage Auctions, a d/b/a/ of Heritage Auctioneers & Galleries, Inc., or Heritage Auctions, Inc., or Heritage Numismatic Auctions, Inc., or Heritage Vintage Sports Auctions, Inc., or Currency Auctions of America, Inc., as identified with the applicable licensing information on the title page of the catalog or on the HA.com Internet site (the "Auctioneer"). The Auction is conducted under these Terms and Conditions of Auction and applicable state and local law. Announcements and corrections from the podium and those made through the Terms and Conditions of Auctions appearing on the Internet at HA.com supersede those in the printed catalog.

Buyer's Premium:

2. All bids are subject to a Buyer's Premium which is in addition to the placed successful bid:
 - Fifteen percent (15%) on, Currency, US Coin, and World & Ancient Coin Auctions Lots. Beginning with Auctions closing after July 31, 2012 the Buyer's Premium in Currency, US Coin, and World & Ancient Coin Auctions will be 17.5%.
 - Nineteen and one-half percent (19.5%) on Americana & Political, Arms & Armor, Civil War & Militaria, Comic, Manuscript, Movie Poster, Space Exploration, Sports Collectibles, Texana, Wine, and Gallery Auction (sealed bid auctions of mostly bulk numismatic material) lots;
 - For lots in all other categories not listed above, twenty-five percent (25%) on the first \$50,000 (minimum \$14), twenty percent (20%) of any amount between \$50,000 and \$1,000,000, and twelve percent (12%) of any amount over \$1,000,000.

Auction Venues:

3. The following Auctions are conducted solely on the Internet: Heritage Weekly Internet Auctions (Coin, Currency, Comics, Rare Books, Jewelry & Watches, Guitars & Musical Instruments, and Vintage Movie Posters); Heritage Monthly Internet Auctions (Sports, World Coins and Rare Wine). Signature® Auctions and Grand Format Auctions accept bids from the Internet, telephone, fax, or mail first, followed by a floor bidding session; HeritageLive! and real-time telephone bidding are available to registered clients during these auctions.

Bidders:

4. Any person participating or registering for the Auction agrees to be bound by and accepts these Terms and Conditions of Auction ("Bidder(s)").
5. All Bidders must meet Auctioneer's qualifications to bid. Any Bidder who is not a client in good standing of the Auctioneer may be disqualified at Auctioneer's sole option and will not be awarded lots. Such determination may be made by Auctioneer in its sole and unlimited discretion, at any time prior to, during, or even after the close of the Auction. Auctioneer reserves the right to exclude any person from the auction.
6. If an entity places a bid, then the person executing the bid on behalf of the entity agrees to personally guarantee payment for any successful bid.

Credit:

7. In order to place bids, Bidders who have not established credit with the Auctioneer must either furnish satisfactory credit information (including two collectibles-related business references) or supply valid credit card information along with a social security number, well in advance of the Auction. Bids placed through our Interactive Internet program will only be accepted from pre-registered Bidders. Bidders who are not members of HA.com or affiliates should preregister at least 48 hours before the start of the first session (exclusive of holidays or weekends) to allow adequate time to contact references. Credit will be granted at the discretion of Auctioneer. Additionally Bidders who have not previously established credit or who wish to bid in excess of their established credit history may be required to provide their social security number or the last four digits thereof so a credit check may be performed prior to Auctioneer's acceptance of a bid. Check writing privileges and immediate delivery of merchandise may also be determined by pre-approval of credit based on a combination of criteria: HA.com history, related industry references, bank verification, a credit bureau report and/or a personal guarantee for a corporate or partnership entity in advance of the auction venue.

Bidding Options:

8. Bids in Signature. Auctions or Grand Format Auctions may be placed as set forth in the printed catalog section entitled "Choose your bidding method." For auctions held solely on the Internet, see the alternatives on HA.com. Review at HA.com/common/howtobid.php.
9. Presentment of Bids: Non-Internet bids (including but not limited to podium, fax, phone and mail bids) are treated similar to floor bids in that they must be on-increment or at a half increment (called a cut bid). Any podium, fax, phone, or mail bids that do not conform to a full or half increment will be rounded up or down to the nearest full or half increment and this revised amount will be considered your high bid.
10. Auctioneer's Execution of Certain Bids. Auctioneer cannot be responsible for your errors in bidding, so carefully check that every bid is entered correctly. When identical mail or FAX bids are submitted, preference is given to the first received. To ensure the greatest accuracy, your written bids should be entered on the standard printed bid sheet and be received at Auctioneer's place of business at least two business days before the Auction start. Auctioneer is not responsible for executing mail bids or FAX bids received on or after the day the first lot is sold, nor Internet bids submitted after the published closing time; nor is Auctioneer responsible for proper execution of bids submitted by telephone, mail, FAX, e-mail, Internet, or in person once the Auction begins. Bids placed electronically via the internet may not be withdrawn until your written request is received and acknowledged by Auctioneer (FAX: 214-443-8425); such requests must state the reason, and may constitute grounds for withdrawal of bidding privileges. Lots won by mail Bidders will not be delivered at the Auction unless prearranged.
11. Caveat as to Bid Increments. Bid increments (over the current bid level) determine the lowest amount you may bid on a particular lot. Bids greater than one increment over the current bid can be any whole dollar amount. It is possible under several circumstances for winning bids to be between increments, sometimes only \$1 above the previous increment. Please see: "How can I lose by less than an increment?" on our website. Bids will be accepted in whole dollar amounts only. No "buy" or "unlimited" bids will be accepted.

The following chart governs current bidding increments.

| Current Bid..... | Bid Increment | Current Bid..... | Bid Increment |
|---------------------------|---------------|---------------------------------|---------------|
| <\$10 | \$1 | \$20,000 - \$29,999 | \$2,000 |
| \$10 - \$29 | \$2 | \$30,000 - \$49,999 | \$2,500 |
| \$30 - \$49 | \$3 | \$50,000 - \$99,999 | \$5,000 |
| \$50 - \$99 | \$5 | \$100,000 - \$199,999 | \$10,000 |
| \$100 - \$199 | \$10 | \$200,000 - \$299,999 | \$20,000 |
| \$200 - \$299 | \$20 | \$300,000 - \$499,999 | \$25,000 |
| \$300 - \$499 | \$25 | \$500,000 - \$999,999 | \$50,000 |
| \$500 - \$999 | \$50 | \$1,000,000 - \$1,999,999 | \$100,000 |
| \$1,000 - \$1,999 | \$100 | \$2,000,000 - \$2,999,999 | \$200,000 |
| \$2,000 - \$2,999 | \$200 | \$3,000,000 - \$4,999,999 | \$250,000 |
| \$3,000 - \$4,999 | \$250 | \$5,000,000 - \$9,999,999 | \$500,000 |
| \$5,000 - \$9,999 | \$500 | >\$10,000,000 | \$1,000,000 |
| \$10,000 - \$19,999 | \$1,000 | | |

12. If Auctioneer calls for a full increment, a bidder may request Auctioneer to accept a bid at half of the increment ("Cut Bid") only once per lot. After offering a Cut Bid, bidders may continue to participate only at full increments. Off-increment bids may be accepted by the Auctioneer at Signature® Auctions and Grand Format Auctions. If the Auctioneer solicits bids other than the expected increment, these bids will not be considered Cut Bids.

Conducting the Auction:

13. Notice of the consignor's liberty to place bids on his lots in the Auction is hereby made in accordance with Article 2 of the Texas Business and Commercial Code. A "Minimum Bid" is an amount below which the lot will not sell. THE CONSIGNOR OF PROPERTY MAY PLACE WRITTEN "Minimum Bids" ON HIS LOTS IN ADVANCE OF THE AUCTION; ON SUCH LOTS, IF THE HAMMER PRICE DOES NOT MEET THE "Minimum Bid", THE CONSIGNOR MAY PAY A REDUCED COMMISSION ON THOSE LOTS. "Minimum Bids" are generally posted online several days prior to the Auction closing. For any successful bid placed by a consignor on his Property on the Auction floor, or by any means during the live session, or after the "Minimum Bid" for an Auction have been posted, we will require the consignor to pay full Buyer's Premium and Seller's Commissions on such lot.
14. The highest qualified Bidder recognized by the Auctioneer shall be the Buyer. In the event of a tie bid, the earliest bid received or recognized wins. In the event of any dispute between any Bidders at an Auction, Auctioneer may at his sole discretion reoffer the lot. Auctioneer's decision and declaration of the winning Bidder shall be final and binding upon all Bidders. Bids properly offered, whether by floor Bidder or other means of bidding, may on occasion be missed or go unrecognized; in such cases, the Auctioneer may declare the recognized bid accepted as the winning bid, regardless of whether a competing bid may have been higher.
15. Auctioneer reserves the right to refuse to honor any bid or to limit the amount of any bid, in its sole discretion. A bid is considered not made in "Good Faith" when made by an insolvent or irresponsible person, a person under the age of eighteen, or is not supported by satisfactory credit, collectibles references, or otherwise. Regardless of the disclosure of his identity, any bid by a consignor or his agent on a lot consigned by him is deemed to be made in "Good Faith." Any person apparently appearing on the OFAC list is not eligible to bid.
16. Nominal Bids. The Auctioneer in its sole discretion may reject nominal bids, small opening bids, or very nominal advances. If a lot bearing estimates fails to open for 40-60% of the low estimate, the Auctioneer may pass the item or may place a protective bid on behalf of the consignor.
17. Lots bearing bidding estimates shall open at Auctioneer's discretion (approximately 50%-60% of the low estimate). In the event that no bid meets or exceeds that opening amount, the lot shall pass as unsold.
18. All items are to be purchased per lot as numerically indicated and no lots will be broken. Auctioneer reserves the right to withdraw, prior to the close, any lots from the Auction.
19. Auctioneer reserves the right to rescind the sale in the event of nonpayment, breach of a warranty, disputed ownership, auctioneer's clerical error or omission in exercising bids and reserves, or for any other reason and in Auctioneer's sole discretion. In cases of nonpayment, Auctioneer's election to void a sale does not relieve the Bidder from their obligation to pay Auctioneer its fees (seller's and buyer's premium) and any other damages or expenses pertaining to the lot.
20. Auctioneer occasionally experiences Internet and/or Server service outages, and Auctioneer periodically schedules system downtime for maintenance and other purposes, during which Bidders cannot participate or place bids. If such outages occur, we may at our discretion extend bidding for the Auction. Bidders unable to place their Bids through the Internet are directed to contact Client Services at 1-800-872-6467.
21. The Auctioneer, its affiliates, or their employees consign items to be sold in the Auction, and may bid on those lots or any other lots. Auctioneer or affiliates expressly reserve the right to modify any such bids at any time prior to the hammer based upon data made known to the Auctioneer or its affiliates. The Auctioneer may extend advances, guarantees, or loans to certain consignors.
22. The Auctioneer has the right to sell certain unsold items after the close of the Auction. Such lots shall be considered sold during the Auction and all these Terms and Conditions shall apply to such sales including but not limited to the Buyer's Premium, return rights, and disclaimers.

Payments:

23. All sales are strictly for cash in United States dollars (including U.S. currency, bank wire, cashier checks, travelers checks, eChecks, and bank money orders, and are subject to all reporting requirements). All deliveries are subject to good funds; funds being received in Auctioneer's account before delivery of the Purchases; and all payments are subject to a clearing period. Auctioneer reserves the right to determine if a check constitutes "good funds": checks drawn on a U.S. bank are subject to a ten business day hold, and thirty days when drawn on an international bank. Clients with pre-arranged credit status may receive immediate credit for payments via eCheck, personal or corporate checks. All others will be subject to a hold of 5 days, or more, for the funds to clear prior to releasing merchandise. (ref. T&C item 7 Credit for additional information.) Payments can be made 24-48 hours post auction from the My Orders page of the HA.com website.
24. Payment is due upon closing of the Auction session, or upon presentment of an invoice. Auctioneer reserves the right to void an invoice if payment in full is not received within 7 days after the close of the Auction. In cases of nonpayment, Auctioneer's election to void a sale does not relieve the Bidder from their obligation to pay Auctioneer its fees (seller's and buyer's premium) on the lot and any other damages pertaining to the lot.
25. Lots delivered to you, or your representative in the States of Texas, California, New York, or other states where the Auction may be held, are subject to all applicable state and local taxes, unless appropriate permits are on file with Auctioneer. (Note: Coins are only subject to sales tax in California on invoices under \$1500 and in Texas on invoices under \$1000. Check the Web site at: <http://coins.ha.com/c/ref/sales-tax.zx> for more details.) Bidder agrees to pay Auctioneer the actual amount of tax due in the event that sales tax is not properly collected due to: 1) an expired, inaccurate, inappropriate tax certificate or declaration, 2) an incorrect interpretation of the applicable statute, 3) or any other reason. The appropriate form or certificate must be on file at and verified by Auctioneer five days prior to Auction or tax must be paid; only if such form or certificate is received by Auctioneer within 4 days after the Auction can a refund of tax paid be made. Lots from different Auctions may not be aggregated for sales tax purposes.
26. In the event that a Bidder's payment is dishonored upon presentment(s), Bidder shall pay the maximum statutory processing fee set by applicable state law. If you attempt to pay via eCheck and your financial institution denies this transfer from your bank account, or the payment cannot be completed using the selected funding source, you agree to complete payment using your credit card on file.
27. If any Auction invoice submitted by Auctioneer is not paid in full when due, the unpaid balance will bear interest at the highest rate permitted by law from the date of invoice until paid. Any invoice not paid when due will bear a three percent (3%) late fee on the invoice amount or three percent (3%) of any installment that is past due. If the Auctioneer refers any invoice to an attorney for collection, the buyer agrees to pay attorney's fees, court costs, and other collection costs incurred by Auctioneer. If Auctioneer assigns collection to its in-house legal staff, such attorney's time expended on the matter shall be compensated at a rate comparable to the hourly rate of independent attorneys.
28. In the event a successful Bidder fails to pay any amounts due, Auctioneer reserves the right to sell the lot(s) securing the invoice to any underbidders in the Auction that the lot(s) appeared, or at subsequent private or public sale, or relist the lot(s) in a future auction conducted by Auctioneer. A defaulting Bidder agrees to pay for the reasonable costs of resale (including a 10% seller's commission, if consigned to an auction conducted by Auctioneer). The defaulting Bidder is liable to pay any difference between his total original invoice for the lot(s), plus any applicable interest, and the net proceeds for the lot(s) if sold at private sale or the subsequent hammer price of the lot(s) less the 10% seller's commissions, if sold at an Auctioneer's auction.

Terms and Conditions of Auction

29. Auctioneer reserves the right to require payment in full in good funds before delivery of the merchandise.
30. Auctioneer shall have a lien against the merchandise purchased by the buyer to secure payment of the Auction invoice. Auctioneer is further granted a lien and the right to retain possession of any other property of the buyer then held by the Auctioneer or its affiliates to secure payment of any Auction invoice or any other amounts due the Auctioneer or affiliates from the buyer. With respect to these lien rights, Auctioneer shall have all the rights of a secured creditor under Article 9 of the Texas Uniform Commercial Code, including but not limited to the right of sale. In addition, with respect to payment of the Auction invoice(s), the buyer waives any and all rights of offset he might otherwise have against the Auctioneer and the consignor of the merchandise included on the invoice. If a Bidder owes Auctioneer or its affiliates on any account, Auctioneer and its affiliates shall have the right to offset such unpaid account by any credit balance due Bidder, and it may secure by possessory lien any unpaid amount by any of the Bidder's property in their possession.
31. Title shall not pass to the successful Bidder until all invoices are paid in full. It is the responsibility of the buyer to provide adequate insurance coverage for the items once they have been delivered to a common carrier or third-party shipper.

Delivery; Shipping; and Handling Charges:

32. Buyer is liable for shipping and handling. Please refer to Auctioneer's website www.HA.com/common/shipping.php for the latest charges or call Auctioneer. Auctioneer is unable to combine purchases from other auctions or affiliates into one package for shipping purposes. Lots won will be shipped in a commercially reasonable time after payment in good funds for the merchandise and the shipping fees is received or credit extended, except when third-party shipment occurs. Buyer agrees that Service and Handling charges related to shipping items which are not pre-paid may be charged to the credit card on file with Auctioneer.
33. Successful international Bidders shall provide written shipping instructions, including specified customs declarations, to the Auctioneer for any lots to be delivered outside of the United States. NOTE: Declaration value shall be the item(s) hammer price together with its buyer's premium and Auctioneer shall use the correct harmonized code for the lot. Domestic Buyers on lots designated for third-party shipment must designate the common carrier, accept risk of loss, and prepay shipping costs.
34. All shipping charges will be borne by the successful Bidder. On all domestic shipments, any risk of loss during shipment will be borne by Heritage until the shipping carrier's confirmation of delivery to the address of record in Auctioneer's file (carrier's confirmation is conclusive to prove delivery to Bidder; if the client has a Signature release on file with the carrier, the package is considered delivered without Signature) or delivery by Heritage to Bidder's selected third-party shipper. On all foreign shipments, any risk of loss during shipment will be borne by the Bidder following Auctioneer's delivery to the Bidder's designated common carrier or third-party shipper.
35. Due to the nature of some items sold, it shall be the responsibility for the successful Bidder to arrange pick-up and shipping through third-parties; as to such items Auctioneer shall have no liability. Failure to pick-up or arrange shipping in a timely fashion (within ten days) shall subject Lots to storage and moving charges, including a \$100 administration fee plus \$10 daily storage for larger items and \$5.00 daily for smaller items (storage fee per item) after 35 days. In the event the Lot is not removed within ninety days, the Lot may be offered for sale to recover any past due storage or moving fees, including a 10% Seller's Commission.
- 36A. The laws of various countries regulate the import or export of certain plant and animal properties, including (but not limited to) items made of (or including) ivory, whalebone, turtle shell, coral, crocodile, or other wildlife. Transport of such lots may require special licenses for export, import, or both. Bidder is responsible for: 1) obtaining all information on such restricted items for both export and import; 2) obtaining all such licenses and/or permits. Delay or failure to obtain any such license or permit does not relieve the buyer of timely compliance with standard payment terms. For further information, please contact Ron Brackemyre at 800- 872-6467 ext. 1312.
- 36B. Auctioneer shall not be liable for any loss caused by or resulting from:
- a. Seizure or destruction under quarantine or Customs regulation, or confiscation by order of any Government or public authority, or risks of contraband or illegal transportation of trade, or
 - b. Breakage of statuary, marble, glassware, bric-a-brac, porcelains, jewelry, and similar fragile articles
37. Any request for shipping verification for undelivered packages must be made within 30 days of shipment by Auctioneer.

Cataloging, Warranties and Disclaimers:

38. NO WARRANTY, WHETHER EXPRESSED OR IMPLIED, IS MADE WITH RESPECT TO ANY DESCRIPTION CONTAINED IN THIS AUCTION OR ANY SECOND OPINE. Any description of the items or second opine contained in this Auction is for the sole purpose of identifying the items for those Bidders who do not have the opportunity to view the lots prior to bidding, and no description of items has been made part of the basis of the bargain or has created any express warranty that the goods would conform to any description made by Auctioneer. Color variations can be expected in any electronic or printed imaging, and are not grounds for the return of any lot. NOTE: Auctioneer, in specified auction venues, for example, Fine Art, may have express written warranties and you are referred to those specific terms and conditions.
39. Auctioneer is selling only such right or title to the items being sold as Auctioneer may have by virtue of consignment agreements on the date of auction and disclaims any warranty of title to the Property. Auctioneer disclaims any warranty of merchantability or fitness for any particular purposes. All images, descriptions, sales data, and archival records are the exclusive property of Auctioneer, and may be used by Auctioneer for advertising, promotion, archival records, and any other uses deemed appropriate.
40. Translations of foreign language documents may be provided as a convenience to interested parties. Auctioneer makes no representation as to the accuracy of those translations and will not be held responsible for errors in bidding arising from inaccuracies in translation.
41. Auctioneer disclaims all liability for damages, consequential or otherwise, arising out of or in connection with the sale of any Property by Auctioneer to Bidder. No third party may rely on any benefit of these Terms and Conditions and any rights, if any, established hereunder are personal to the Bidder and may not be assigned. Any statement made by the Auctioneer is an opinion and does not constitute a warranty or representation. No employee of Auctioneer may alter these Terms and Conditions, and, unless signed by a principal of Auctioneer, any such alteration is null and void.
42. Auctioneer shall not be liable for breakage of glass or damage to frames (patent or latent); such defects, in any event, shall not be a basis for any claim for return or reduction in purchase price.

Release:

43. In consideration of participation in the Auction and the placing of a bid, Bidder expressly releases Auctioneer, its officers, directors and employees, its affiliates, and its outside experts that provide second opinions, from any and all claims, cause of action, chose of action, whether at law or equity or any arbitration or mediation rights existing under the rules of any professional society or affiliation based upon the assigned description, or a derivative theory, breach of warranty express or implied, representation or other matter set forth within these Terms and Conditions of Auction or otherwise. In the event of a claim, Bidder agrees that such rights and privileges conferred therein are strictly construed as specifically declared herein; e.g., authenticity, typographical error, etc. and are the exclusive remedy. Bidder, by non-compliance to these express terms of a granted remedy, shall waive any claim against Auctioneer.
44. Notice: Some Property sold by Auctioneer are inherently dangerous e.g. firearms, cannons, and small items that may be swallowed or ingested or may have latent defects all of which may cause harm to a person. Purchaser accepts all risk of loss or damage from its purchase of these items and Auctioneer disclaims any liability whether under contract or tort for damages and losses, direct or inconsequential, and expressly disclaims any warranty as to safety or usage of any lot sold.

Dispute Resolution and Arbitration Provision:

45. By placing a bid or otherwise participating in the auction, Bidder accepts these Terms and Conditions of Auction, and specifically agrees to the dispute resolution provided herein. Consumer disputes shall be resolved through court litigation which has an exclusive Dallas, Texas venue clause and jury waiver. Non-consumer dispute shall be determined in binding arbitration which arbitration replaces the right to go to court, including the right to a jury trial.
46. Auctioneer in no event shall be responsible for consequential damages, incidental damages, compensatory damages, or any other damages arising or claimed to be arising from the auction of any lot. In the event that Auctioneer cannot deliver the lot or subsequently it is established that the lot lacks title, or other transfer or condition issue is claimed, in such cases the sole remedy shall be limited to rescission of sale and refund of the amount paid by Bidder; in no case shall Auctioneer's maximum liability exceed the high bid on that lot, which bid shall be deemed for all purposes the value of the lot. After one year has elapsed, Auctioneer's maximum liability shall be limited to any commissions and fees Auctioneer earned on that lot.
47. In the event of an attribution error, Auctioneer may at its sole discretion, correct the error on the Internet, or, if discovered at a later date, to refund the buyer's purchase price without further obligation.
48. Dispute Resolution for Consumers and Non-Consumers: Any claim, dispute, or controversy in connection with, relating to and/or arising out of the Auction, participation in the Auction, award of lots, damages of claims to lots, descriptions, condition reports, provenance, estimates, return and warranty rights, any interpretation of these Terms and Conditions, any alleged verbal modification of these Terms and Conditions and/or any purported settlement whether asserted in contract, tort, under Federal or State statute or regulation shall or any other matter: a) if presented by a consumer, be exclusively heard by, and the parties consent to, exclusive in personam jurisdiction in the State District Courts of Dallas County, Texas. THE PARTIES EXPRESSLY WAIVE ANY RIGHT TO TRIAL BY JURY. Any appeals shall be solely pursued in the appellate courts of the State of Texas; or b) for any claimant other than a consumer, the claim shall be presented in confidential binding arbitration before a single arbitrator, that the parties may agree upon, selected from the JAMS list of Texas arbitrators. The case is not to be administered by JAMS; however, if the parties cannot agree on an arbitrator, then JAMS shall appoint the arbitrator and it shall be conducted under JAMS rules. The locale shall be Dallas Texas. The arbitrator's award may be enforced in any court of competent jurisdiction. Any party on any claim involving the purchase or sale of numismatic or related items may elect arbitration through binding PNG arbitration. Any claim must be brought within one (1) year of the alleged breach, default or misrepresentation or the claim is waived. This agreement and any claims shall be determined and construed under Texas law. The prevailing party (party that is awarded substantial and material relief on its claim or defense) may be awarded its reasonable attorneys' fees and costs.
49. No claims of any kind can be considered after the settlements have been made with the consignors. Any dispute after the settlement date is strictly between the Bidder and consignor without involvement or responsibility of the Auctioneer.
50. In consideration of their participation in or application for the Auction, a person or entity (whether the successful Bidder, a Bidder, a purchaser and/or other Auction participant or registrant) agrees that all disputes in any way relating to, arising under, connected with, or incidental to these Terms and Conditions and purchases, or default in payment thereof, shall be arbitrated pursuant to the arbitration provision. In the event that any matter including actions to compel arbitration, construe the agreement, actions in aid or arbitration or otherwise needs to be litigated, such litigation shall be exclusively in the Courts of the State of Texas, in Dallas County, Texas, and if necessary the corresponding appellate courts. For such actions, the successful Bidder, purchaser, or Auction participant also expressly submits himself to the personal jurisdiction of the State of Texas.
51. These Terms & Conditions provide specific remedies for occurrences in the auction and delivery process. Where such remedies are afforded, they shall be interpreted strictly. Bidder agrees that any claim shall utilize such remedies; Bidder making a claim in excess of those remedies provided in these Terms and Conditions agrees that in no case whatsoever shall Auctioneer's maximum liability exceed the high bid on that lot, which bid shall be deemed for all purposes the value of the lot.

Miscellaneous:

52. Agreements between Bidders and consignors to effectuate a non-sale of an item at Auction, inhibit bidding on a consigned item to enter into a private sale agreement for said item, or to utilize the Auctioneer's Auction to obtain sales for non-selling consigned items subsequent to the Auction, are strictly prohibited. If a subsequent sale of a previously consigned item occurs in violation of this provision, Auctioneer reserves the right to charge Bidder the applicable Buyer's Premium and consignor a Seller's Commission as determined for each auction venue and by the terms of the seller's agreement.
53. Acceptance of these Terms and Conditions qualifies Bidder as a client who has consented to be contacted by Heritage in the future. In conformity with "do-not-call" regulations promulgated by the Federal or State regulatory agencies, participation by the Bidder is affirmative consent to being contacted at the phone number shown in his application and this consent shall remain in effect until it is revoked in writing. Heritage may from time to time contact Bidder concerning sale, purchase, and auction opportunities available through Heritage and its affiliates and subsidiaries.
54. Rules of Construction: Auctioneer presents properties in a number of collectible fields, and as such, specific venues have promulgated supplemental Terms and Conditions. Nothing herein shall be construed to waive the general Terms and Conditions of Auction by these additional rules and shall be construed to give force and effect to the rules in their entirety.

State Notices:

Notice as to an Auction in California. Auctioneer has in compliance with Title 2.95 of the California Civil Code as amended October 11, 1993 Sec. 1812.600, posted with the California Secretary of State its bonds for it and its employees, and the auction is being conducted in compliance with Sec. 2338 of the Commercial Code and Sec. 535 of the Penal Code.

Notice as to an Auction in New York City. These Terms and Conditions of Sale are designed to conform to the applicable sections of the New York City Department of Consumer Affairs Rules and Regulations as Amended. This sale is a Public Auction Sale conducted by Heritage Auctioneers & Galleries, Inc. # 41513036. The New York City licensed auctioneers are: Sam Foose, #095260; Kathleen Guzman, #0762165; Nicholas Dawes, #1304724; Ed Beardsley, #1183220; Scott Peterson, #1306933; Andrea Voss, #1320558, who will conduct the Sale on behalf of itself and Heritage Numismatic Auctions, Inc. (for Coins) and Currency Auctions of America, Inc. (for currency). All lots are subject to: the consignor's rights to bid thereon in accord with these Terms and Conditions of Sale, consignor's option to receive advances on their consignments, and Auctioneer, in its sole discretion, may offer limited extended financing to registered bidders, in accord with Auctioneer's internal credit standards. A registered bidder may inquire whether a lot is subject to an advance or a reserve. Auctioneer has made advances to various consignors in this sale. On lots bearing an estimate, the term refers to a value range placed on an item by the Auctioneer in its sole opinion but the final price is determined by the bidders.

Notice as to an Auction in Texas. In compliance with TDLR rule 67.100(c)(1), notice is hereby provided that this auction is covered by a Recovery Fund administered by the Texas Department of Licensing and Regulation, P.O. Box 12157, Austin, Texas 78711 (512) 463-6599. Any complaints may be directed to the same address.

Notice as to an Auction in Ohio: Auction firm and Auctioneer are licensed by the Dept. of Agriculture, and either the licensee is bonded in favor of the state or an aggrieved person may initiate a claim against the auction recovery fund created in Section 4707.25 of the Revised Code as a result of the licensee's actions, whichever is applicable.

Terms and Conditions of Auction

Additional Terms & Conditions:

COINS & CURRENCY

COINS and CURRENCY TERM A: Signature. Auctions are not on approval. No certified material may be returned because of possible differences of opinion with respect to the grade offered by any third-party organization, dealer, or service. No guarantee of grade is offered for uncertified Property sold and subsequently submitted to a third-party grading service. There are absolutely no exceptions to this policy. Under extremely limited circumstances, (e.g. gross cataloging error) a purchaser, who did not bid from the floor, may request Auctioneer to evaluate voiding a sale: such request must be made in writing detailing the alleged gross error; submission of the lot to the Auctioneer must be pre-approved by the Auctioneer; and bidder must notify Ron Brackemyre (1-800-872-6467 Ext. 1312) in writing of such request within three (3) days of the non-floor bidder's receipt of the lot. Any lot that is to be evaluated must be in our offices within 30 days after Auction. Grading or method of manufacture do not qualify for this evaluation process nor do such complaints constitute a basis to challenge the authenticity of a lot. AFTER THAT 30-DAY PERIOD, NO LOTS MAY BE RETURNED FOR REASONS OTHER THAN AUTHENTICITY. Lots returned must be housed intact in their original holder. No lots purchased by floor Bidders may be returned (including those Bidders acting as agents for others) except for authenticity. Late remittance for purchases may be considered just cause to revoke all return privileges.

COINS and CURRENCY TERM B: Auctions conducted solely on the Internet THREE (3) DAY RETURN POLICY: Certified Coin and Uncertified and Certified Currency lots paid for within seven days of the Auction closing are sold with a three (3) day return privilege unless otherwise noted in the description as "Sold As Is, No Return Lot". You may return lots under the following conditions: Within three days of receipt of the lot, you must first notify Auctioneer by contacting Client Service by phone (1-800-872-6467) or e-mail (Bid@HA.com), and immediately ship the lot(s) fully insured to the attention of Returns, Heritage, 3500 Maple Avenue, 17th Floor, Dallas TX 75219-3941. Lots must be housed intact in their original holder and condition. You are responsible for the insured, safe delivery of any lots. A non-negotiable return fee of 5% of the purchase price (\$10 per lot minimum) will be deducted from the refund for each returned lot or billed directly. Postage and handling fees are not refunded. After the three-day period (from receipt), no items may be returned for any reason. Late remittance for purchases revokes these Return privileges.

COINS and CURRENCY TERM C: Bidders who have inspected the lots prior to any Auction, or attended the Auction, or bid through an Agent, will not be granted any return privileges, except for reasons of authenticity.

COINS and CURRENCY TERM D: Coins sold referencing a third-party grading service are sold "as is" without any express or implied warranty, except for a guarantee by Auctioneer that they are genuine. Certain warranties may be available from the grading services and the Bidder is referred to them for further details: Numismatic Guaranty Corporation (NGC), P.O. Box 4776, Sarasota, FL 34230, <http://www.ngccoin.com/services/writtenguarantee.asp>; Professional Coin Grading Service (PCGS), PO Box 9458, Newport Beach, CA 92658, <http://www.pcg.com/guarantee.html>; ANACS, 6555 S. Kenton St. Ste. 303, Englewood, CO 80111; and Independent Coin Grading Co. (ICG), 7901 East Bellevue Ave., Suite 50, Englewood, CO 80111.

COINS and CURRENCY TERM E: Notes sold referencing a third-party grading service are sold "as is" without any express or implied warranty, except for guarantee by Auctioneer that they are genuine. Grading, condition or other attributes of any lot may have a material effect on its value, and the opinion of others, including third-party grading services such as PCGS Currency, PMG, and CGA may differ with that of Auctioneer. Auctioneer shall not be bound by any prior or subsequent opinion, determination, or certification by any grading service. Bidder specifically waives any claim to right of return of any item because of the opinion, determination, or certification, or lack thereof, by any grading service. Certain warranties may be available from the grading services and the Bidder is referred to them for further details: Paper Money Guaranty (PMG), PO Box 4711, Sarasota FL 34230; PCGS Currency, PO Box 9458, Newport Beach, CA 92658; Currency Grading & Authentication (CGA), PO Box 418, Three Bridges, NJ 08887. Third party graded notes are not returnable for any reason whatsoever.

COINS and CURRENCY TERM F: Since we cannot examine encapsulated coins or notes, they are sold "as is" without our grading opinion, and may not be returned for any reason. Auctioneer shall not be liable for any patent or latent defect or controversy pertaining to or arising from any encapsulated collectible. In any such instance, purchaser's remedy, if any, shall be solely against the service certifying the collectible.

COINS and CURRENCY TERM G: Due to changing grading standards over time, differing interpretations, and to possible mishandling of items by subsequent owners, Auctioneer reserves the right to grade items differently than shown on certificates from any grading service that accompany the items. Auctioneer also reserves the right to grade items differently than the grades shown in the prior catalog should such items be reconsigned to any future auction.

COINS and CURRENCY TERM H: Although consensus grading is employed by most grading services, it should be noted as aforesaid that grading is not an exact science. In fact, it is entirely possible that if a lot is broken out of a plastic holder and resubmitted to another grading service or even to the same service, the lot could come back with a different grade assigned.

COINS and CURRENCY TERM I: Certification does not guarantee protection against the normal risks associated with potentially volatile markets. The degree of liquidity for certified coins and collectibles will vary according to general market conditions and the particular lot involved. For some lots there may be no active market at all at certain points in time.

COINS and CURRENCY TERM J: All non-certified coins and currency are guaranteed genuine, but are not guaranteed as to grade, since grading is a matter of opinion, an art and not a science, and therefore the opinion rendered by the Auctioneer or any third party grading service may not agree with the opinion of others (including trained experts), and the same expert may not grade the same item with the same grade at two different times. Auctioneer has graded the non-certified numismatic items, in the Auctioneer's opinion, to their current interpretation of the American Numismatic Association's standards as of the date the catalog was prepared. There is no guarantee or warranty implied or expressed that the grading standards utilized by the Auctioneer will meet the standards of any grading service at any time in the future.

COINS and CURRENCY TERM K: Storage of purchased coins and currency: Purchasers are advised that certain types of plastic may react with a coin's metal or transfer plasticizer to notes and may cause damage. Caution should be used to avoid storage in materials that are not inert.

COINS and CURRENCY TERM L: Storage of purchased coins and currency: Purchasers are advised that certain types of plastic may react with a coin's metal or transfer plasticizer to notes and may cause damage. Caution should be used to avoid storage in materials that are not inert.

COINS and CURRENCY TERM M: NOTE: Purchasers of rare coins or currency through Heritage have available the option of arbitration by the Professional Numismatists Guild (PNG); if an election is not made within ten (10) days of an unresolved dispute, Auctioneer may elect either PNG or A.A.A. Arbitration.

COINS and CURRENCY TERM N: For more information regarding Canadian lots attributed to the Charlton reference guides, please contact: Charlton International, PO Box 820, Station Willowdale B, North York, Ontario M2K 2R1 Canada.

COINS and CURRENCY TERM O: Some of the lots offered herein have been assigned to 1031 Services, Inc. for the purpose of consignor's tax deferred exchange.

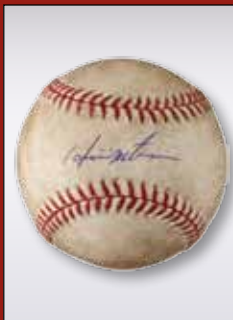
For wiring instructions call the Credit department at 1-800-872-6467 or e-mail: CreditDept@HA.com

New York State Auctions Only

Notice as to an Auction in New York City. These Terms and Conditions of Sale are designed to conform to the applicable sections of the New York City Department of Consumer Affairs Rules and Regulations as Amended. This sale is a Public Auction Sale conducted by Heritage Auctioneers & Galleries, Inc. # 41513036. The New York City licensed auctioneers are: Sam Foose, #095260; Kathleen Guzman, #0762165; Nicholas Dawes, #1304724; Ed Beardsley, #1183220; Scott Peterson, #1306933; Andrea Voss, #1320558, who will conduct the Sale on behalf of itself and Heritage Numismatic Auctions, Inc. (for Coins) and Currency Auctions of America, Inc. (for currency). All lots are subject to: the consignor's rights to bid thereon in accord with these Terms and Conditions of Sale, consignor's option to receive advances on their consignments, and Auctioneer, in its sole discretion, may offer limited extended financing to registered bidders, in accord with Auctioneer's internal credit standards. A registered bidder may inquire whether a lot is subject to an advance or a reserve. Auctioneer has made advances to various consignors in this sale. On lots bearing an estimate, the term refers to a value range placed on an item by the Auctioneer in its sole opinion but the final price is determined by the bidders. Rev 10-10-11

Heritage Charity Auctions

Making your charity auction easier and more successful



Dale Chihuly Glass
Sold For: \$13,145, Nov. 2011
Benefiting The Phoenix House

Diamond, Gold Necklace, Black, Starr & Frost
Sold For: \$7,767, May 2007
Benefiting Academy Award winner Meryl Streep's charity of choice, Equality Now

2009 Hideki Matsui World Series Game Six Home Run Baseball
Sold For: \$23,900, April 2010
Benefiting Friends of the Children of Haiti

Cowboy Legends Poker Fantasy
Sold For: \$30,000, May 2010
Benefiting Pat & Emmitt Smith Charities

Emmitt Smith Hall of Fame Fantasy Trip
Sold For: \$22,000, May 2010
Benefiting Pat & Emmitt Smith Charities

2004 Arlen Ness Victory Vegas, Autographed by NASCAR legend Kyle Petty
Sold For: \$9,500, Oct. 2011
Benefiting Texas Scottish Rite Hospital for Children

Super Bowl XLV Double Suite
Sold For: \$160,000, Feb. 2010
Benefiting Big Brothers Big Sisters

1909-11 T206 Sweet Caporal Honus Wagner SGC Authentic - A Newly Discovered Example!
Sold For: \$262,900, Nov. 2010
Benefiting School Sisters of Notre Dame

Fall Fashion Week Fantasy With Tina Craig, "The Bag Snob"
Sold For: \$42,500, May 2010
Benefiting Pat & Emmitt Smith Charities

Heritage Auctions would like to help worthy charities save time and resources while raising more money, goodwill and awareness. We are offering our services to approved charities and their donors to give back and to meet new friends, not to profit from the endeavor itself. Therefore all profits will be donated to charities selected by our employees.

Call today to find out how the professionals at Heritage Charity Auctions can sharpen your philanthropic efforts and help you reach your fundraising goals.

For a free auction catalog in any category, plus a copy of *The Collector's Handbook* (combined value \$65), visit HA.com/CATA24588 or call 866-835-3243 and reference code CATA24588.

For more information, contact:
Jeri Carroll
800.872.6467 x1873
JeriC@HA.com

Annual Sales Exceed \$800 Million | 750,000+ Online Bidder-Members
3500 Maple Avenue | Dallas, Texas 75219 | 800.872.6467 | HA.com
DALLAS | NEW YORK | BEVERLY HILLS | SAN FRANCISCO | PARIS | GENEVA

HERITAGE
AUCTIONS **HA.com**

TX Auctioneer licenses: Samuel Foose 11727; Robert Korver 13754; Andrea Voss 16406.

22819

HERITAGE

Coast to Coast

4 U.S. Locations to Serve You



DALLAS

3500 Maple Avenue
Dallas, Texas 75219

214.528.3500

Hours:

Mon-Fri: 9:00 AM CT - 5:00 PM CT
Saturday: 9:00 AM CT - 1:00 PM CT



NEW YORK

445 Park Avenue (at 57th Street)
New York, New York 10022

212.486.3500

Hours:

Mon-Fri: 10:00 AM ET - 6:00 PM ET
Saturday: 10:00 AM ET - 3:00 PM ET



BEVERLY HILLS

9478 West Olympic Boulevard
Beverly Hills, California 90212

310.492.8600

Hours:

Mon-Fri: 9:00 AM PT - 5:00 PM PT
Saturday: By Appointment



SAN FRANCISCO

478 Jackson Street
San Francisco, California 94111

800.872.6467

Hours:

Mon-Fri: 9:00 AM PT - 5:00 PM PT

Annual Sales Exceed \$800 Million • 750,000+ Online Bidder-Members

3500 Maple Avenue • Dallas, Texas 75219 • 800-872-6467

DALLAS | NEW YORK | BEVERLY HILLS | SAN FRANCISCO | PARIS | GENEVA

HERITAGE
AUCTIONS **HA.com**

HERITAGE MyCollection

YOUR PERSONAL ONLINE COLLECTION INVENTORY



Heritage's new **MyCollection** software is a private record of collectibles that you own, buy, or resell, and it's great for insurance or estate planning.

It's FREE, and...

- ◆ Stores images, description, and more.
- ◆ All items bought from Heritage are added automatically!
- ◆ Items bought elsewhere can also be added
- ◆ Allows for infinite organization of your collection.
- ◆ Exports to Excel or to print for easy reference.
- ◆ You enjoy absolute privacy. Your information will not be shared with, or sold to, any third party for any reason.
- ◆ Available in all Heritage categories

Click on the headings to sort:

| AB | Photo (hide) | Item Reference # | Description & Population Size | Your Value / Wholesale Ask | Purchase Price | Wantlist Desired | Make Offer in Owner Status |
|------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------|---------------------|--------------------------------------------------------------------------------------------------------------------------------|-------------------------------|-------------------|---------------------|-------------------------------|
| Total Value: \$15,364,067 Total purchase price: \$16,559,992 Total Make Offer Value for Items accepting offers: \$21,763,751 | | | | | | | |
| <input type="checkbox"/> |  | | 1822 SPHIND QUARTER AU20 NGC Population 15/22 View Auction Prices Realized | Est. Value | \$8,625 | | Not Taking Offers |
| <input type="checkbox"/> |  | | AM PLANT 1/2481 RESTRIKE MS62 PCGS Population 9/6 View Auction Prices Realized | Est. Value | \$1,245 | 32 | Not Taking Offers |
| <input type="checkbox"/> |  | | Elephant Halfpenny, LONDON, Thick Flanchet, EN AU20 NGC Population 16/23 View Auction Prices Realized | Est. Value | \$2,330 | 21 | Not Taking Offers |
| <input type="checkbox"/> |  | | Elephant Halfpenny, LONDON, Thick Flanchet, EN AU20 NGC Population 25/35 View Auction Prices Realized | Est. Value | \$1,725 | | Not Taking Offers |
| <input type="checkbox"/> |  | | 1722 Boss Americana Halfpenny, D.C. REF. EN AU20 PCGS Population 3/3 View Auction Prices Realized | Est. Value | \$1,640 | 31 | Not Taking Offers |

But the most exciting thing about the new **MyCollection** software is the ability to receive offers on items you purchased from Heritage at auction, including the ability to set your own "Buy Now" price.

Heritage members now have the opportunity to make an anonymous offer to the buyer of items previously purchased at auction from Heritage, directly through our Auction Results Archives. Heritage manages the transaction, maintaining privacy for both parties. Acceptance of an offer represents agreement with the terms and conditions of sale, including Heritage's commission. This service is free to the buyer (no buyer's premium), includes a 7 day return policy, protects the identity of both parties, and allows offers and counter-offers. If you receive an offer, you will have 72 hours to decline, counter-offer or accept the offer. You are under no obligation until you accept an offer or a buyer accepts your counter offer. You will receive payment for items sold within 30 days of Heritage's receipt of your item.

Annual Sales Exceed \$800 Million | 750,000+ Online Bidder Members
3500 Maple Avenue | Dallas, Texas 75219 | 800-872-6467 | HA.com
DALLAS | NEW YORK | BEVERLY HILLS | SAN FRANCISCO | PARIS | GENEVA

HERITAGE HA.com
AUCTIONS

NYC Auctioneer licenses: Samuel Foose 0952360; Robert Korver 1096338; Kathleen Guzman 0762165; Michael J. Sadler 1304630; Andrea Voss 1320558 | Auctions are subject to a 12-25% buyer's premium. See HA.com for details.

22887

Department Specialists

For the extensions below, please dial 800.872.6467

Comics & Comic Art

HA.com/Comics

Ed Jaster, Ext. 1288 • EdJ@HA.com
Lon Allen, Ext. 1261 • LonA@HA.com
Barry Sandoval, Ext. 1377 • BarryS@HA.com
Todd Hignite, Ext. 1790 • ToddH@HA.com

Entertainment & Music Memorabilia

HA.com/Entertainment

Margaret Barrett, Ext. 1912 • MargaretB@HA.com **
John Hickey, Ext. 1264 • JohnH@HA.com
Garry Shrum, Ext. 1585 • GarryS@HA.com

Vintage Guitars & Musical Instruments

HA.com/Guitar

Mike Gutierrez, Ext. 1183 • MikeG@HA.com
Isaiah Evans, Ext. 1201 • IsaiahE@HA.com

Fine Art

American Indian Art

HA.com/AmericanIndian

Delia Sullivan, Ext. 1343 • DeliaS@HA.com

American, Western & European Art

HA.com/FineArt

Ed Jaster, Ext. 1288 • EdJ@HA.com *
Brian Roughton, Ext. 1210 • BrianR@HA.com
Marianne Berardi, Ph.D., Ext. 1506 • MarianneB@HA.com
Ariana Hartsock, Ext. 1283 • ArianaH@HA.com
Kirsty Buchanan, Ext. 1741 • KirstyB@HA.com
Deborah Solon, Ext. 1843 • DeborahS@HA.com

California Art

HA.com/FineArt

Alissa Ford, Ext. 1926 • AlissaF@HA.com ***
Deborah Solon, Ext. 1843 • DeborahS@HA.com **

Decorative Arts & Design

HA.com/Decorative

Tim Rigdon, Ext. 1119 • TimR@HA.com
Karen Rigdon, Ext. 1723 • KarenR@HA.com
Carolyn Mani, Ext. 1677 • CarolynM@HA.com **

Illustration Art

HA.com/Illustration

Ed Jaster, Ext. 1288 • EdJ@HA.com *
Todd Hignite, Ext. 1790 • ToddH@HA.com

Lalique & Art Glass

HA.com/Design

Nicholas Dawes, Ext. 1605 • NickD@HA.com *

Modern & Contemporary Art

HA.com/Modern

Frank Hettig, Ext. 1157 • FrankH@HA.com

Photographs

HA.com/Photographs

Ed Jaster, Ext. 1288 • EdJ@HA.com
Rachel Peart, Ext. 1625 • RPeart@HA.com

Silver & Vertu

HA.com/Silver

Tim Rigdon, Ext. 1119 • TimR@HA.com
Karen Rigdon, Ext. 1723 • KarenR@HA.com

Texas Art

HA.com/TexasArt

Atlee Phillips, Ext. 1786 • AtleeP@HA.com

Handbags & Luxury Accessories

HA.com/Luxury

Matt Rubinger, Ext. 1419 • MRubinger@HA.com
Katy Howard, Ext. 1858 • KatyH@HA.com

Historical

Americana & Political

HA.com/Historical

Tom Slater, Ext. 1441 • TomS@HA.com
John Hickey, Ext. 1264 • JohnH@HA.com
Michael Riley, Ext. 1467 • MichaelR@HA.com
Don Ackerman, Ext. 1736 • DonA@HA.com

Arms & Armor

HA.com/Arms

Jemison Beshears, Ext. 1886 • JemisonB@HA.com ***
Cliff Chappell, Ext. 1887 • CliffordC@HA.com ***
Roger Lake, Ext. 1884 • RogerL@HA.com ***
David Carde, Ext. 1881 • DavidC@HA.com ***

Civil War & Militaria

HA.com/CivilWar

Dennis Lowe, Ext. 1182 • DennisL@HA.com

Historical Manuscripts

HA.com/Manuscripts

Sandra Palomino, Ext. 1107 • SandraP@HA.com

Rare Books

HA.com/Books

James Gannon, Ext. 1609 • JamesG@HA.com
Joe Fay, Ext. 1544 • JoeF@HA.com

Space Exploration

HA.com/Space

John Hickey, Ext. 1264 • JohnH@HA.com
Michael Riley, Ext. 1467 • MichaelR@HA.com

Texana

HA.com/Historical

Sandra Palomino, Ext. 1107 • SandraP@HA.com

Jewelry

HA.com/Jewelry

Jill Burgum, Ext. 1697 • JillB@HA.com

Peggy Gottlieb, Ext. 1847 • PGottlieb@HA.com

Movie Posters

HA.com/MoviePosters

Grey Smith, Ext. 1367 • GreySm@HA.com

Bruce Carteron, Ext. 1551 • BruceC@HA.com

Natural History

HA.com/NaturalHistory

David Herskowitz, Ext. 1610 • DavidH@HA.com

Minerals

HA.com/Minerals

Craig Kissick, Ext. 1995 • CraigK@HA.com

Numismatics

Coins – United States

HA.com/Coins

David Mayfield, Ext. 1277 • DavidM@HA.com

David Alexander, Ext. 1876 • DavidA@HA.com *

Jessica Aylmer, Ext. 1706 • JessicaA@HA.com

Win Callender, Ext. 1415 • WinC@HA.com

Chris Dykstra, Ext. 1380 • ChrisD@HA.com

Sam Foose, Ext. 1227 • SamF@HA.com

Mark Ingold, Ext. 1768 • MarkI@HA.com *

Jim Jelinski, Ext. 1257 • JimJ@HA.com

Bob Marino, Ext. 1374 • BobMarino@HA.com

Michael Moline, Ext. 1361 • MMoline@HA.com **

Al Pinkall, Ext. 1835 • AIP@HA.com *

Mike Sadler, Ext. 1332 • MikeS@HA.com

Beau Streicher, Ext. 1645 • BeauS@HA.com

Rare Currency

HA.com/Currency

Len Glazer, Ext. 1390 • Len@HA.com

Allen Mincho, Ext. 1327 • Allen@HA.com

Dustin Johnston, Ext. 1302 • Dustin@HA.com

Michael Moczalla, Ext. 1481 • MichaelM@HA.com

Jason Friedman, Ext. 1582 • JasonF@HA.com

Brad Ciociola, Ext. 1752 • BradC@HA.com

World & Ancient Coins

HA.com/WorldCoins

Cristiano Bierrenbach, Ext. 1661 • CrisB@HA.com

Warren Tucker, Ext. 1287 • WTucker@HA.com

David Michaels, Ext. 1606 • DMichaels@HA.com **

Scott Cordry, Ext. 1369 • ScottC@HA.com

Sports Collectibles

HA.com/Sports

Chris Ivy, Ext. 1319 • Clvy@HA.com

Peter Calderon, Ext. 1789 • PeterC@HA.com

Derek Grady, Ext. 1975 • DerekG@HA.com

Mike Gutierrez, Ext. 1183 • MikeG@HA.com

Lee Iskowitz, Ext. 1601 • Leel@HA.com *

Mark Jordan, Ext. 1187 • MarkJ@HA.com

Chris Nerat, Ext. 1615 • ChrisN@HA.com

Jonathan Scheier, Ext. 1314 • JonathanS@HA.com

Timepieces

HA.com/Timepieces

Jim Wolf, Ext. 1659 • JWolf@HA.com

Wine

HA.com/Wine

Frank Martell, Ext. 1753 • FrankM@HA.com

Poppy Davis, Ext. 1559 • PoppyD@HA.com

Services

Appraisal Services

HA.com/Appraisals

Meredith Meuwly, Ext. 1631 • MeredithM@HA.com

Corporate & Institutional Collections/Ventures

Erica Smith, Ext. 1828 • EricaS@HA.com

Karl Chiao, Ext. 1958 • KarlC@HA.com

Credit Department

Marti Korver, Ext. 1248 • Marti@HA.com

Media & Public Relations

Noah Fleisher, Ext. 1143 • NoahF@HA.com

Special Collections

Nicholas Dawes, Ext. 1605 • NickD@HA.com *

Trusts & Estates

HA.com/Estates

Mark Prendergast, Ext. 1632 • MPrendergast@HA.com

Karl Chiao, Ext. 1958 • KarlC@HA.com

Carolyn Mani, Ext. 1677 • CarolynM@HA.com **

Locations

Dallas (World Headquarters)
214.528.3500 • 800.872.6467
3500 Maple Ave. • Dallas, TX 75219

Dallas (Fine & Decorative Arts – Design District Annex)
214.528.3500 • 800.872.6467
1518 Slocum St. • Dallas, TX 75207

New York
212.486.3500
445 Park Avenue • New York, NY 10022

Beverly Hills
310.492.8600
9478 W. Olympic Blvd. • Beverly Hills, CA 90212

San Francisco
800.872.6467
478 Jackson Street • San Francisco, CA 94111

DALLAS | NEW YORK | SAN FRANCISCO BEVERLY HILLS | PARIS | GENEVA

Corporate Officers

R. Steven Ivy, Co-Chairman

James L. Halperin, Co-Chairman

Gregory J. Rohan, President

Paul Minshull, Chief Operating Officer

Todd Imhof, Executive Vice President

Kathleen Guzman, Managing Director-New York

* Primary office location: New York

** Primary office location: Beverly Hills

*** Primary office location: San Francisco

| U.S. Rare Coin Auctions | Location | Auction Dates | Consignment Deadline |
|------------------------------------------------------------|-----------------|-----------------------|-----------------------------|
| U.S. Rare Coins | Orlando | July 12-15, 2012 | Closed |
| U.S. Rare Coins | Philadelphia | August 2-3, 2012 | Closed |
| U.S. Rare Coins | Long Beach | September 5-9, 2012 | July 27, 2012 |
| World & Ancient Coin Auctions | Location | Auction Dates | Consignment Deadline |
| World Coins | Long Beach | September 5-10, 2012 | July 10, 2012 |
| Shoshana Collection, Part II | Long Beach | September 5-6, 2012 | Closed |
| World Coins | New York | January 6-7, 2013 | November 16, 2012 |
| Rare Currency Auctions | Location | Auction Dates | Consignment Deadline |
| Currency | Philadelphia | August 2-3, 2012 | Closed |
| Currency | Long Beach | September 5-10, 2012 | July 16, 2012 |
| Currency | Dallas | October 18-22, 2012 | August 28, 2012 |
| Fine & Decorative Arts Auctions | Location | Auction Dates | Consignment Deadline |
| The Estate Auction | Dallas | September 12, 2012 | July 6, 2012 |
| Fine Silver & Vertu | Dallas | September 25, 2012 | July 24, 2012 |
| Maritime & Ship Models | Dallas | October 3, 2012 | July 27, 2012 |
| Illustration Art | New York | October 13-14, 2012 | August 6, 2012 |
| Modern & Contemporary Art | Dallas | October 23, 2012 | August 16, 2012 |
| Western & California Art | Dallas | November 10, 2012 | September 3, 2012 |
| American Indian Art | Dallas | November 10, 2012 | September 3, 2012 |
| Texas Art | Dallas | November 10, 2012 | September 3, 2012 |
| American Art | Dallas | November 13, 2012 | September 6, 2012 |
| Tiffany, Lalique & Art Glass | New York | November 17, 2012 | September 10, 2012 |
| Photography | New York | November 17, 2012 | September 10, 2012 |
| 20th Century Design | Dallas | Fall 2012 | August 15, 2012 |
| Fine Silver & Vertu | Dallas | December 5, 2012 | October 3, 2012 |
| Decorative Arts | Dallas | December 6, 2012 | September 29, 2012 |
| Jewelry, Timepieces & Luxury Accessory Auctions | Location | Auction Dates | Consignment Deadline |
| Timepieces | New York | November 18, 2012 | September 17, 2012 |
| Fine Jewelry | Dallas | December 3, 2012 | September 14, 2012 |
| Handbags & Luxury Accessories | Dallas | December 4, 2012 | September 27, 2012 |
| Vintage Movie Posters Auctions | Location | Auction Dates | Consignment Deadline |
| Vintage Movie Posters | Beverly Hills | July 25-26, 2012 | Closed |
| Vintage Movie Posters | Dallas | November 29-30, 2012 | October 8, 2012 |
| Comics Auctions | Location | Auction Dates | Consignment Deadline |
| Comics & Original Comic Art | Beverly Hills | July 26-28, 2012 | Closed |
| Comics & Original Comic Art | Dallas | November 15-16, 2012 | October 2, 2012 |
| Entertainment & Music Memorabilia Auctions | Location | Auction Dates | Consignment Deadline |
| Entertainment & Music Memorabilia | Beverly Hills | July 24, 2012 | Closed |
| Elvis Memorabilia | Memphis | August 14, 2012 | Closed |
| Entertainment & Music Memorabilia | Dallas | October 3, 2012 | August 12, 2012 |
| Vintage Guitars & Musical Instruments | Dallas | October 26-27, 2012 | September 4, 2012 |
| Historical Grand Format Auctions | Location | Auction Dates | Consignment Deadline |
| NRA Firearms for Freedom | Dallas | September 22-23, 2012 | August 1, 2012 |
| Historical Manuscripts | Beverly Hills | October 4-5, 2012 | August 13, 2012 |
| Rare Books & Autographs | Beverly Hills | October 4-5, 2012 | August 13, 2012 |
| Space Exploration | Dallas | November 2, 2012 | September 11, 2012 |
| Americana & Political | Dallas | November 3, 2012 | September 12, 2012 |
| Militaria | Dallas | December 8, 2012 | October 17, 2012 |
| Arms & Armor | Dallas | December 10, 2012 | October 19, 2012 |
| Texana | Dallas | March 9, 2013 | January 16, 2013 |
| Vintage Sports Collectibles Auctions | Location | Auction Dates | Consignment Deadline |
| Sports Platinum Auction | Baltimore | August 2, 2012 | Closed |
| Vintage Sports Collectibles | Dallas | October 25-26, 2012 | September 3, 2012 |
| Natural History & Fine Minerals Auctions | Location | Auction Dates | Consignment Deadline |
| Natural History | New York | October 14, 2012 | July 7, 2012 |
| Fine Minerals | TBD | Spring, 2013 | January 15, 2013 |
| Fine & Rare Wine | Location | Auction Dates | Consignment Deadline |
| Fine & Rare Wine | Beverly Hills | September 14-15, 2012 | August 2, 2012 |
| Fine & Rare Wine | Beverly Hills | December 7-8, 2012 | October 25, 2012 |

HA.com/Consign • Consignment Hotline 800-872-6467 • All dates and auctions subject to change after press time. Go to HA.com for updates.

6-26-2012

HERITAGE INTERNET-ONLY AUCTIONS AT 10PM CT:

Comics – Sundays

Movie Posters - Sundays

Sports - Sundays

U.S. Coins - Sundays & Tuesdays

Currency – Tuesdays

Luxury Accessories - Tuesdays

Timepiece & Jewelry – Tuesdays

Modern Coins - Thursdays

Rare Books & Autographs – Thursdays

Vintage Guitars - Thursdays

World Coins - 1st Tuesdays

Wine - 2nd Thursdays

Auctioneers: Samuel Fosse: TX 11727; CA Bond #RSB2004178; FL AU3244; GA AUNR3029; IL 441001482; NC 8373; OH 2006000048; MA 03015; PA AU005443; TN 6093; WI 2230-052; NYC 0952360; Denver 1021450; Phoenix 0706332; Robert Korver: TX 13754; CA Bond #RSB2004179; FL AU2916; GA AUNR063023; IL 441001421; MA 03014; NC 8363; OH 2006000049; TN 6439; WI 2412-52; Phoenix 07102049; NYC 1096338; Denver 1021446; Teia Baber: TX 16624; CA Bond #RSB2005525; Ed Beardsley: TX Associate 16632; NYC 1183220; Nicholas Dawes: NYC 1304724; Marsha Dwyer: TX 16493; Chris Dykstra: TX 16601; FL AU4069; WI 2566-052; TN 6463; IL 441001788; CA #RSB2005738; Jeff Engellen: CA Bond #RSB2004180; Alissa Ford: CA Bond #RSB2005920; NYC 1094963; Shaunda Fry: TX 16448; FL AU3915; WI 2577-52; CA Bond #RSB2005396; Kathleen Guzman: NYC 0762165; Stewart Huckaby: TX 16590; Cindy Isenock, participating auctioneer: Baltimore Auctioneer license #AU10; Carolyn Mani: CA Bond #RSB2005645; Scott Peterson: TX 13256; NYC 1306933; IL 441001659; WI 2431-052; CA Bond #RSB2005395; Tim Rigdon: TX 16519; Michael J. Sadler: TX 16129; FL AU3795; IL 441001478; MA 03021; TN 6487; WI 2581-052; NYC 1304630; CA Bond #RSB2005412; Eric Thomas: TX 16421; PA AU005574; TN 6515; Andrea Voss: TX 16406; FL AU4034; MA 03019; WI 2576-052; CA Bond #RSB2004676; NYC #1320558; Jacob Walker: TX 16413; FL AU4031; WI 2567-052; IL 441001677; CA Bond #RSB2005394; Peter Wiggins: TX 16635. (Rev. 5-15-11)



1882-1908



J.A.M.

T

PRICE • \$50



HERITAGE
AUCTIONS HA.com

DALLAS | NEW YORK | BEVERLY HILLS | SAN FRANCISCO | PARIS | GENEVA



ISBN 1-59967-732-6



© 2012 Currency Auctions of America, Inc.